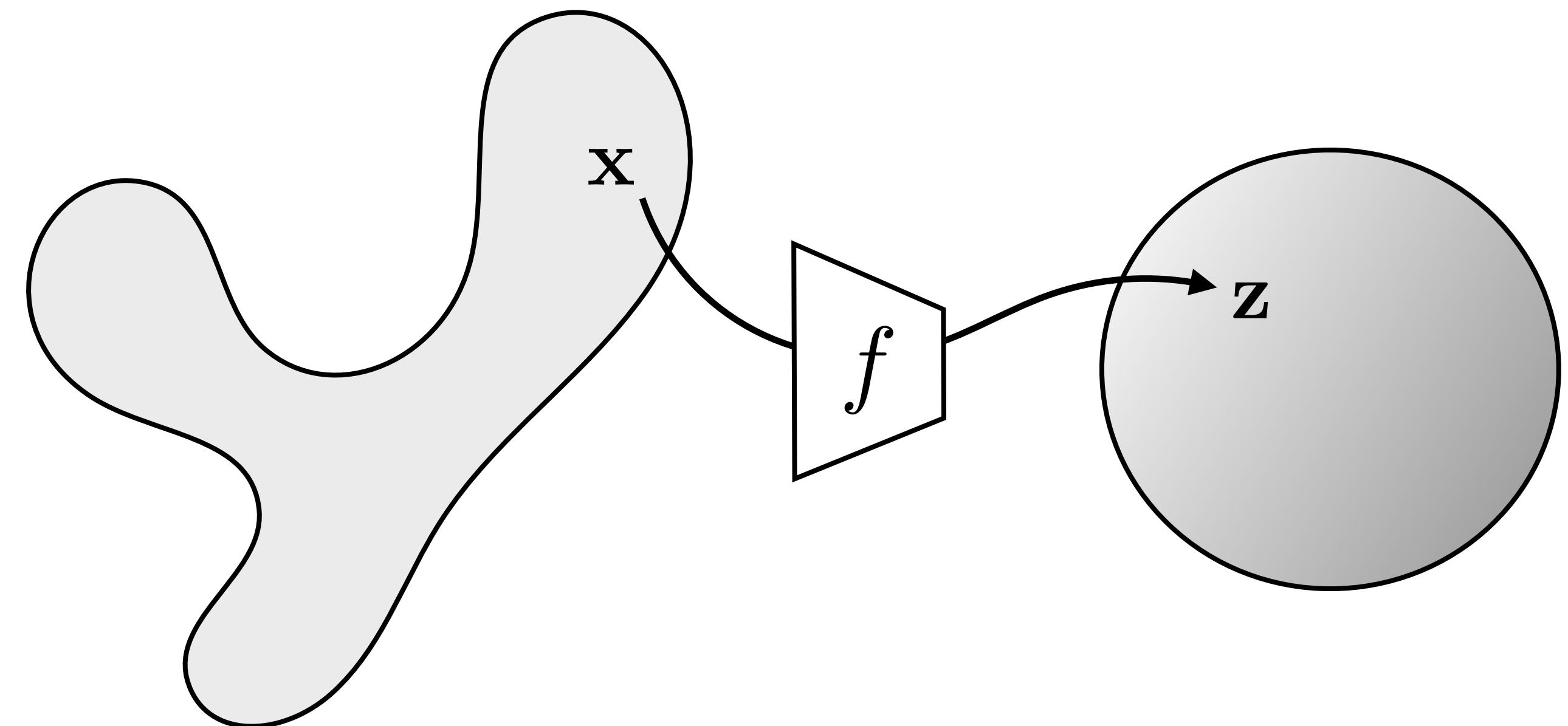


# Lecture 11: Representation Learning I

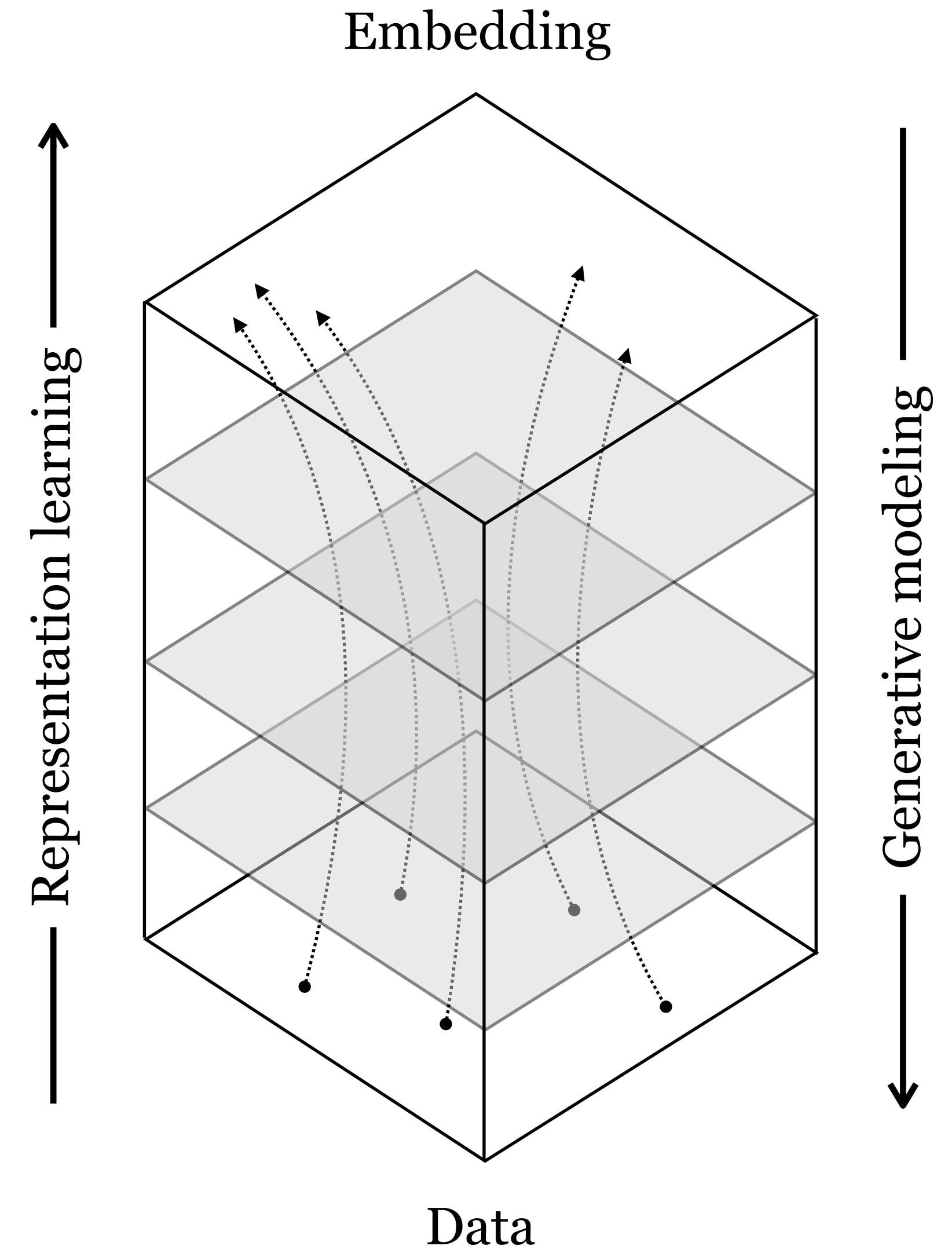
Speaker: Phillip Isola



# 12. Representation Learning I

- Nets learn representations
- Why learn representations?
- Autoencoders
- Clustering and VQ
- Self-supervised learning by reconstruction

- Deep nets transform datapoints, layer by layer
- Each layer is a different *representation* of the data
- In the forward direction, the mapping goes from observed data to latent embeddings — this direction is called **representation learning**
- In the reverse direction, the mapping goes from latent embeddings to observed data — this direction is called **generative modeling**

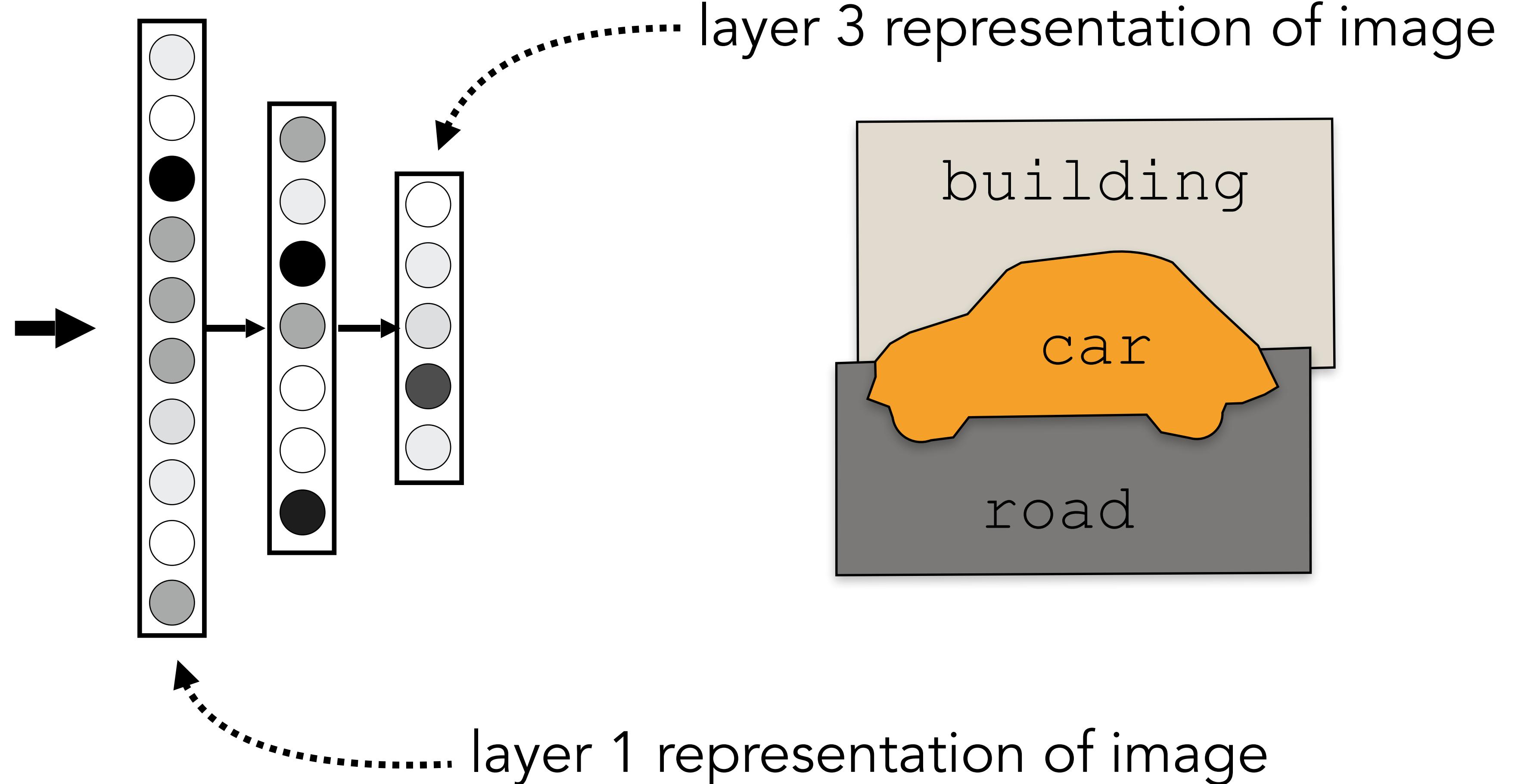


# x2vec

**X**



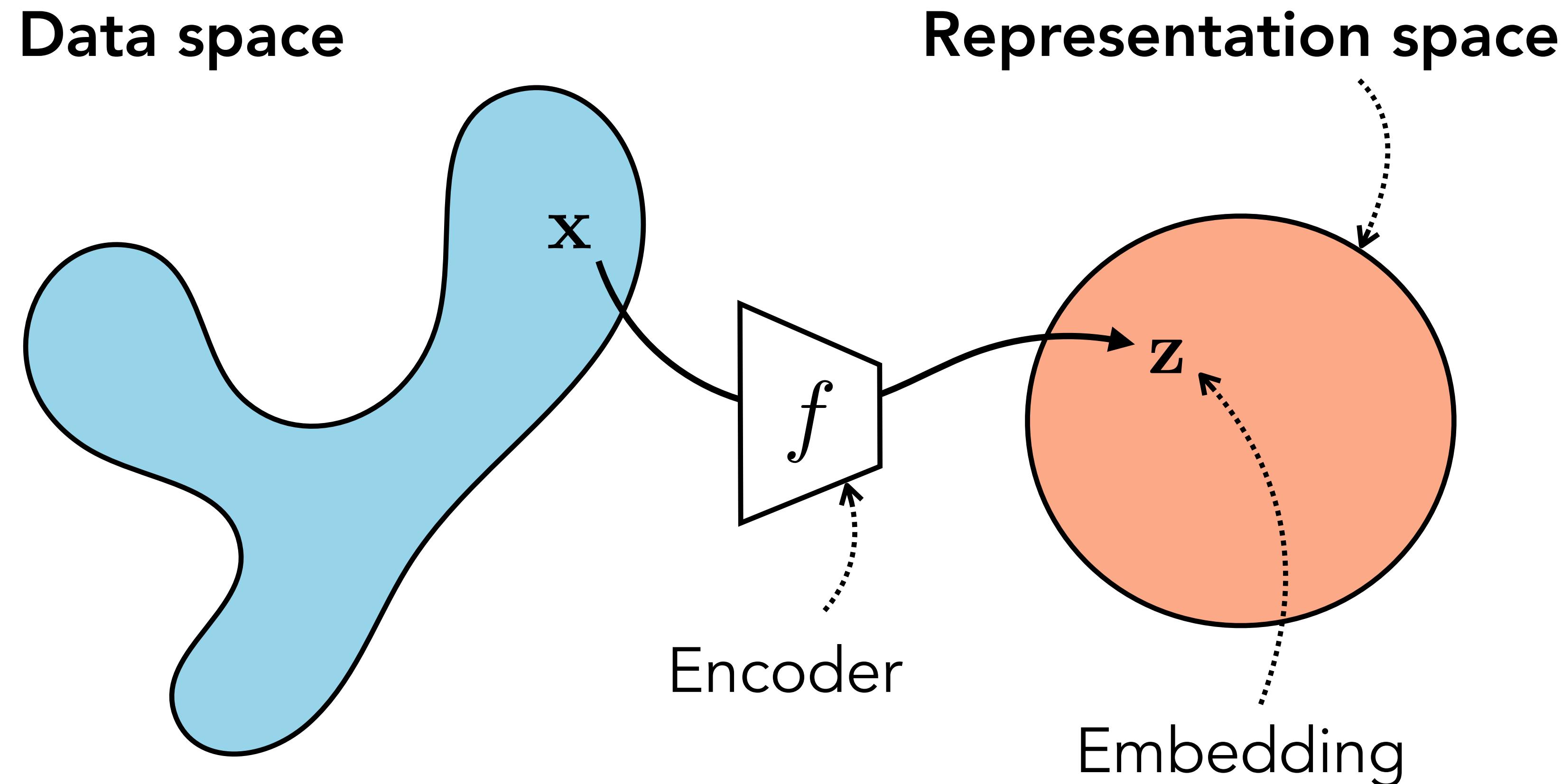
Image



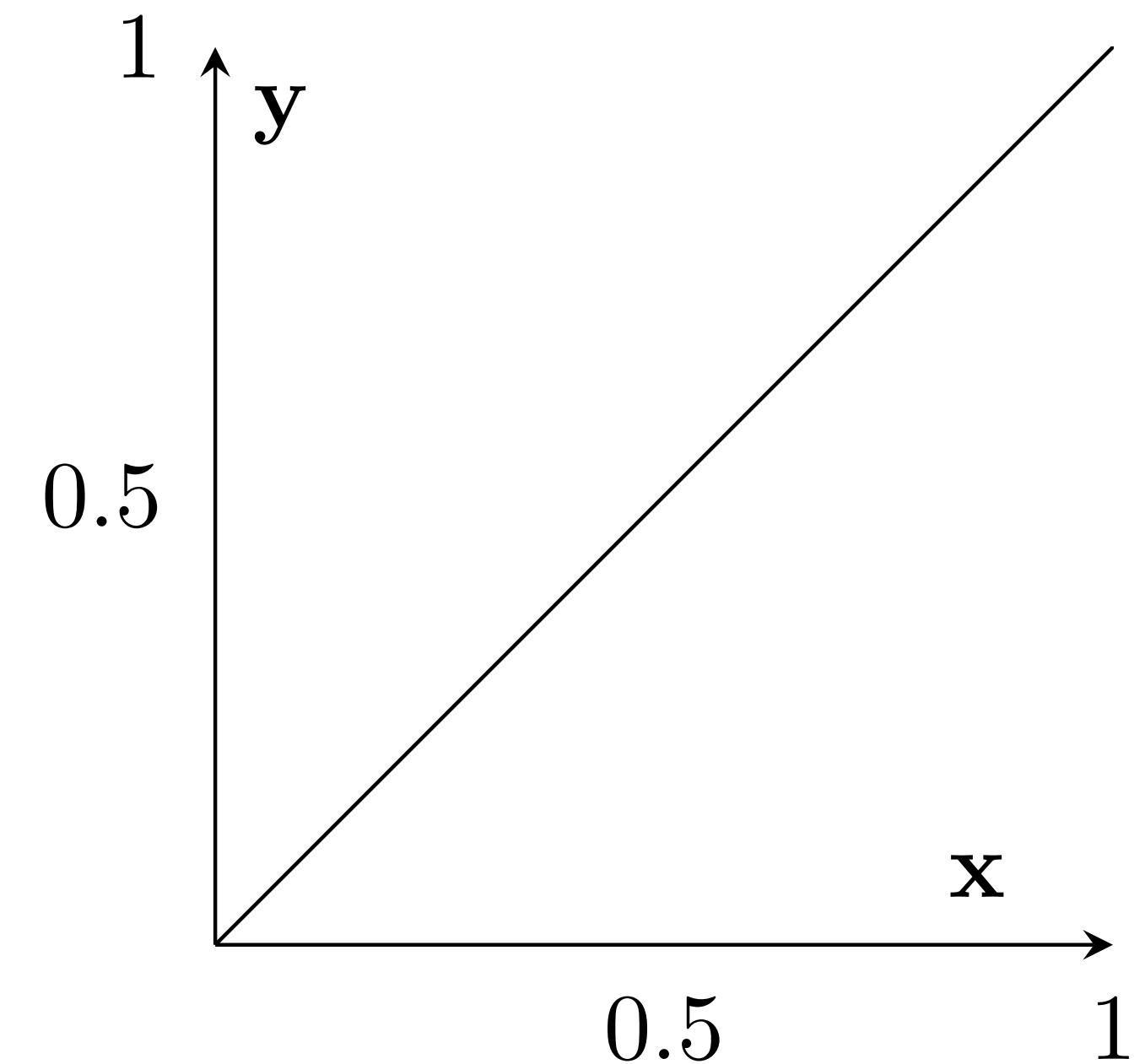
© source unknown. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <https://ocw.mit.edu/help/faq-fair-use/>

Represent data as a neural **embedding** — a vector/tensor of neural activations  
(perhaps representing a vector of detected texture patterns or object parts)

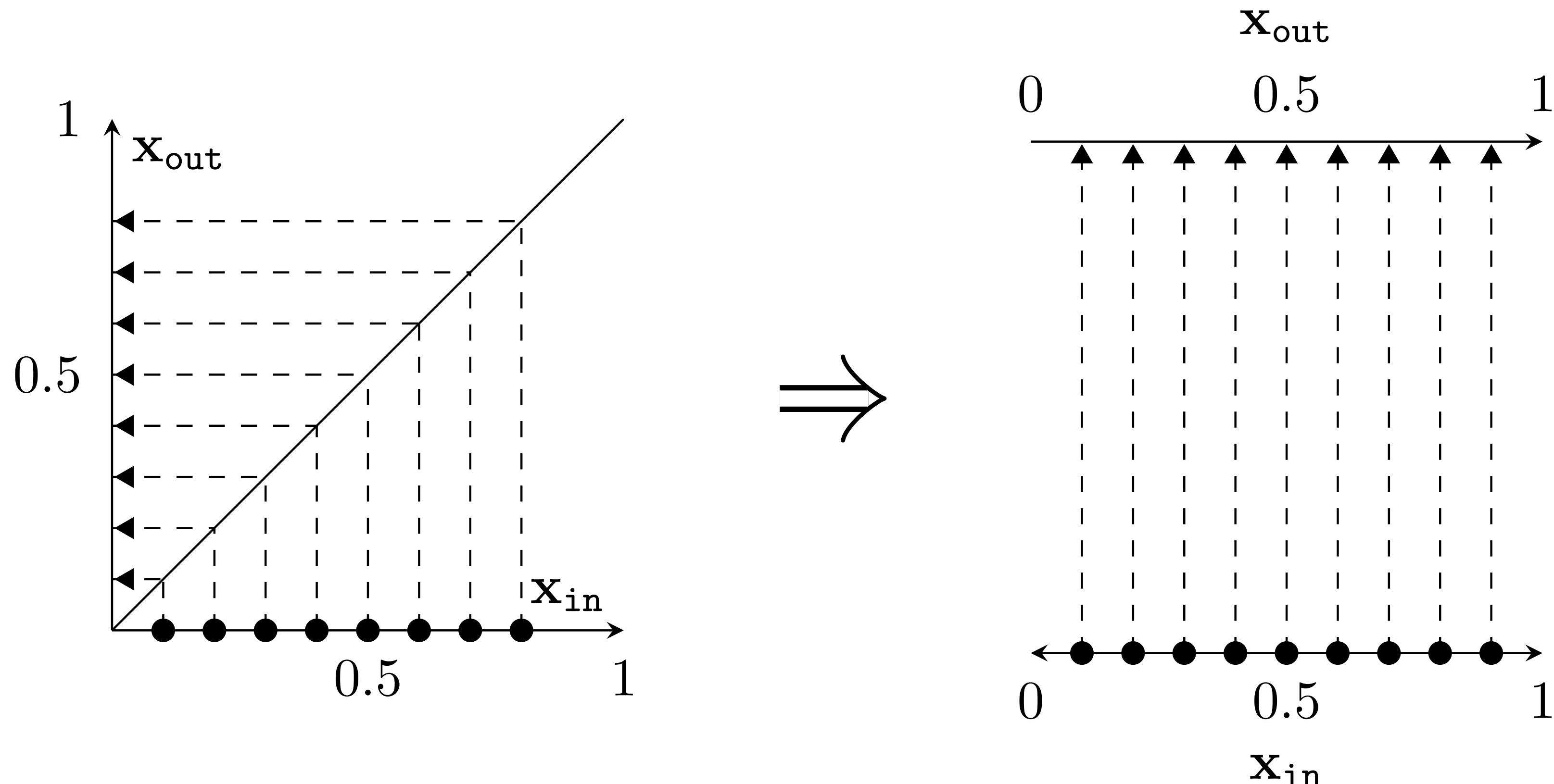
# x2vec



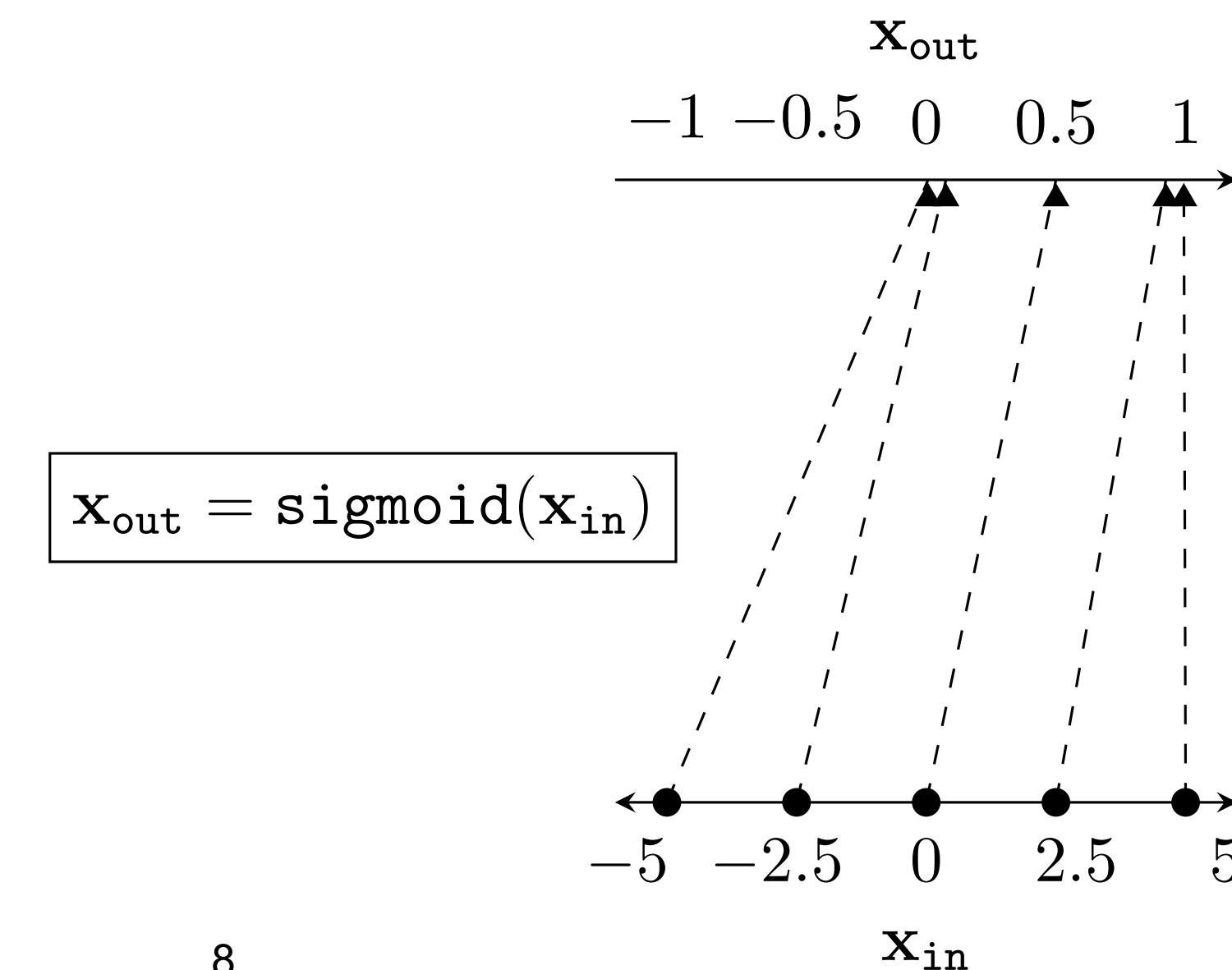
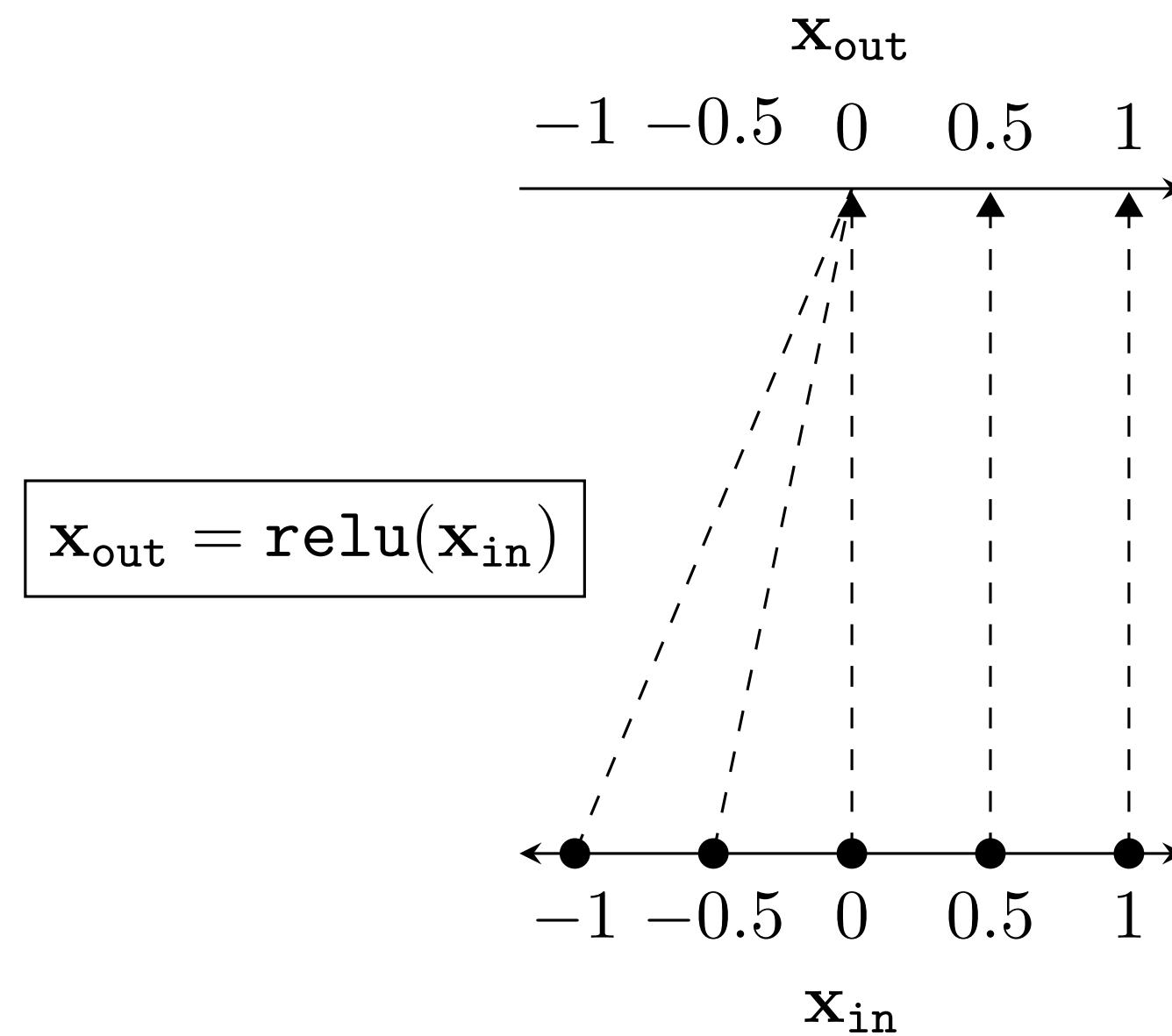
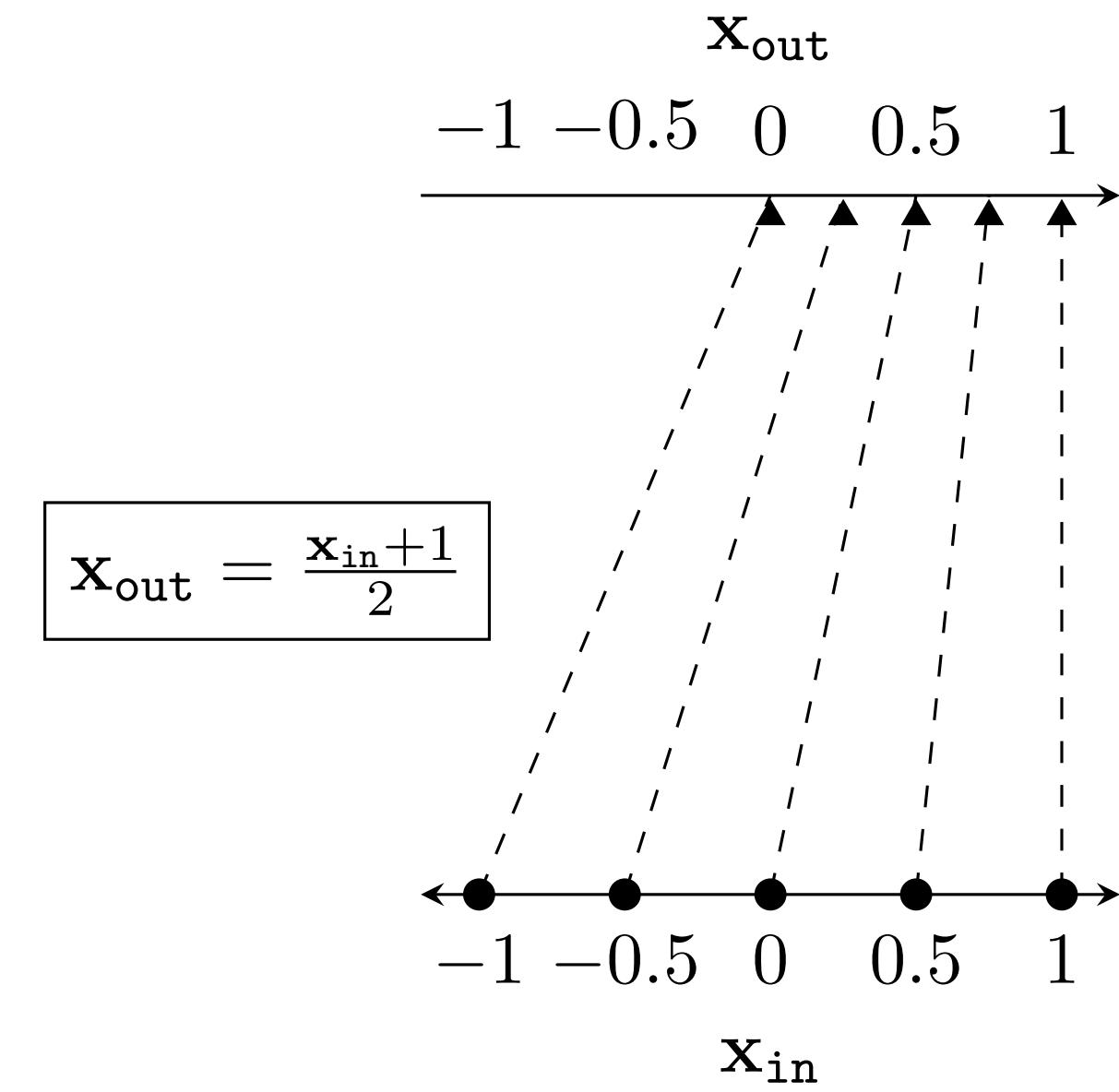
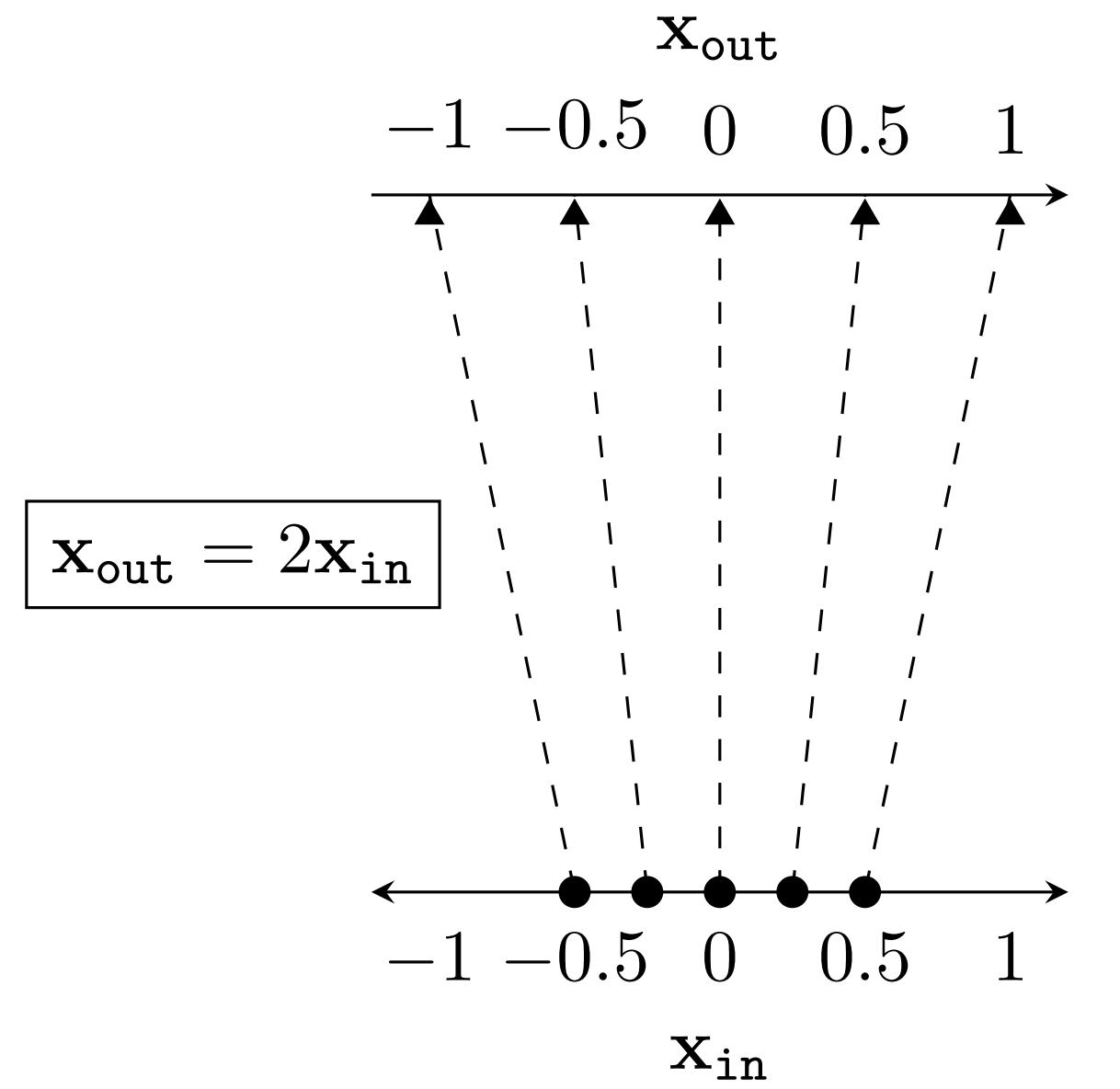
# Two different ways to represent a function



# Two different ways to represent a function



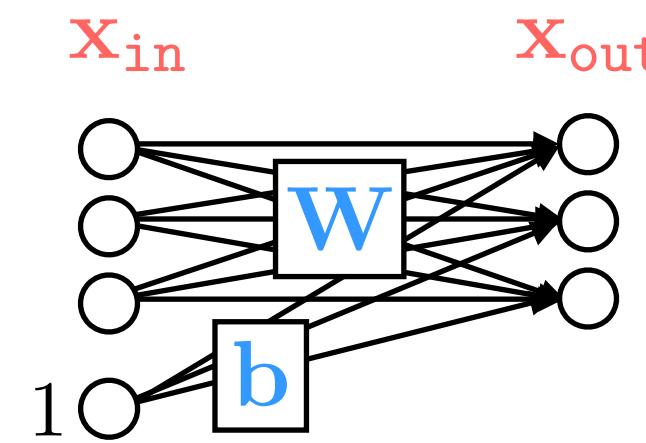
# Data transformations for a variety of neural net layers



Activations
Parameters

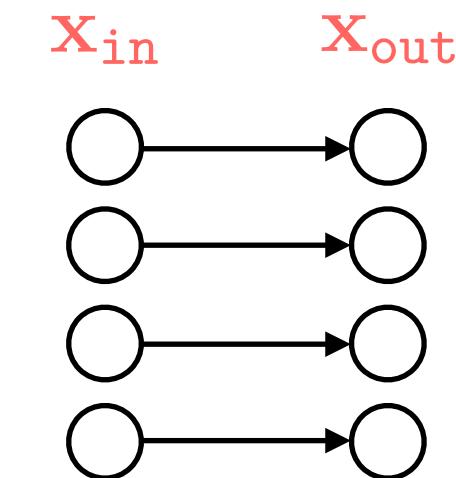
Wiring graph

linear



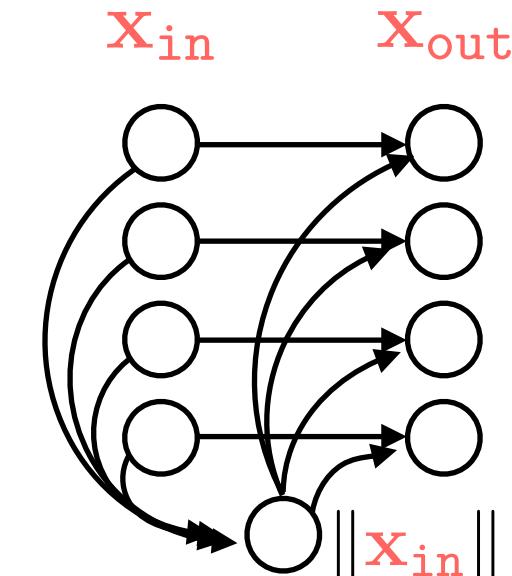
$$x_{out} = Wx_{in} + b$$

relu



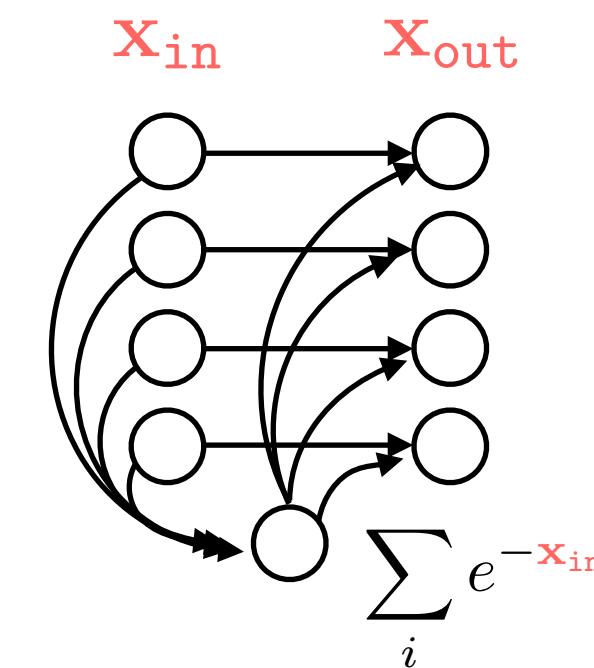
$$x_{out}[i] = \max(x_{in}[i], 0)$$

L2-norm



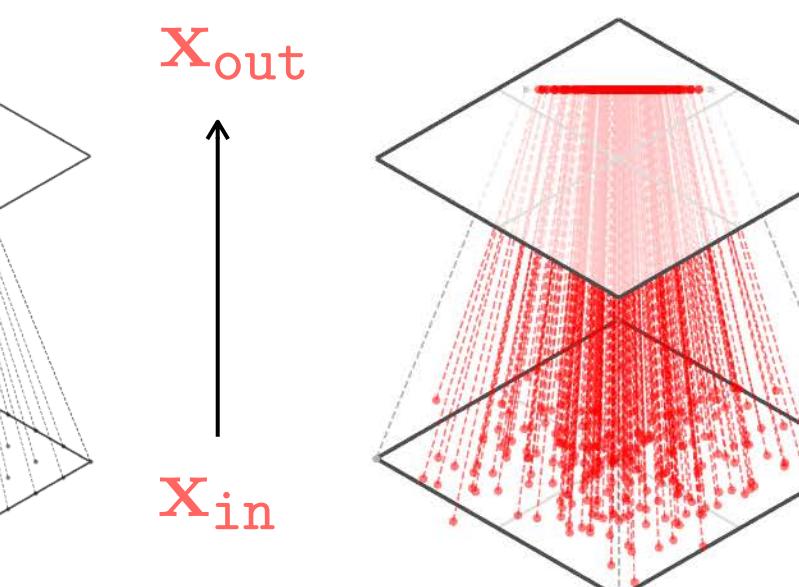
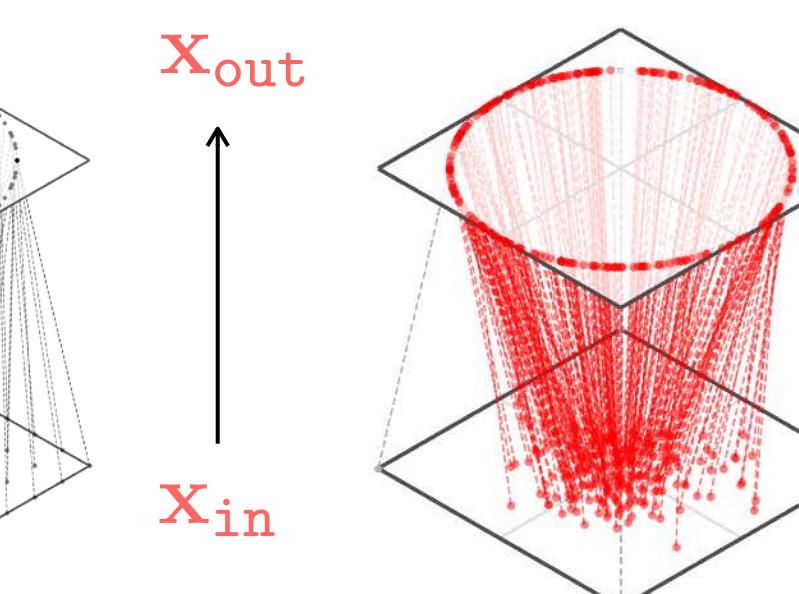
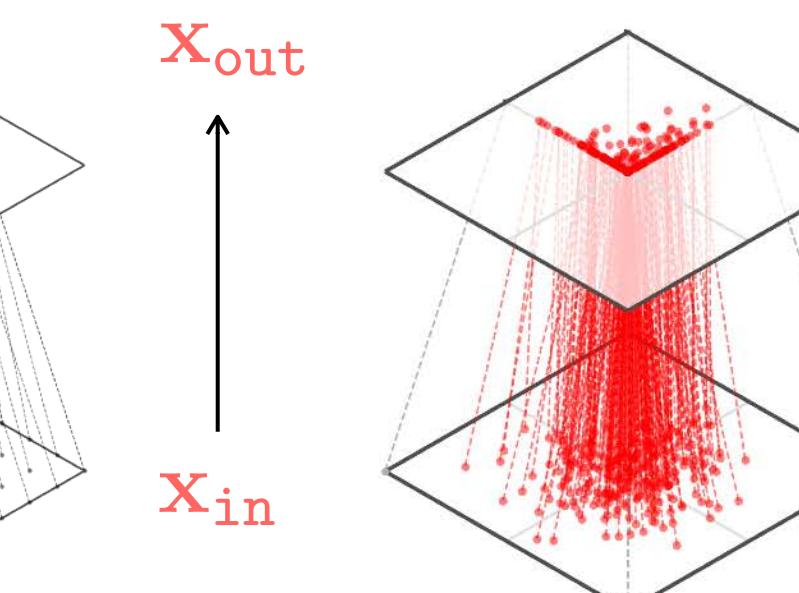
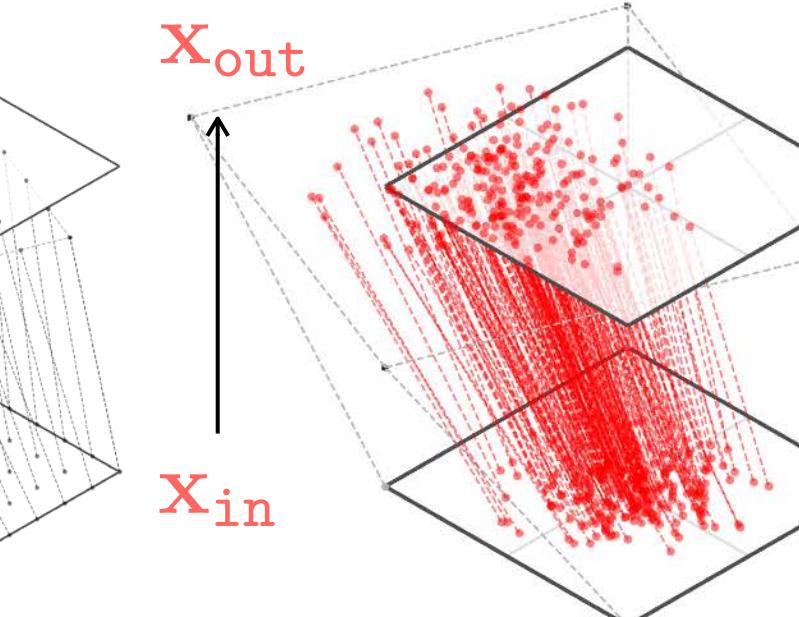
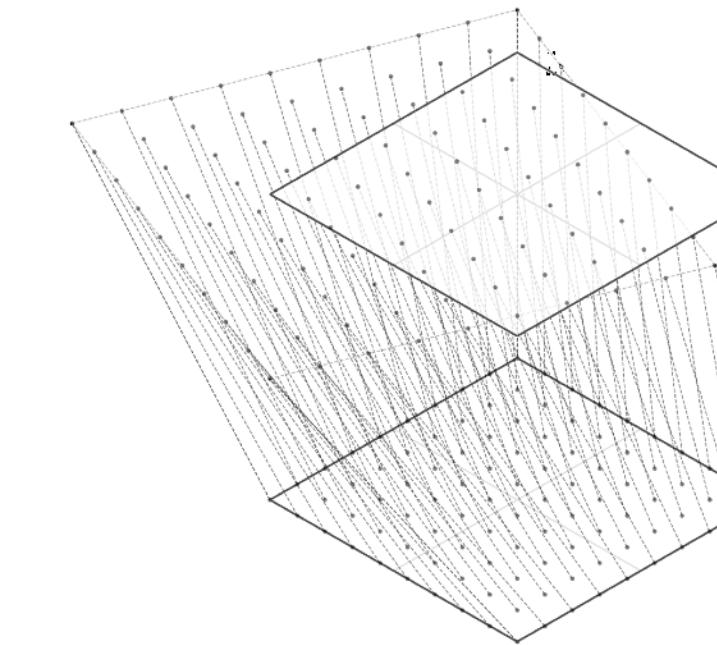
$$x_{out}[i] = \frac{x_{in}[i]}{\|x_{in}\|_2}$$

softmax



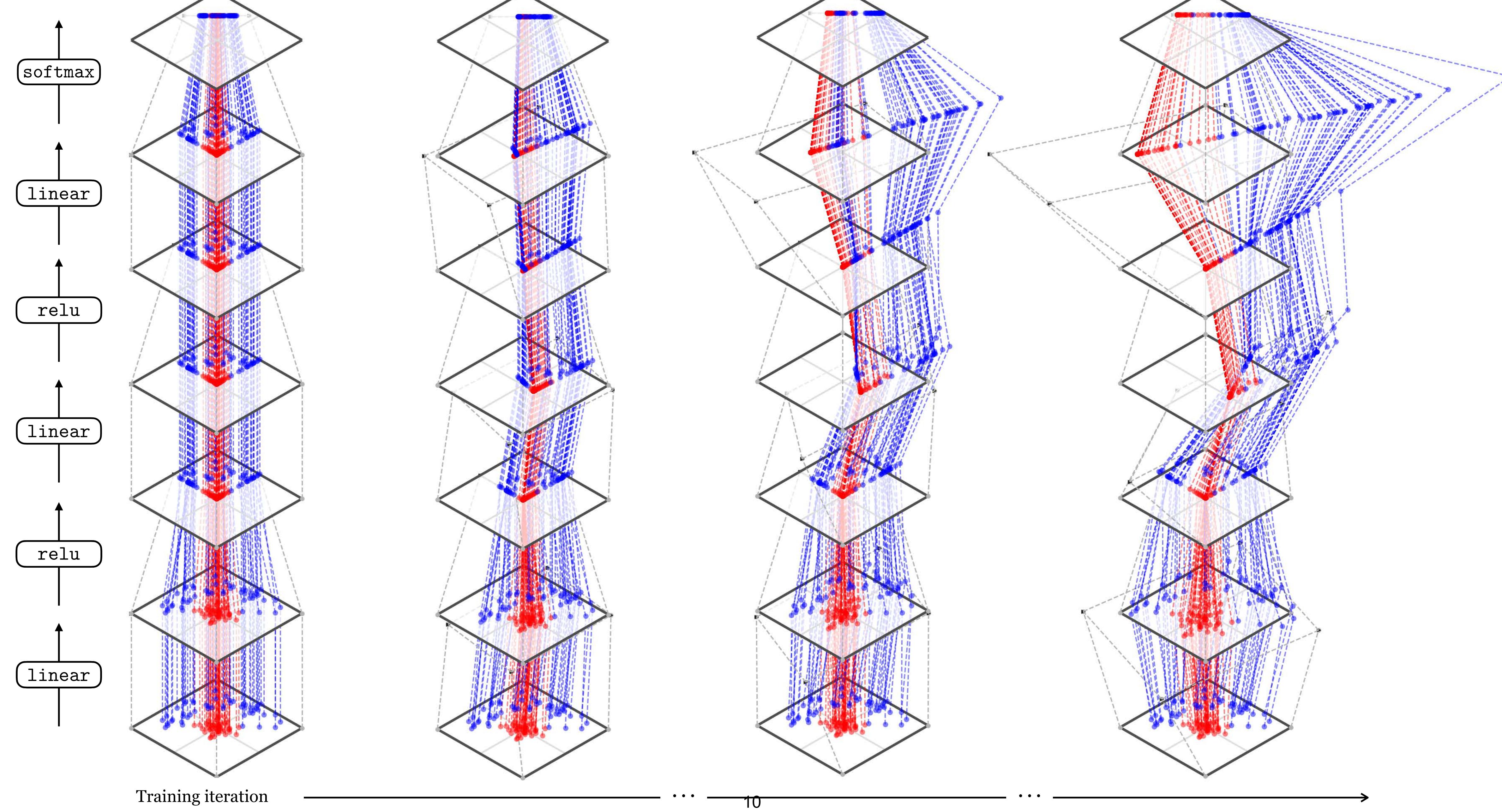
$$x_{out}[i] = \frac{e^{-\tau x_{in}[i]}}{\sum_{k=1}^K e^{-\tau x_{in}[k]}}$$

Equation

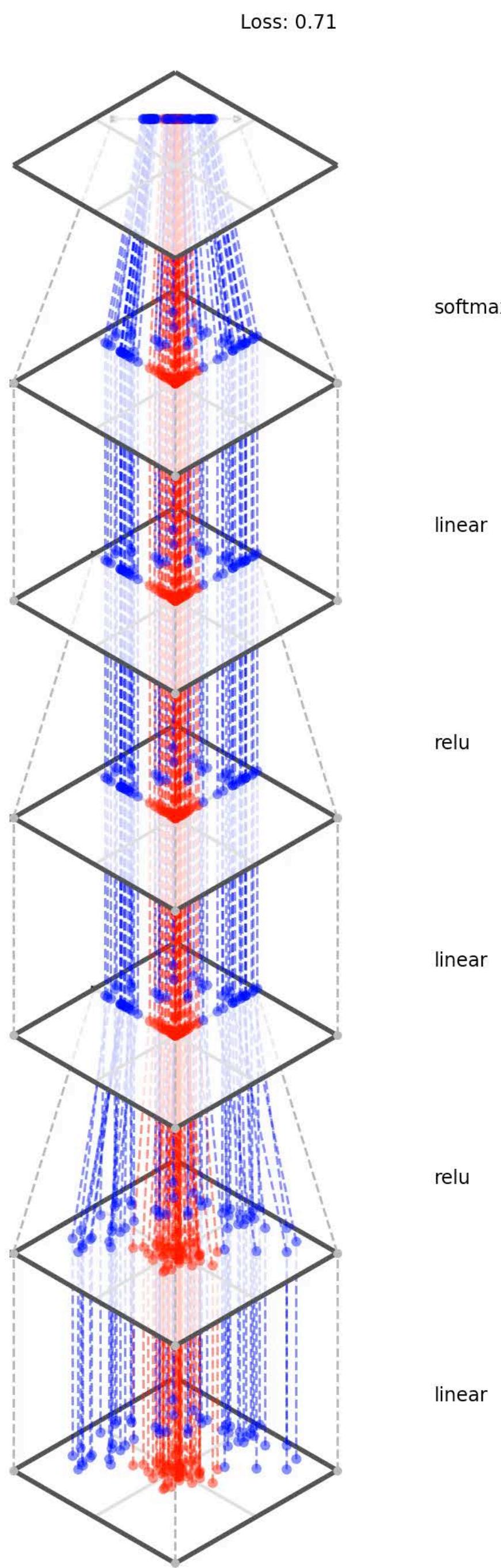


Mapping

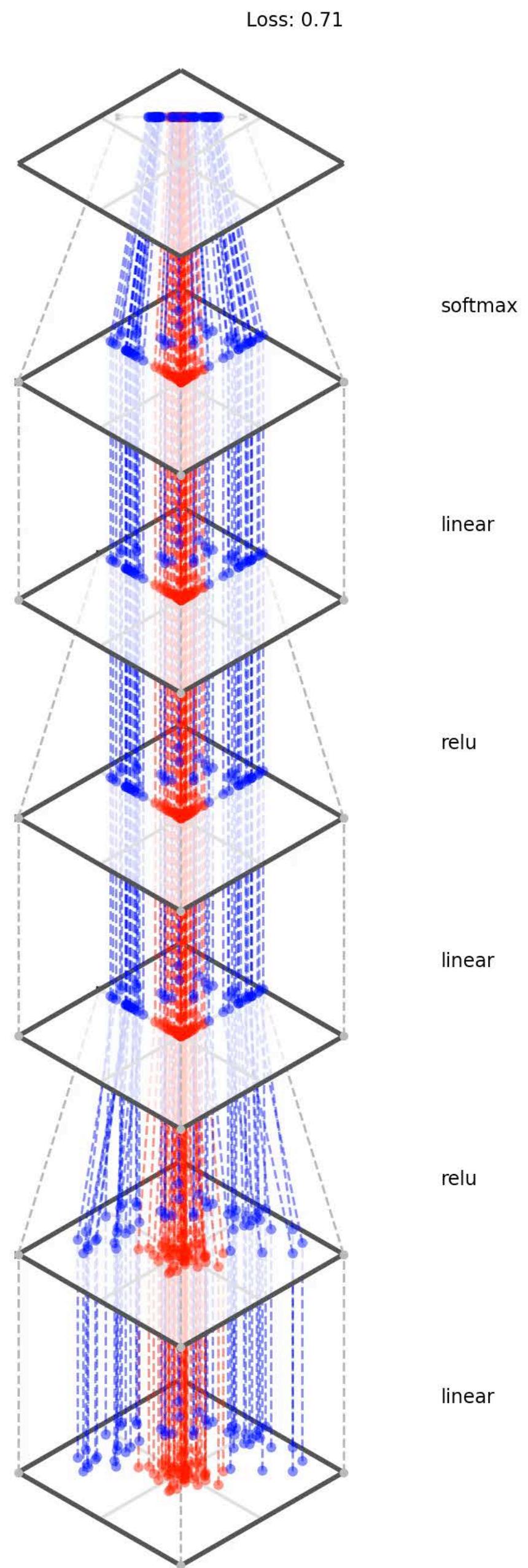
# MLP



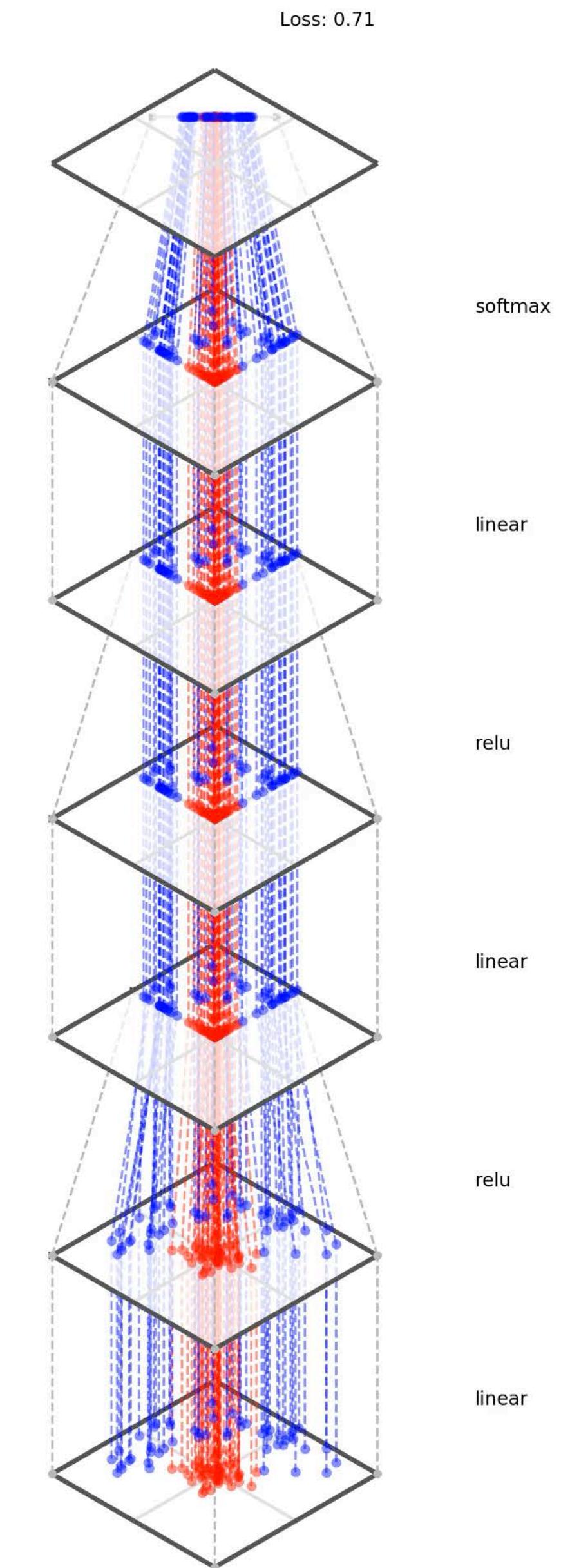
# MLP



SGD  
(lr=0.01)



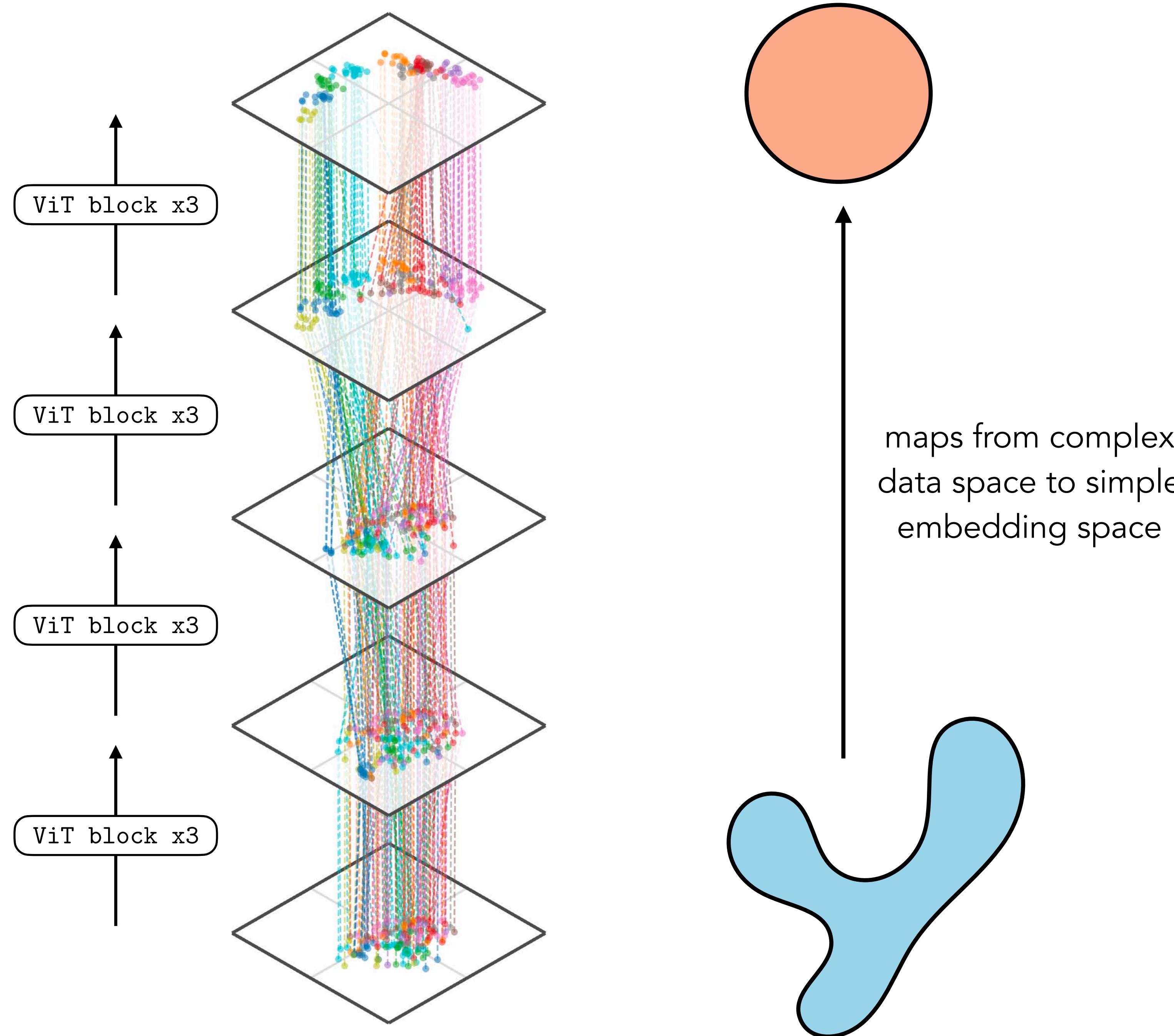
Steepest descent  
in spectral norm  
(see pset 2)  
(lr=0.002)

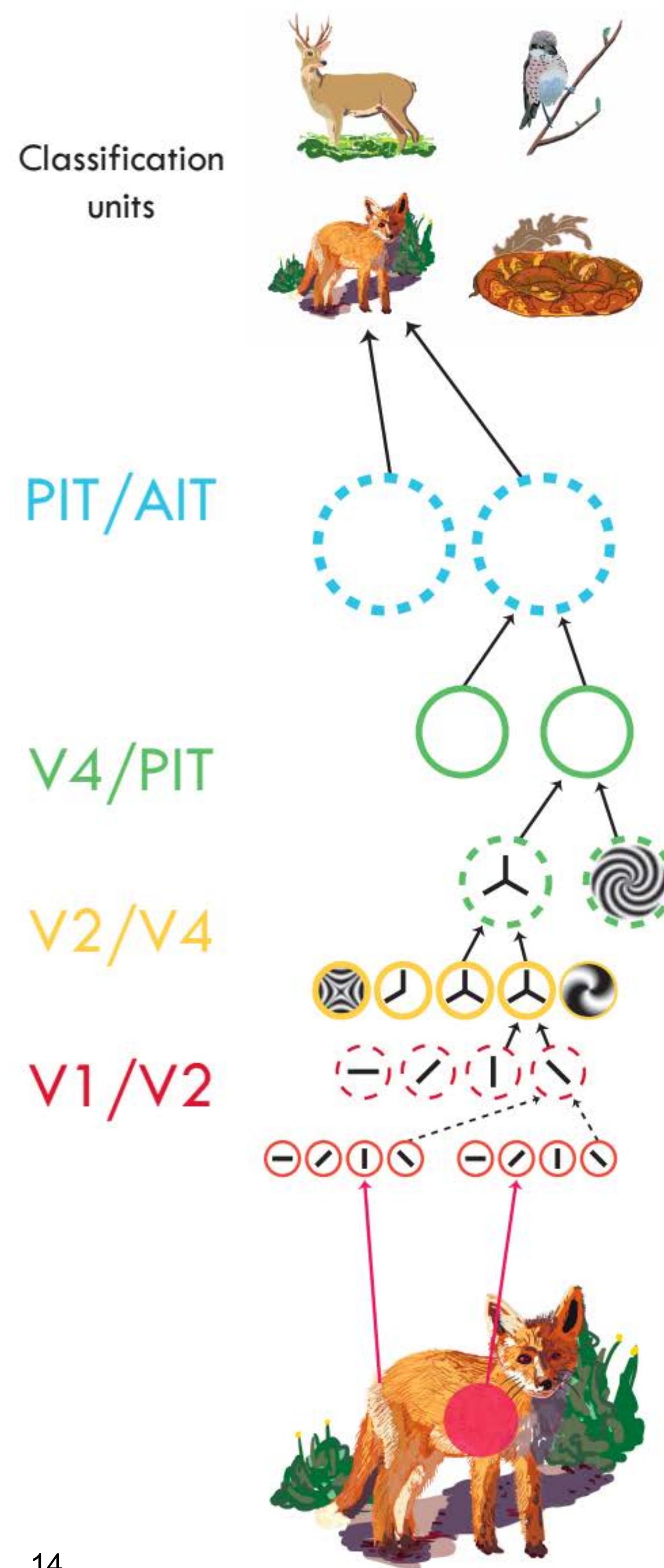


Code to make these: [https://colab.research.google.com/drive/1VBw\\_HOQg6J2HCgozEO-ktUM\\_KSFaYVUD?usp=sharing](https://colab.research.google.com/drive/1VBw_HOQg6J2HCgozEO-ktUM_KSFaYVUD?usp=sharing)

# CLIP

[Radford\*, Kim\* et al., ICML 2021]



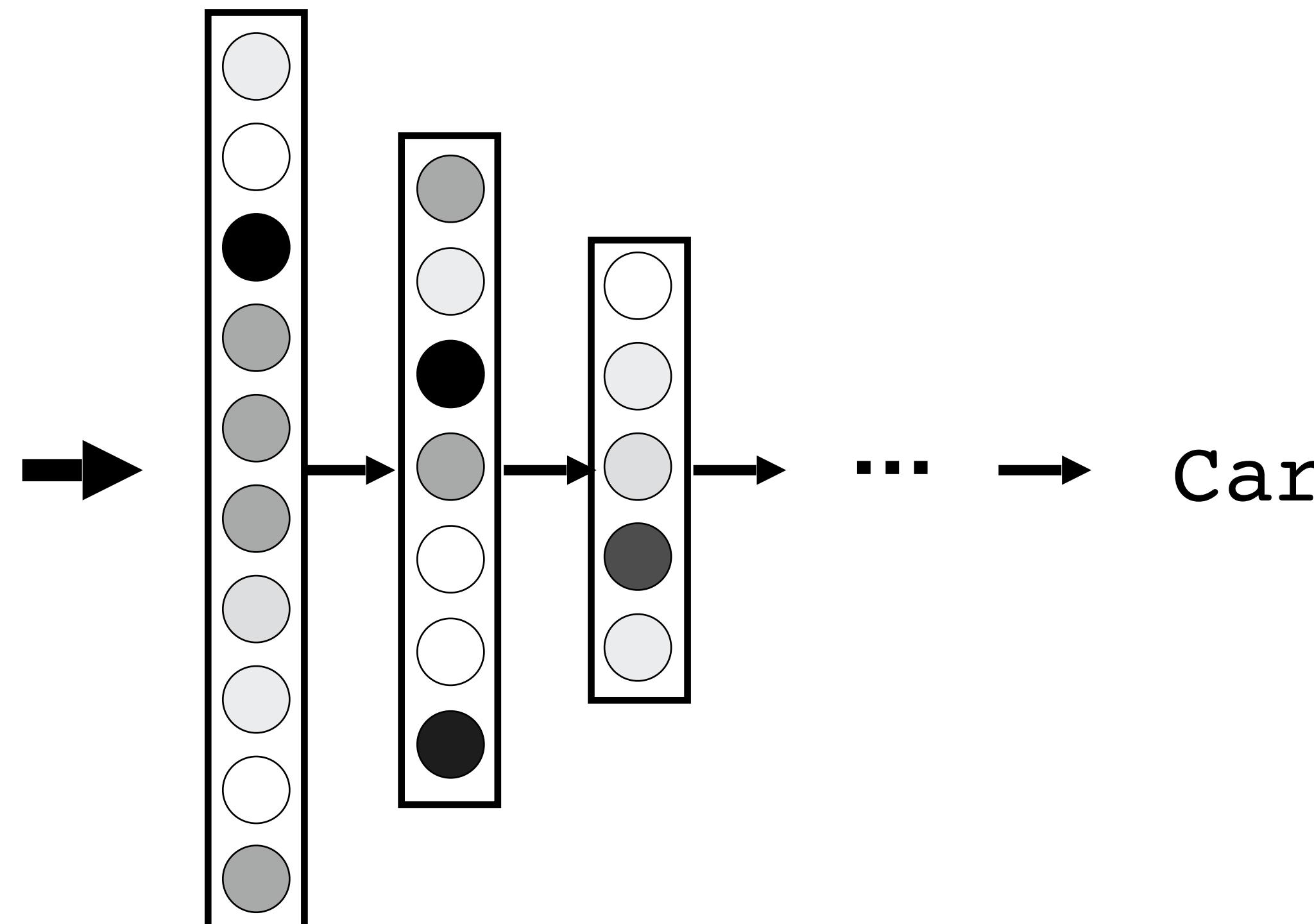


# What do deep nets internally learn?

**X**

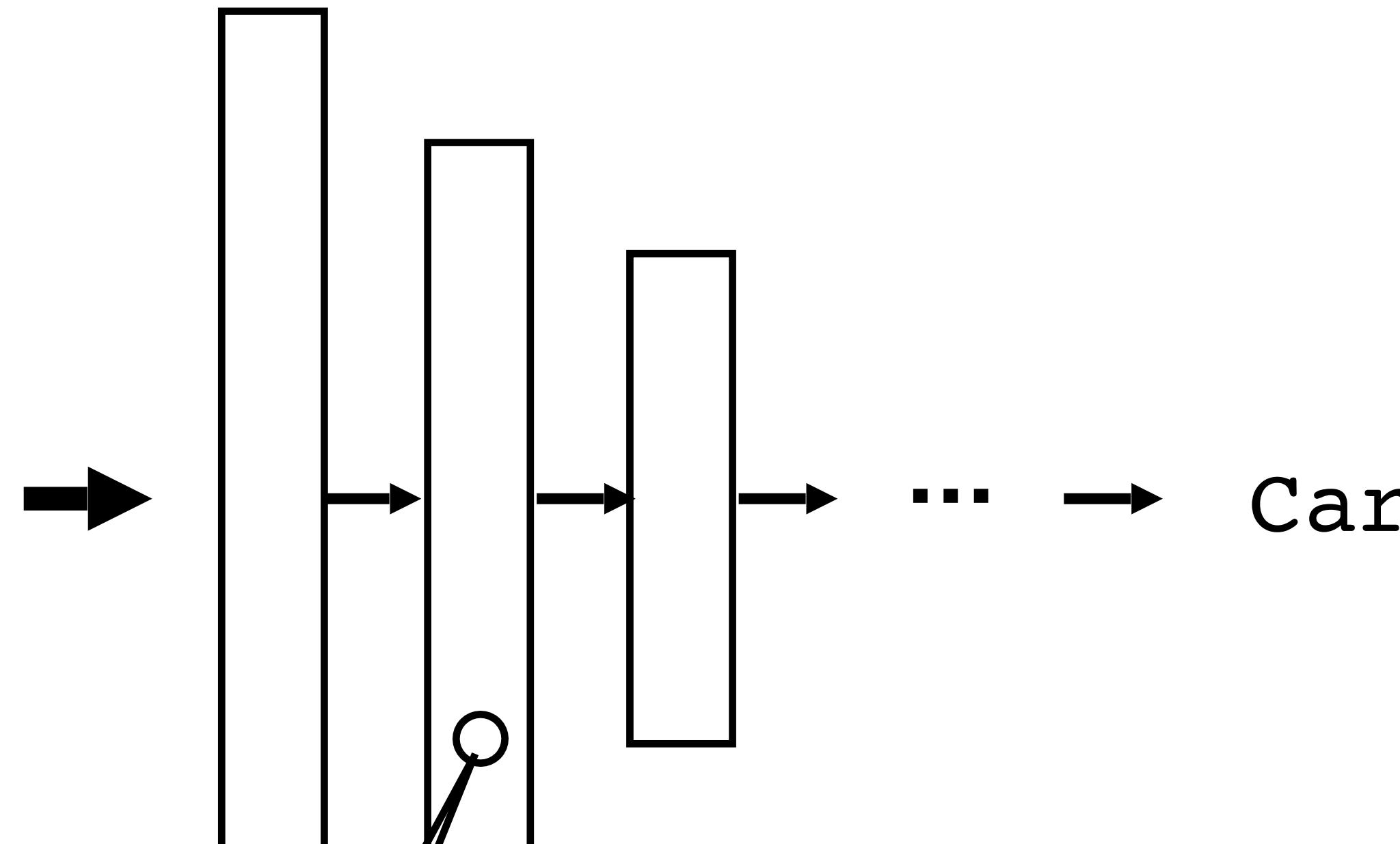


**Image**

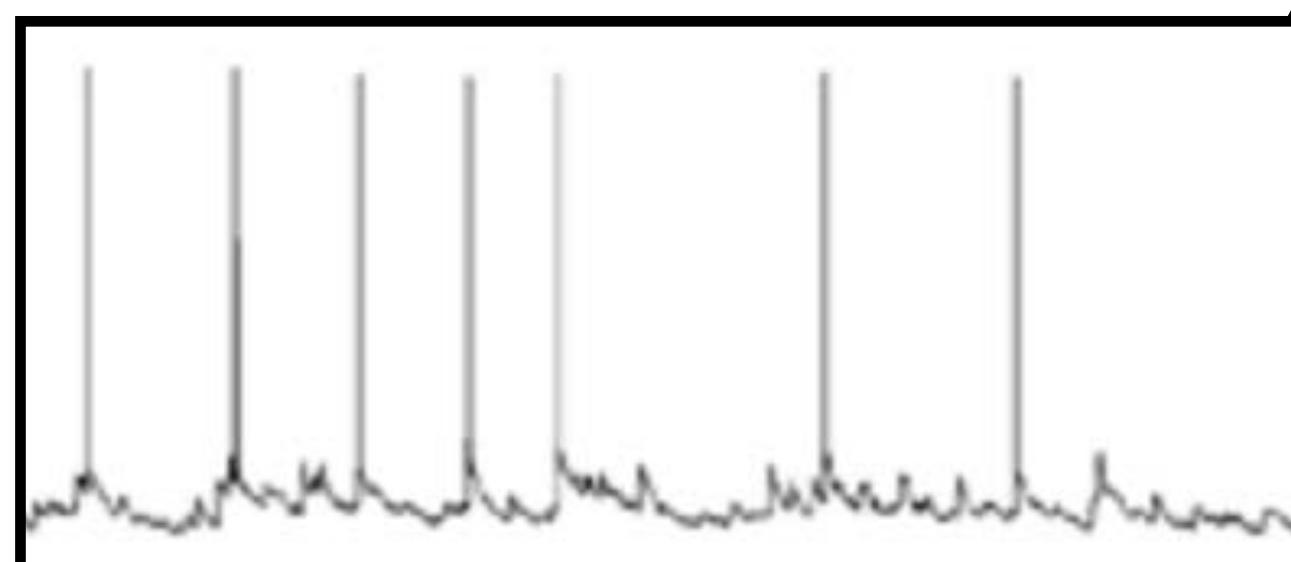


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# Deep Net "Electrophysiology"



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[Zeiler & Fergus, ECCV 2014]  
[Zhou, Khosla, Lapedriza, Oliva, Torralba., ICLR 2015]

# Visualizing and Understanding CNNs

[<https://arxiv.org/pdf/1311.2901>]

Image patches that  
activate several of the  
**layer 1** neurons most  
strongly

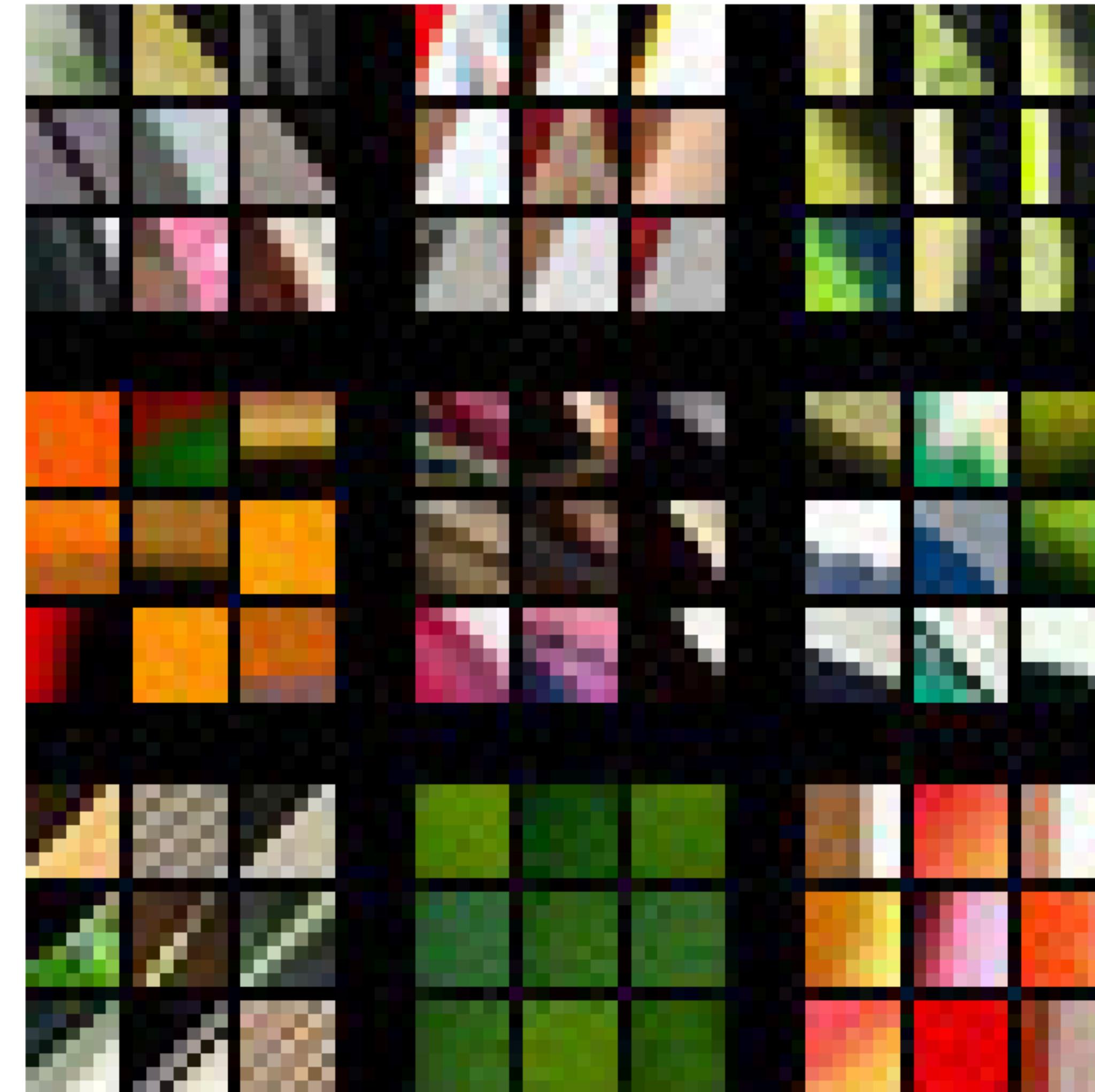
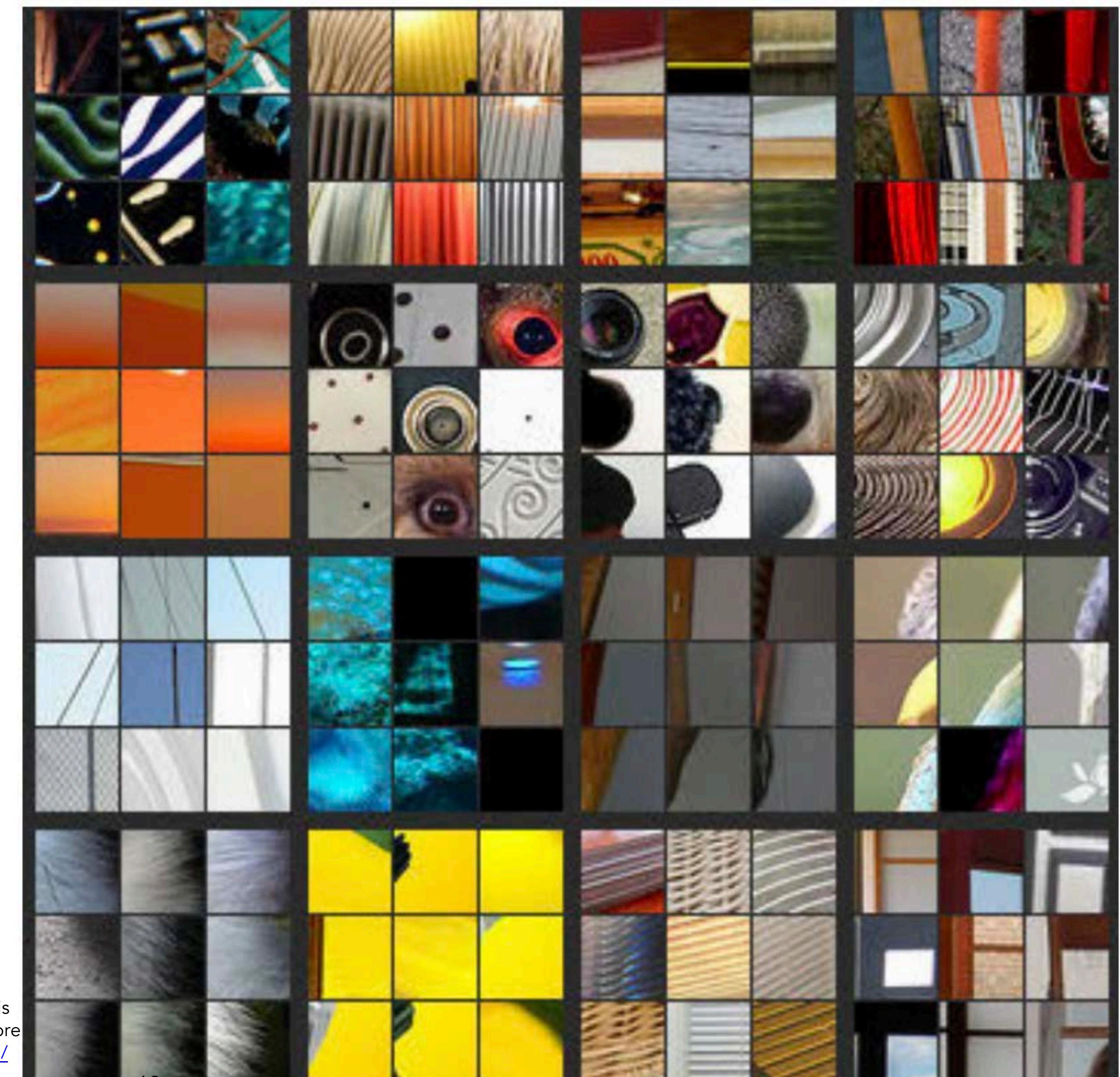


Image patches that  
activate several of the  
**layer 2** neurons most  
strongly



[Zeiler and Fergus, 2014]

Image patches that activate several of the layer 3 neurons most strongly

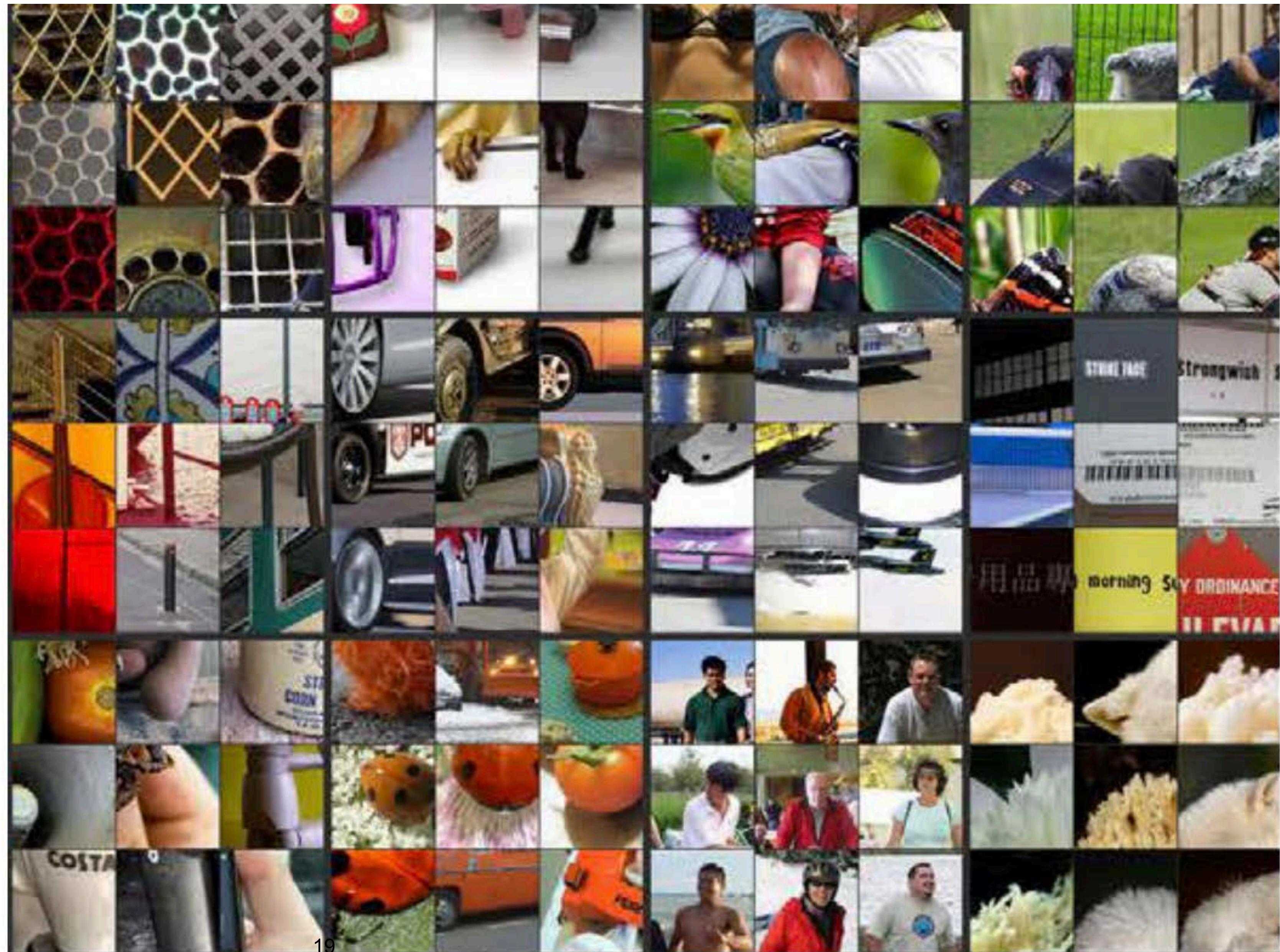
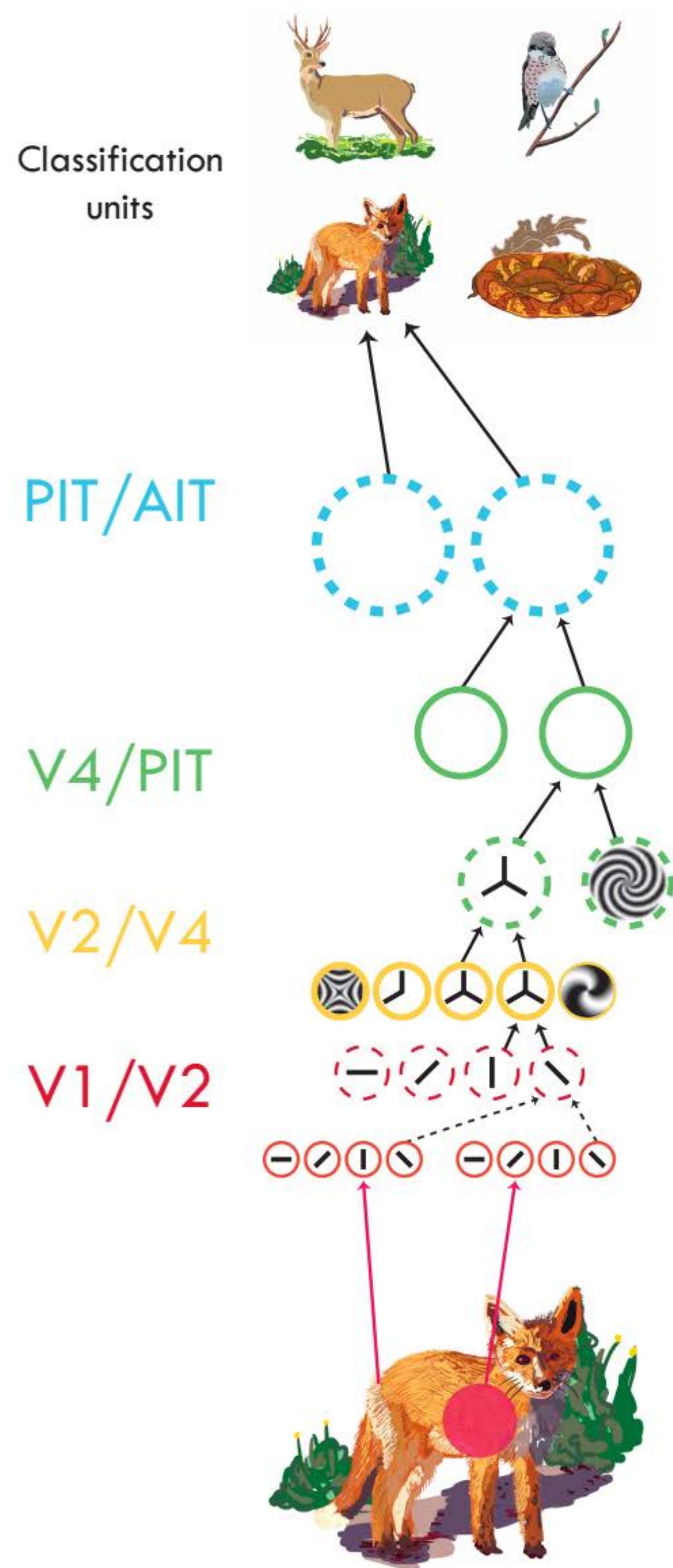


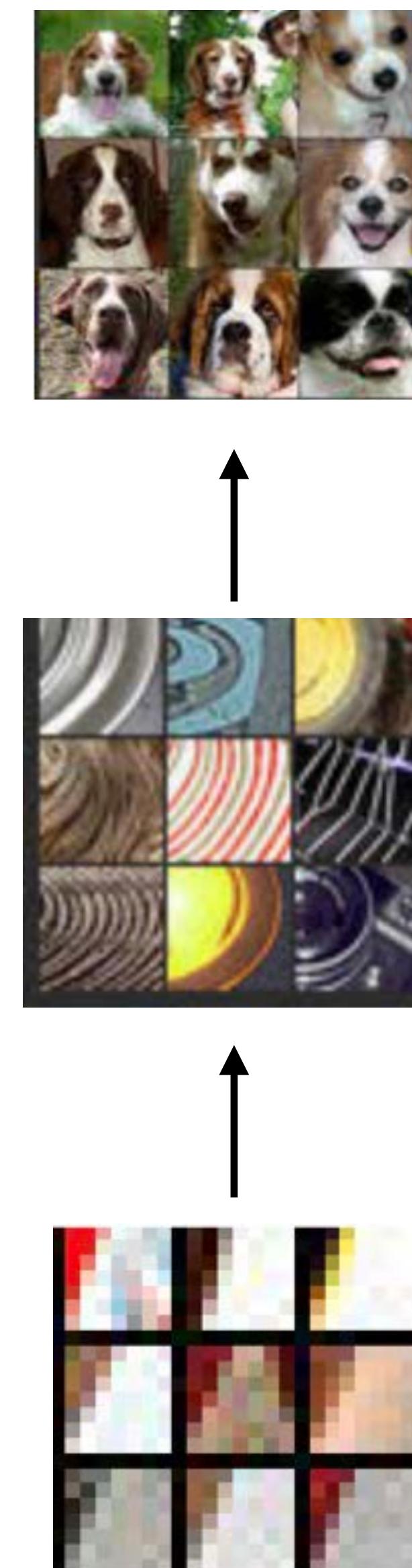
Image patches that  
activate several of the  
**layer 5** neurons most  
strongly





[Serre, 2014]

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[Zeiler & Fergus, ECCV 2014]

# What is a representation?

Mainly, we will restrict our attention to **vector embeddings**

A representation of a data domain  $\mathcal{X}$  is a function  $f: \mathcal{X} \rightarrow \mathbb{R}^d$  that assigns a feature vector to each input in that domain. This function is called an **encoder**.

A representation of a datapoint  $\mathbf{x}$  is a vector  $\mathbf{z} \in \mathbb{R}^d$  with  $\mathbf{z} = f(\mathbf{x})$ .

# Why learn representations?

# To do more learning! (aka Transfer learning)

“Generally speaking, a good representation is one that makes a subsequent learning task easier.” — *Deep Learning*, Goodfellow et al. 2016



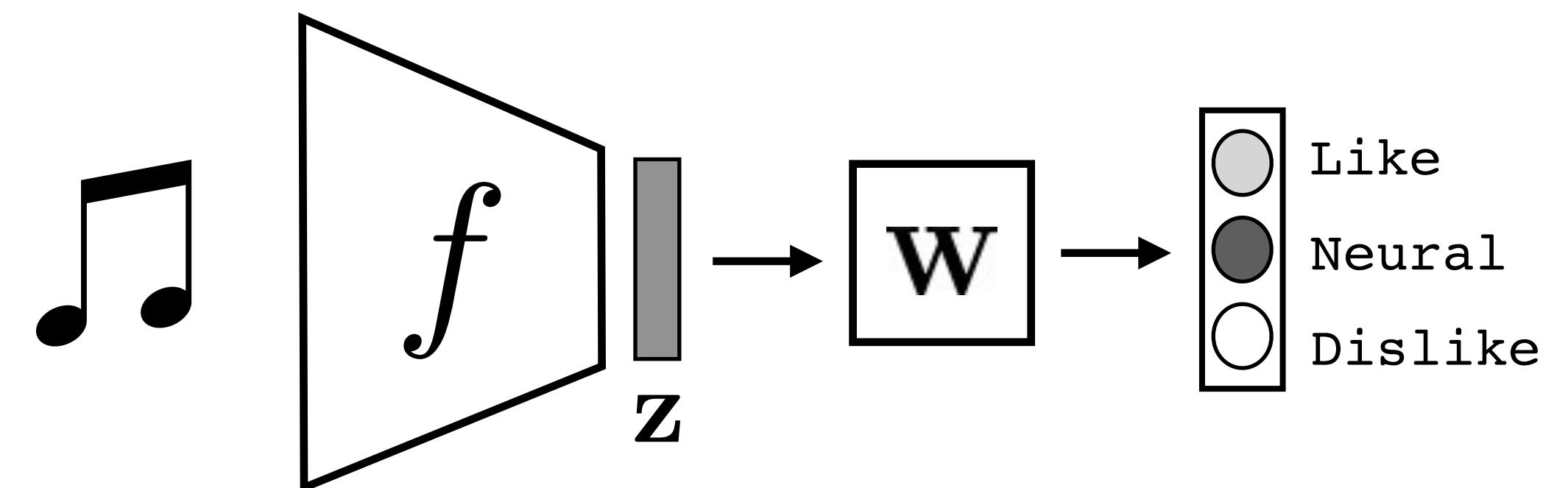
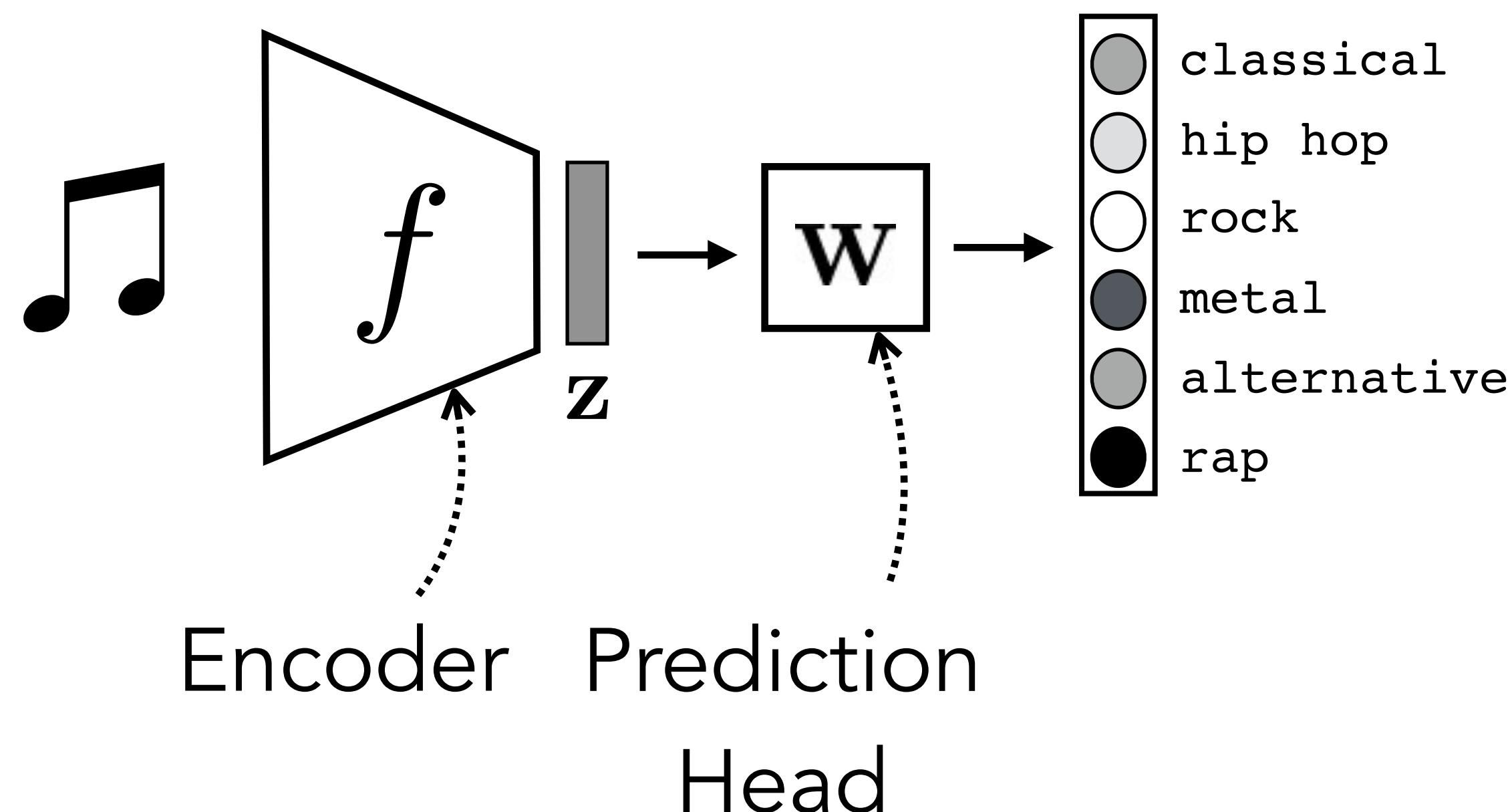
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## Training

## Testing

Genre recognition

Preference prediction

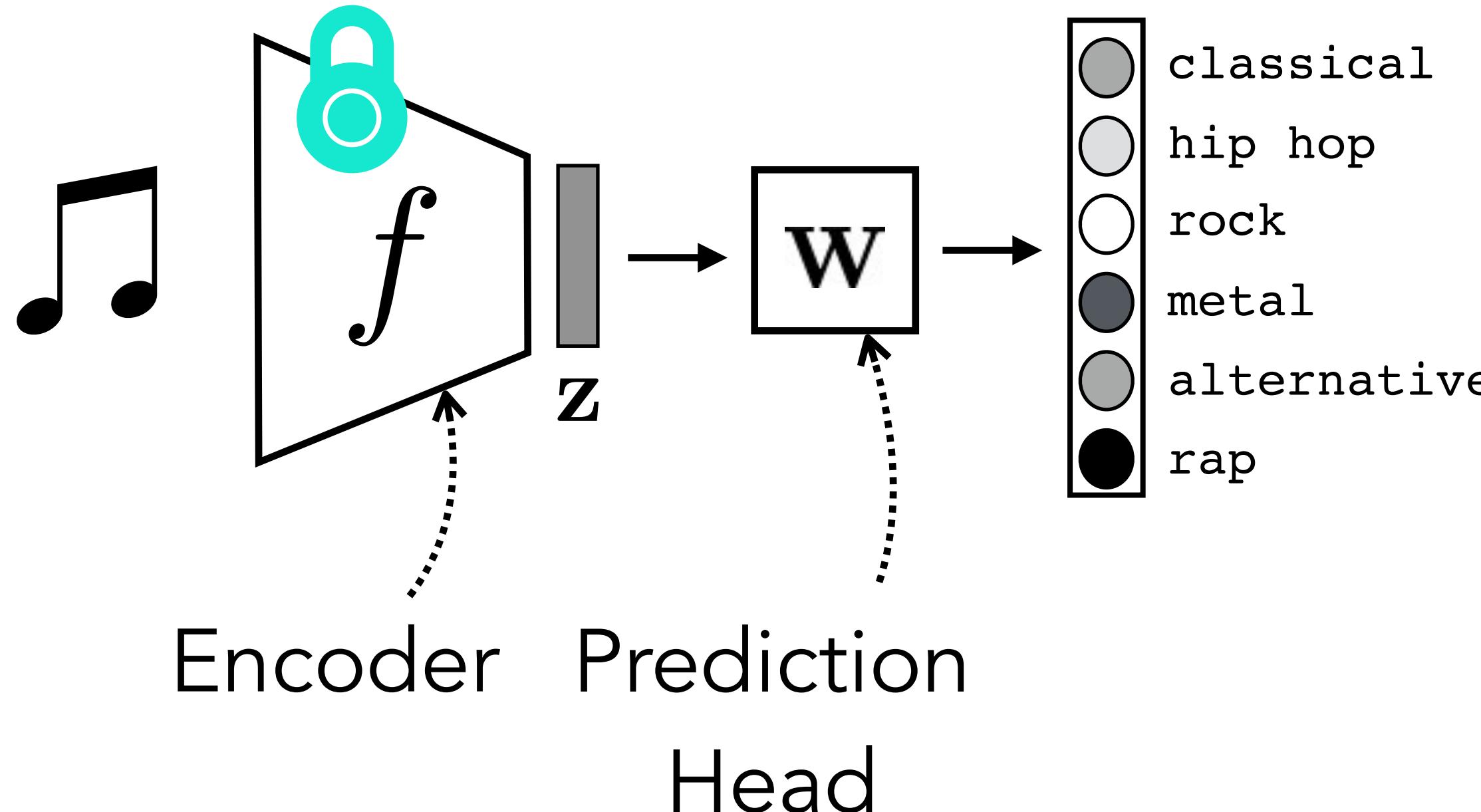


Often, what we will be “tested” on is not what we were trained on.

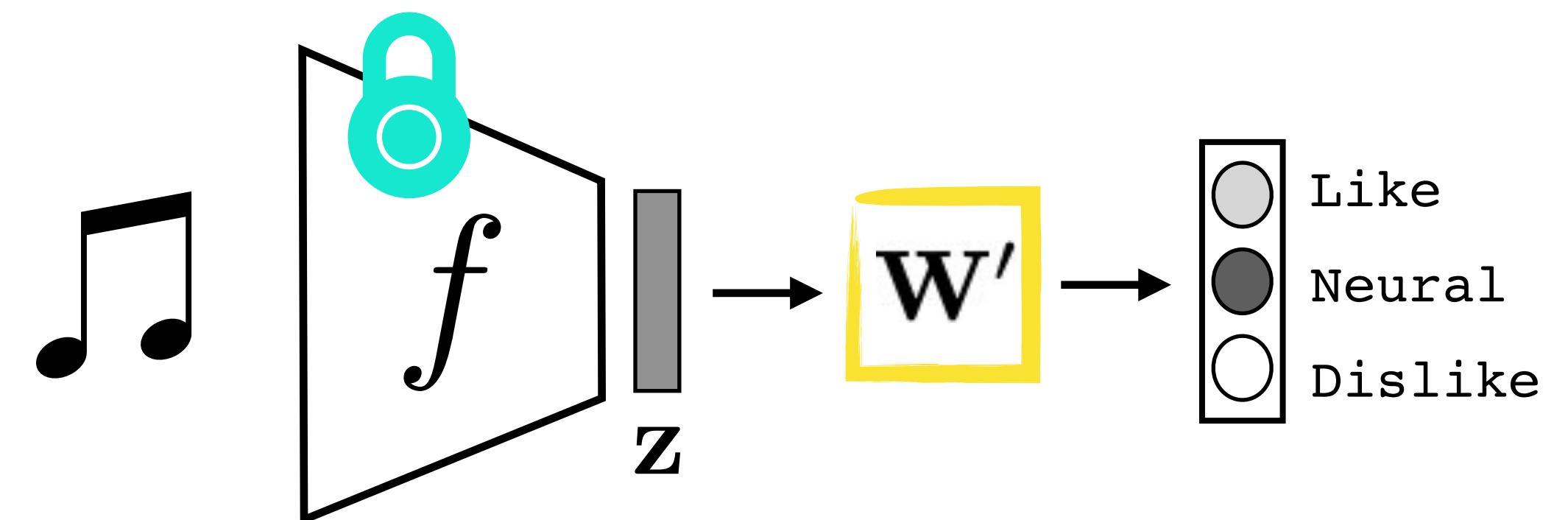
# Training

# Adapting

Genre recognition



Preference prediction

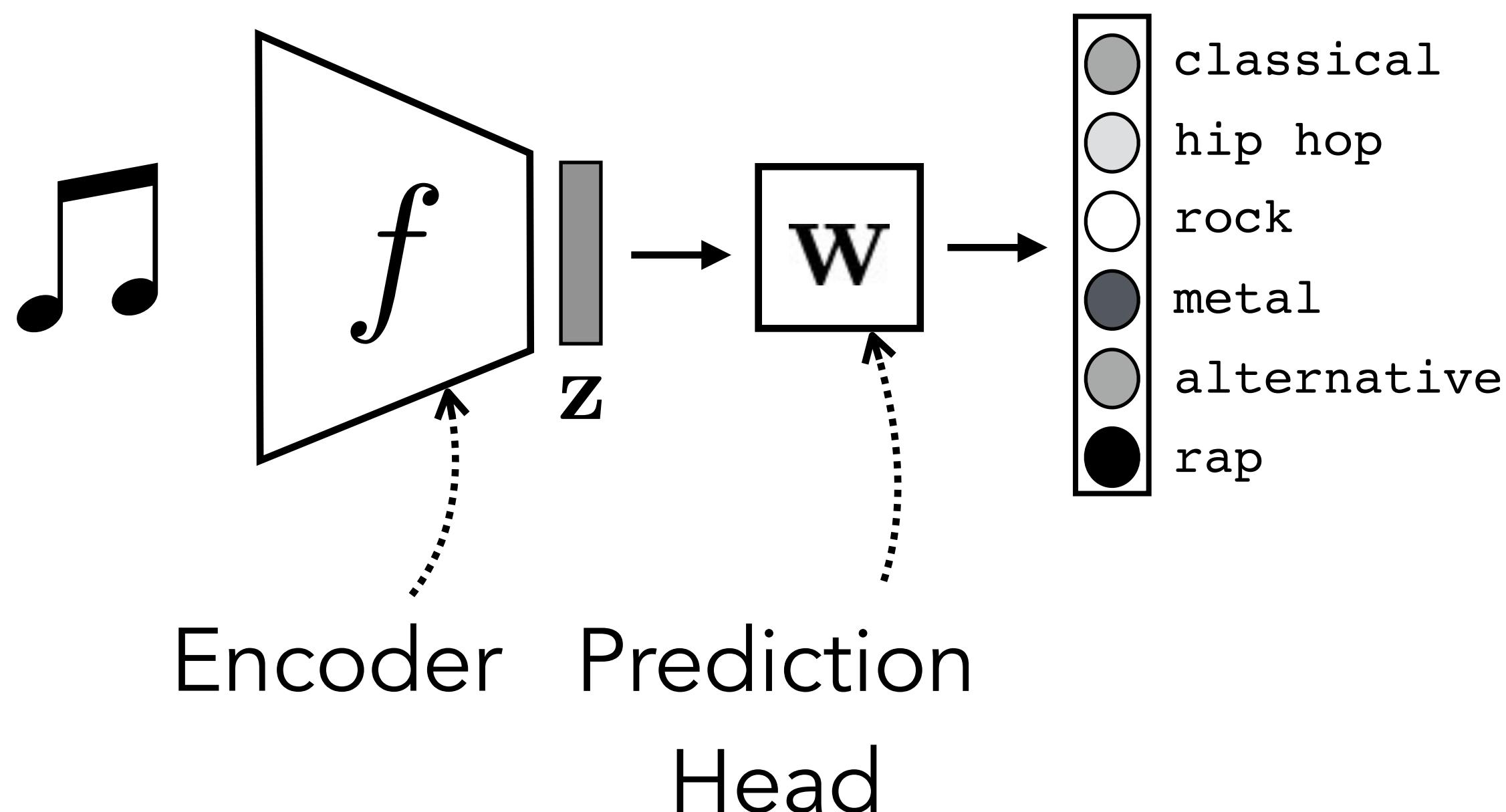


**Linear adaptation:** freeze  $f$ , train a new linear map to new target data

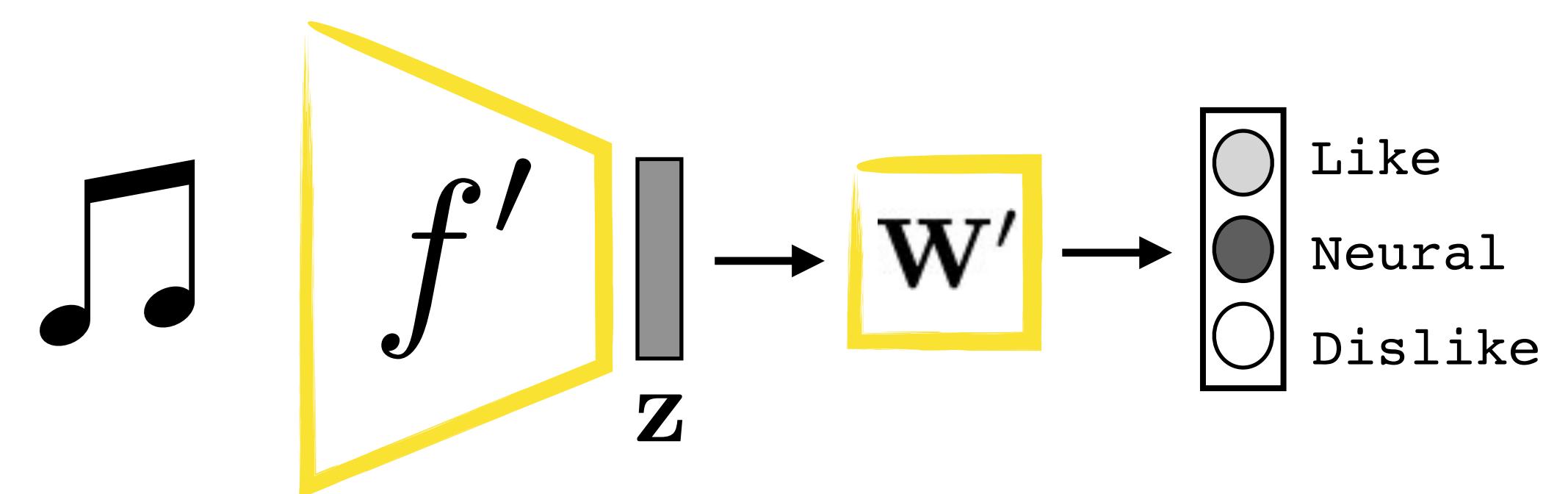
## Training

## Adapting

Genre recognition



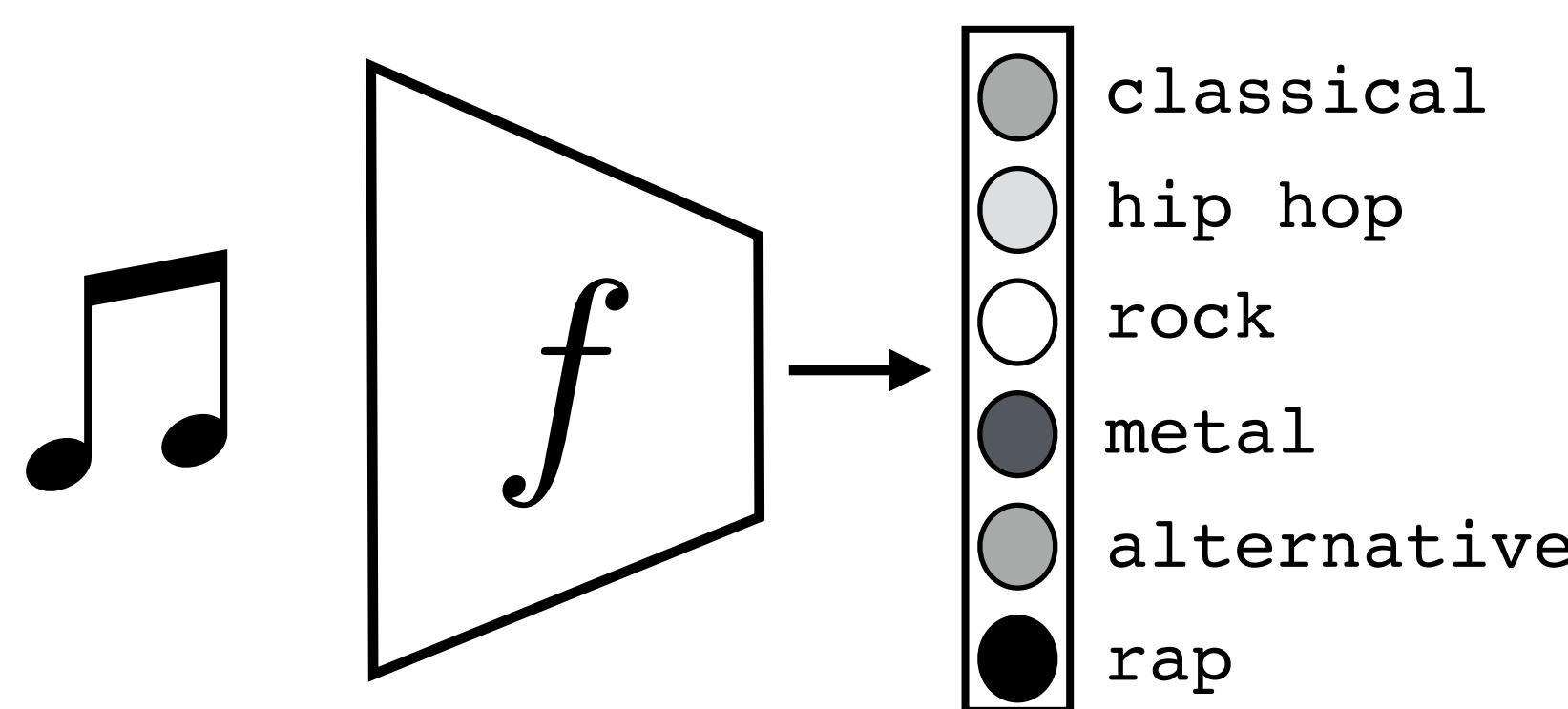
Preference prediction



**Finetuning:** initialize  $f'$  as  $f$ , then continue training on new target data

## Pretraining

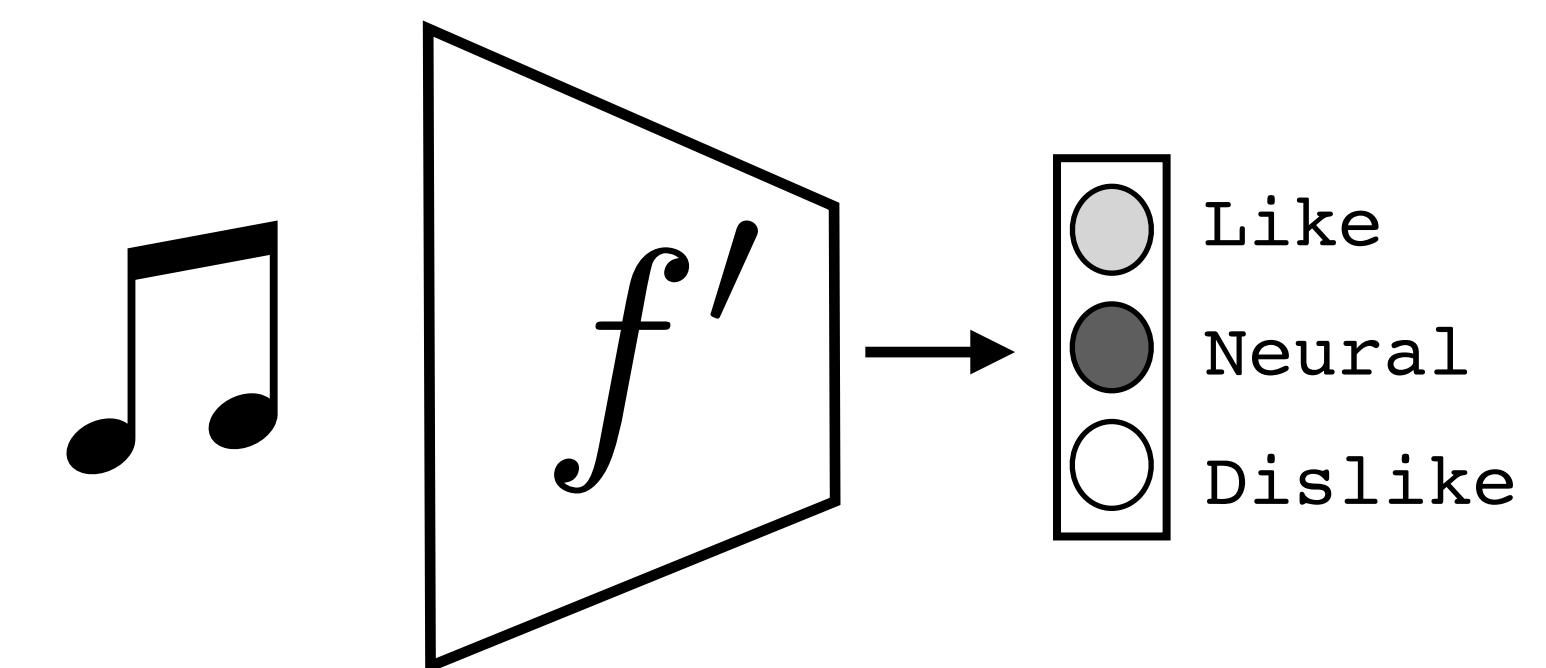
Genre recognition



*A lot of data*

## Adapting

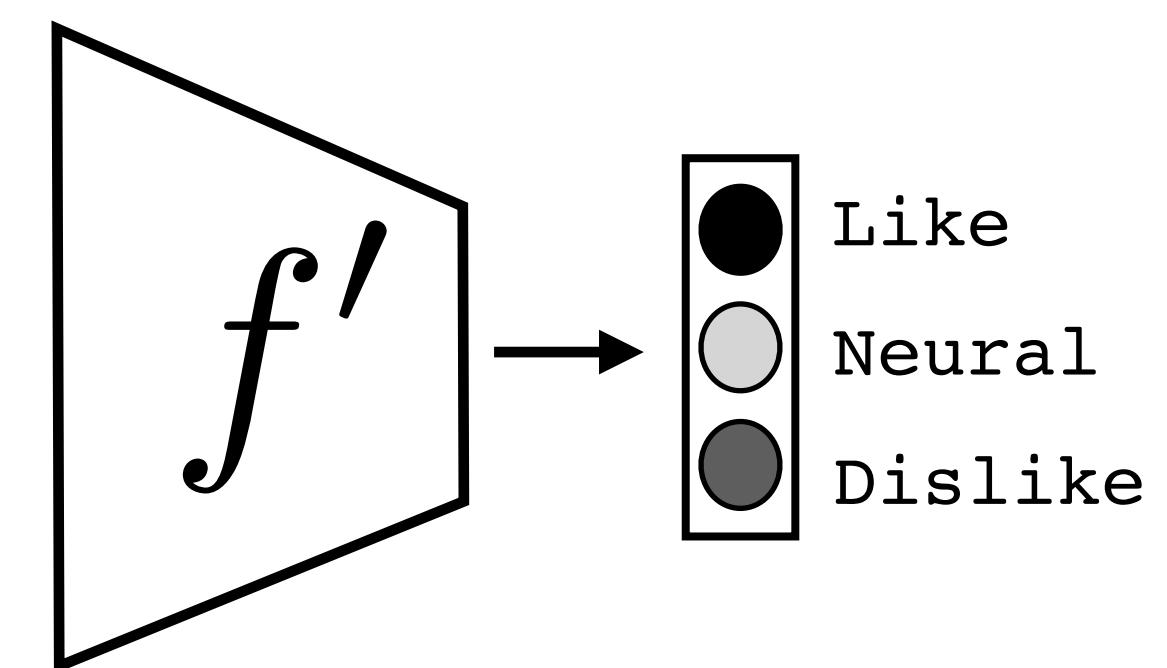
Preference prediction



*A little data*

## Testing

Preference prediction



# Finetuning

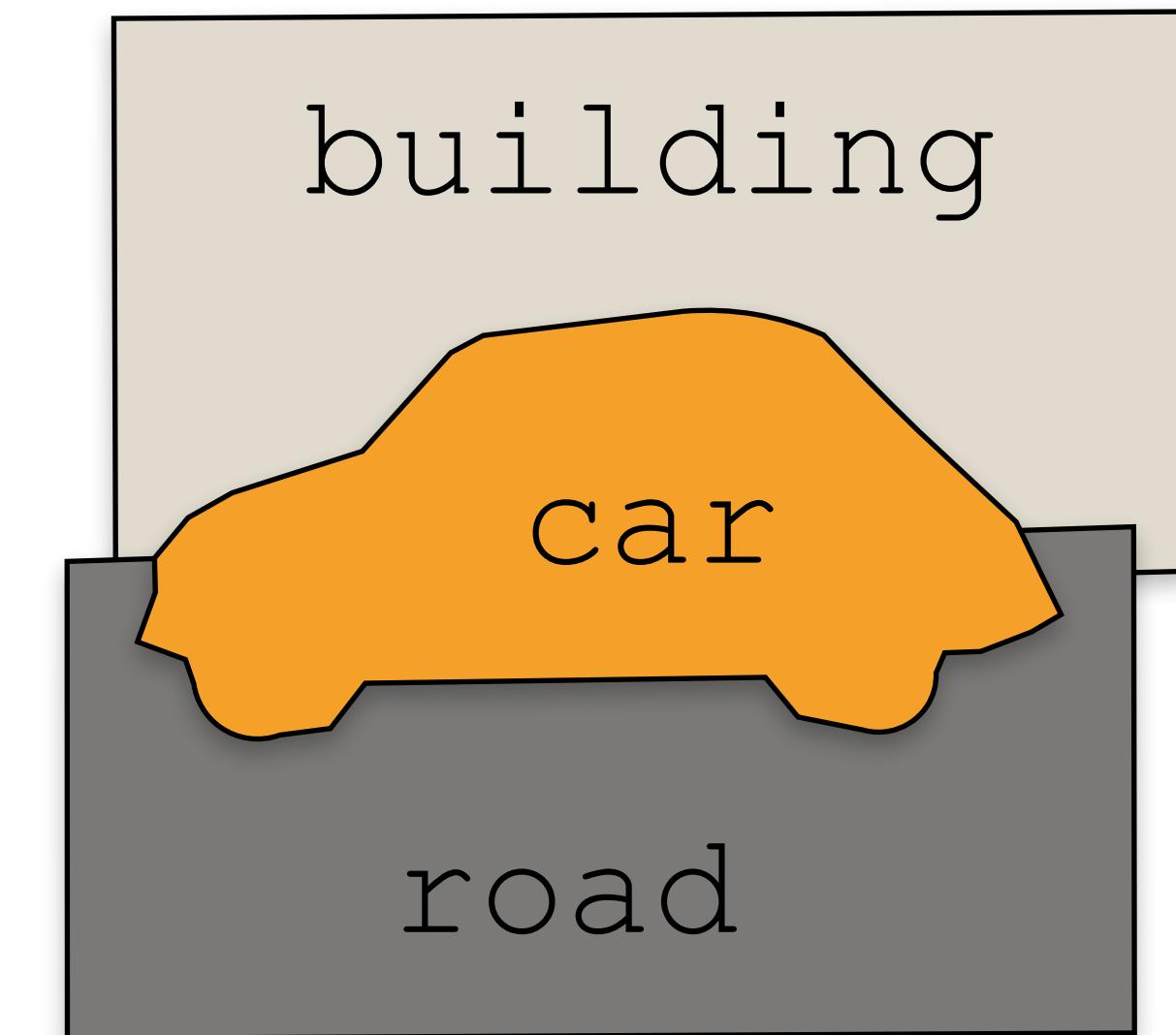
- Pretrain a network on task A, resulting in parameters  $\mathbf{W}$  and  $\mathbf{b}$
- Initialize a second network with some or all of  $\mathbf{W}$  and  $\mathbf{b}$
- Train the second network on task B, resulting in parameters  $\mathbf{W}'$  and  $\mathbf{b}'$

How do you learn a good representation?

# Representation learning

Good representations are:

1. Compact (*minimal*)
2. Explanatory (*sufficient*)
3. Disentangled (*independent factors*)
4. Interpretable
5. Make subsequent problem solving easy
6. ...?



[See "Representation Learning", Bengio 2013, for more commentary]

# Learning from examples

(aka **supervised learning**)

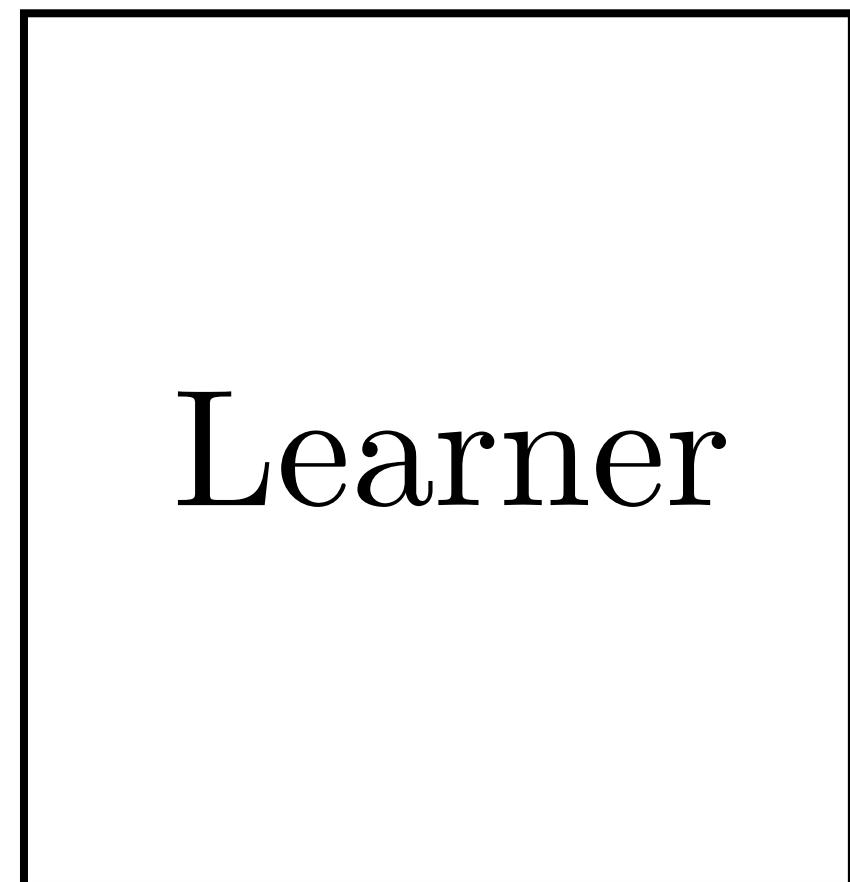
Training data

$$\{x^{(1)}, y^{(1)}\}$$

$$\{x^{(2)}, y^{(2)}\} \rightarrow$$

$$\{x^{(3)}, y^{(3)}\}$$

...



$$\rightarrow f : X \rightarrow Y$$

$$f^* = \arg \min_{f \in \mathcal{F}} \sum_{i=1}^N \mathcal{L}(f(\mathbf{x}^{(i)}), \mathbf{y}^{(i)})$$

# Learning without examples

(includes **unsupervised learning** / **self-supervised learning**)

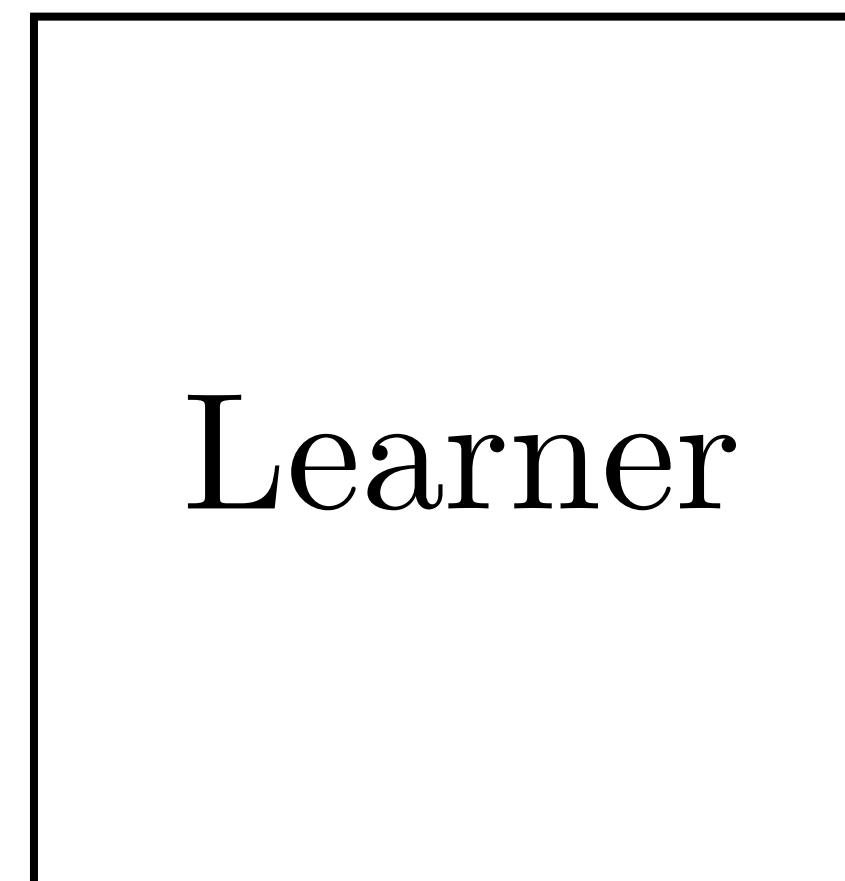
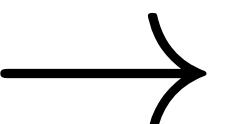
Data

$\{x^{(1)}\}$

$\{x^{(2)}\}$

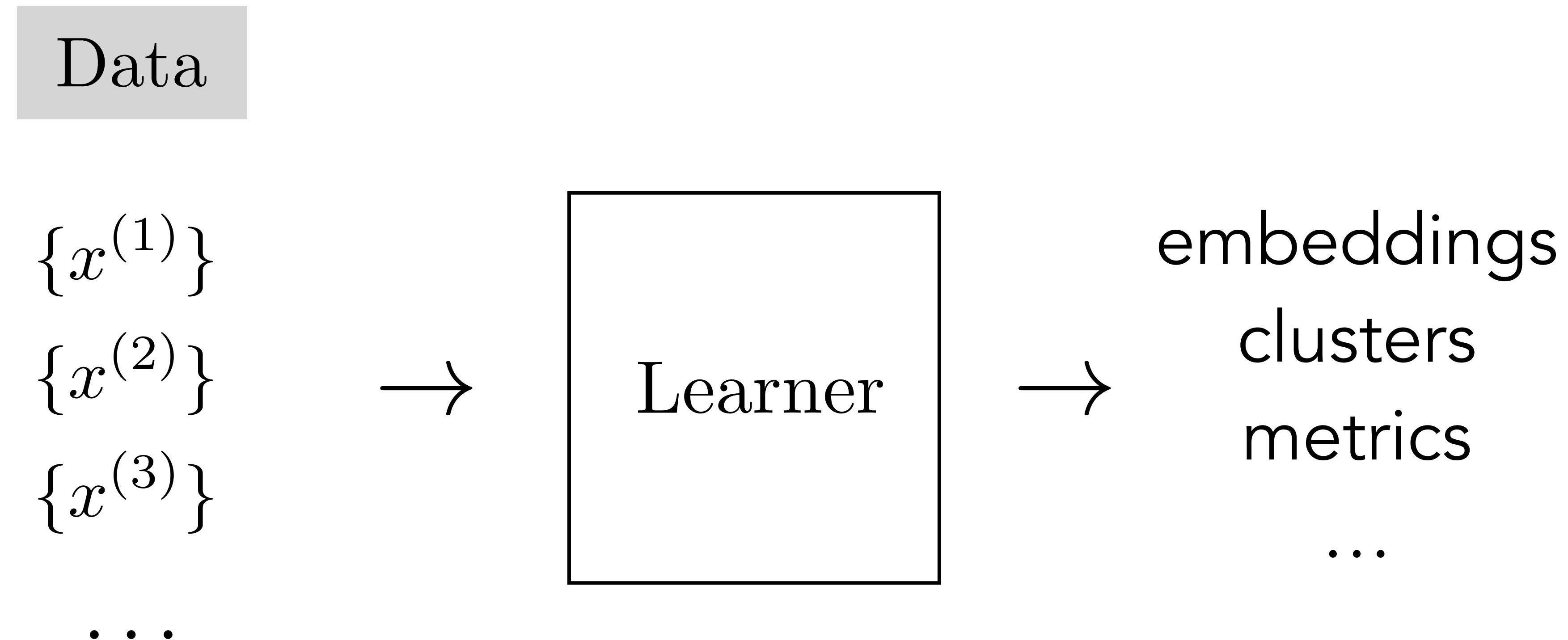
$\{x^{(3)}\}$

...



?

# Representation Learning



# Two basic approaches: 1) compression, 2) prediction

---

<b>Learning Method</b>	<b>Learning Principle</b>	<b>Short Summary</b>
Autoencoding	Compression	Remove redundant information
Contrastive	Compression	Achieve invariance to viewing transformations
Clustering	Compression	Quantize continuous data into discrete categories
Future prediction	Prediction	Predict the future
Imputation	Prediction	Predict missing data
Pretext tasks	Prediction	Predict abstract properties of your data

---

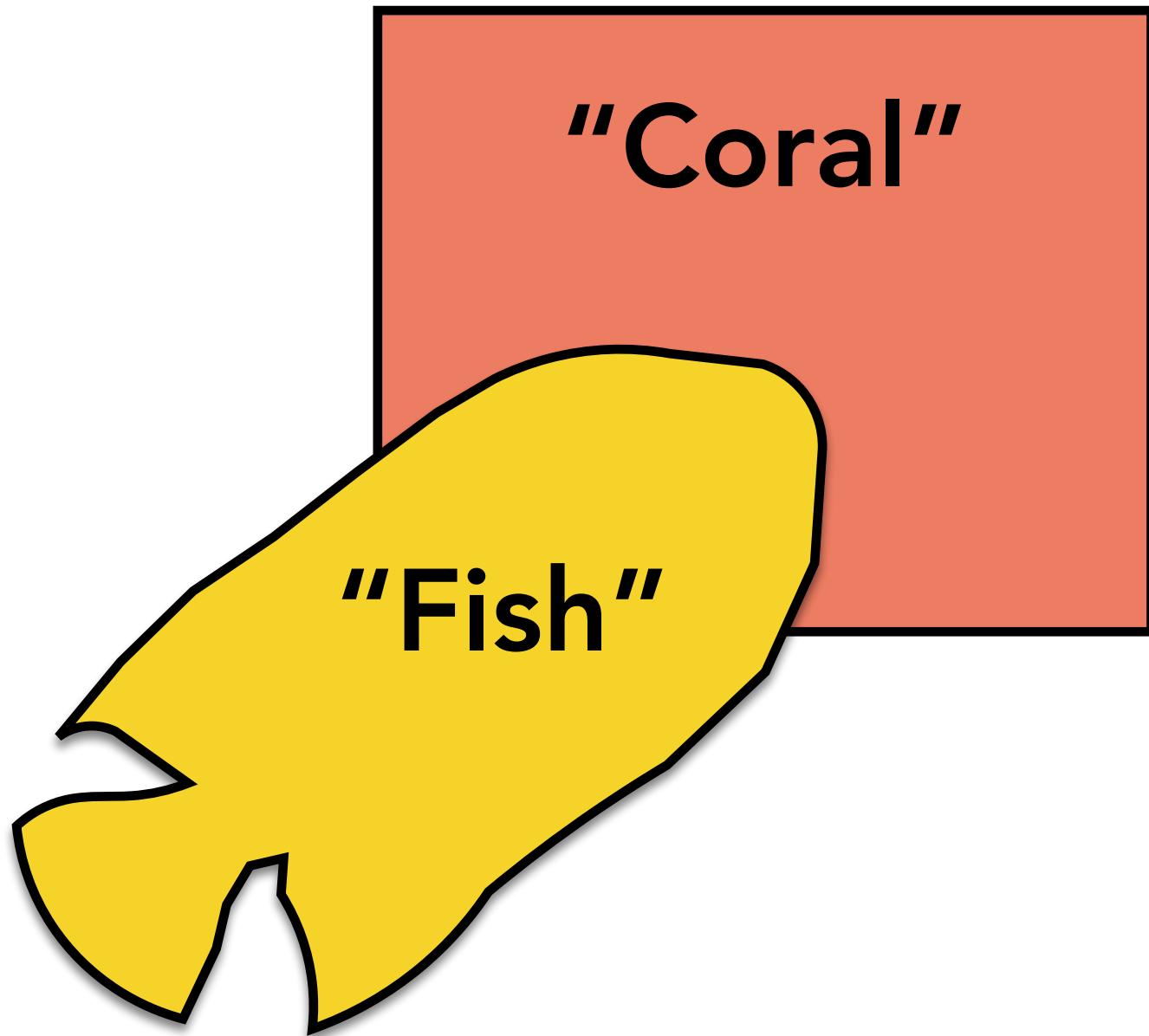
(Question: are these actually different?)

# Learning via compression

X



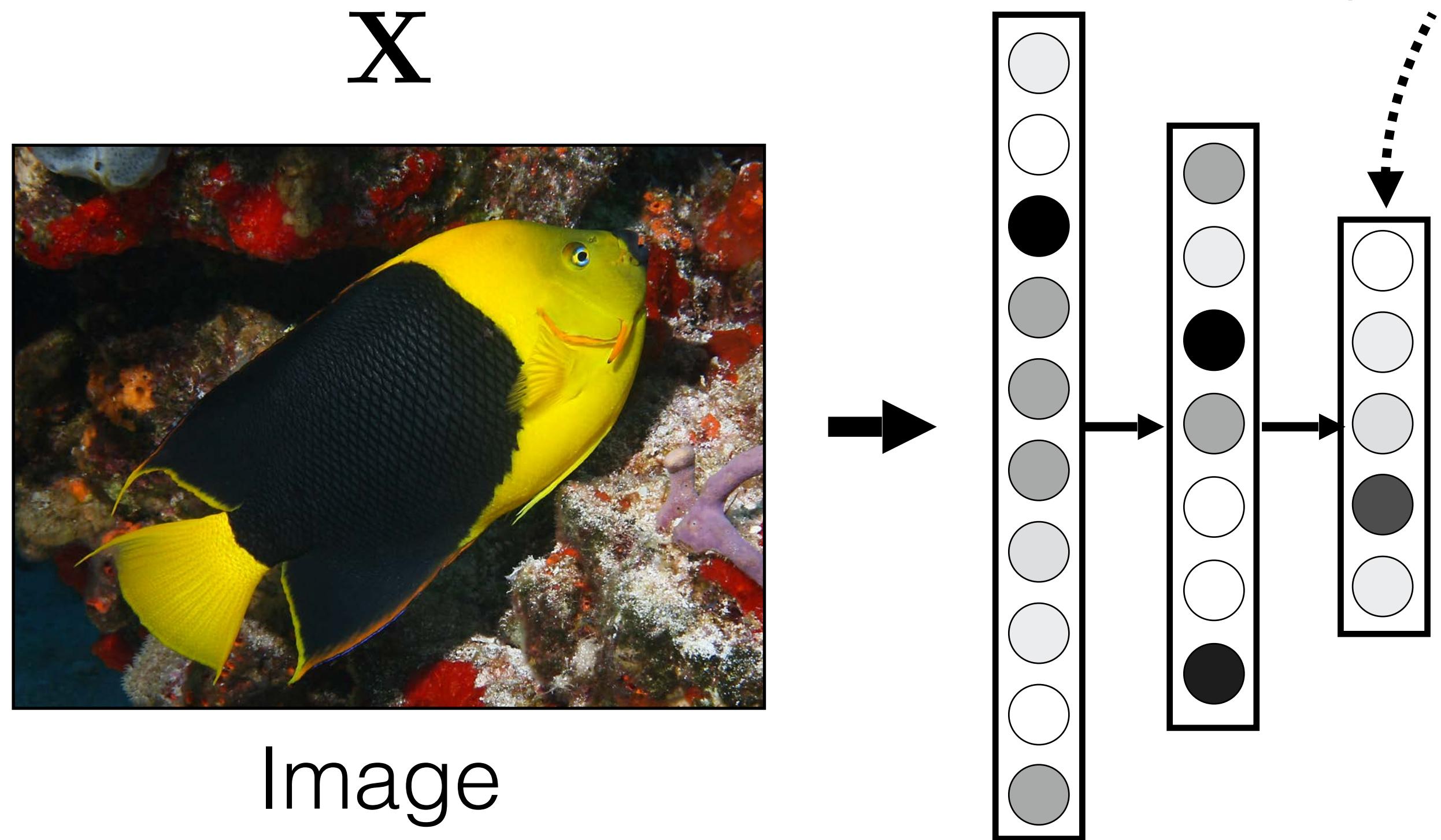
Image



Compact mental  
representation

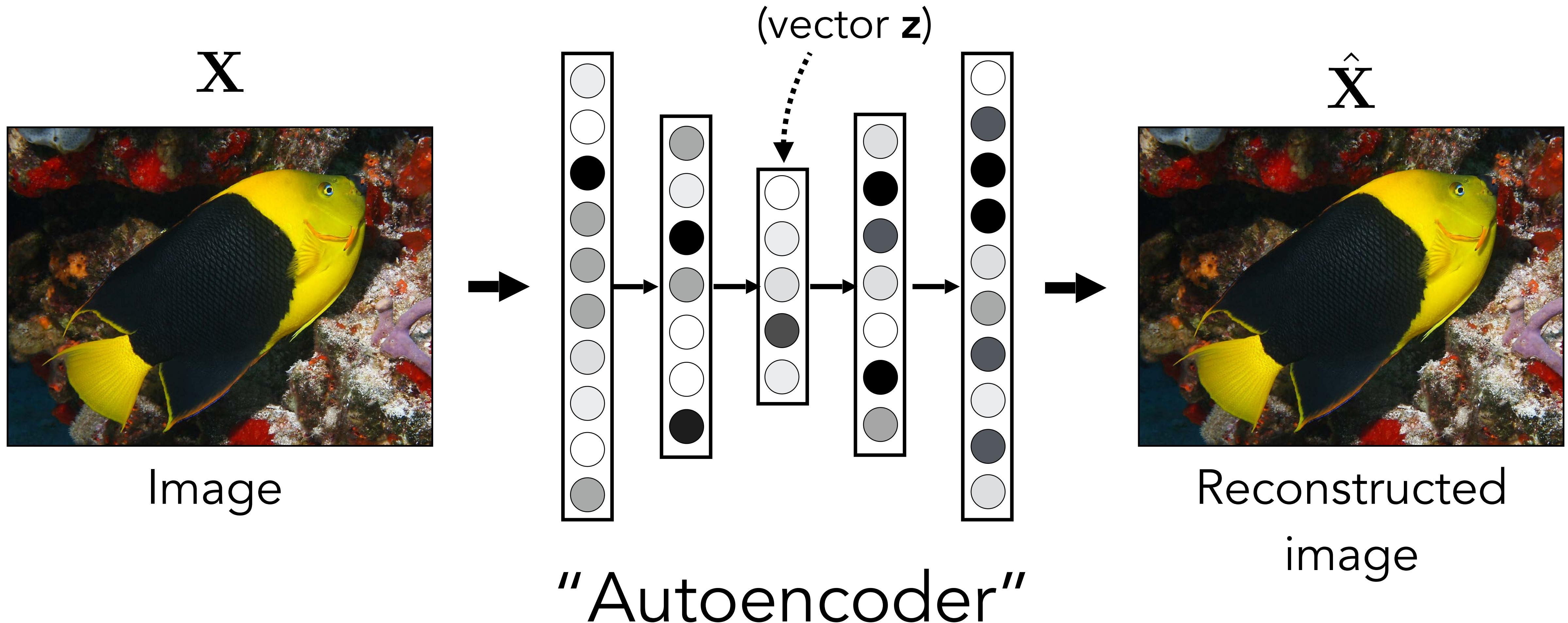
# Learning via compression

compressed image code  
(vector  $\mathbf{z}$ )

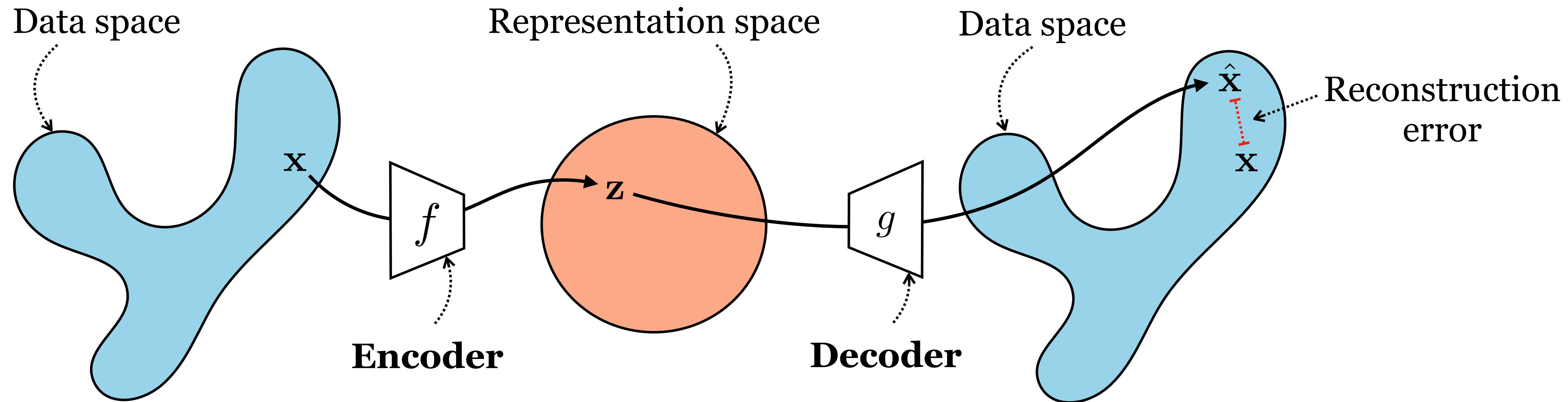


# Learning via compression

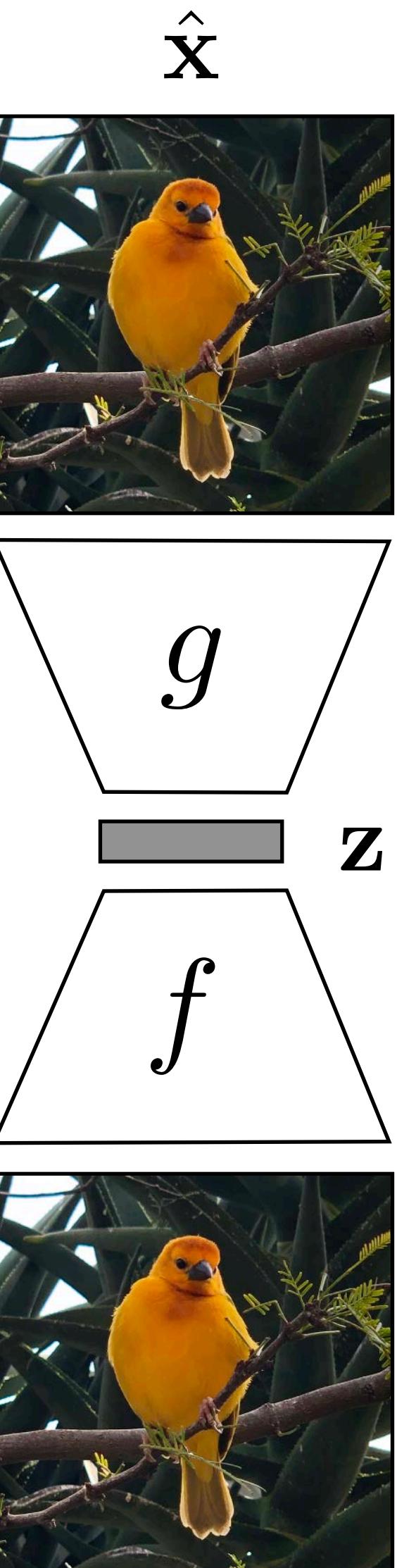
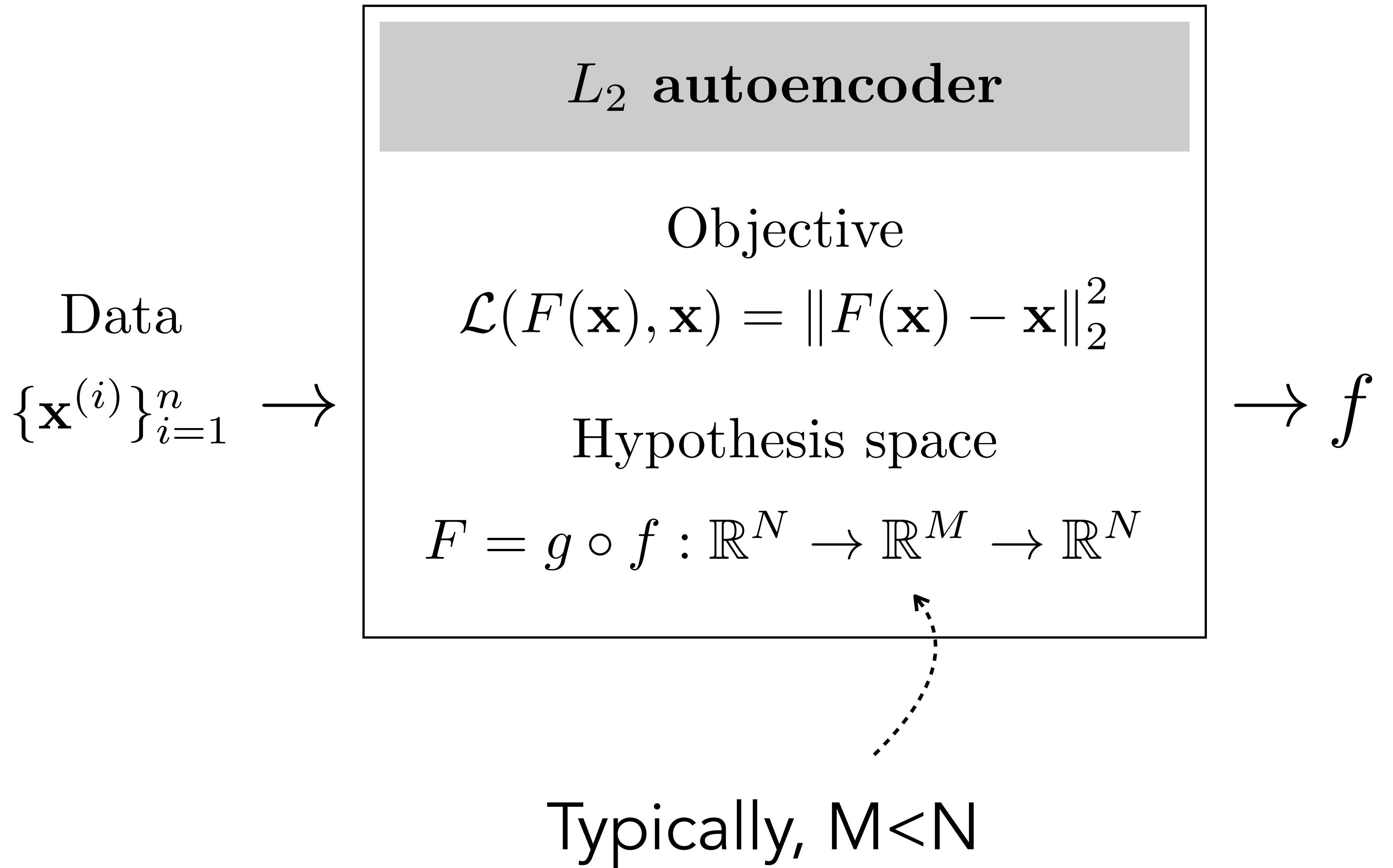
compressed image code



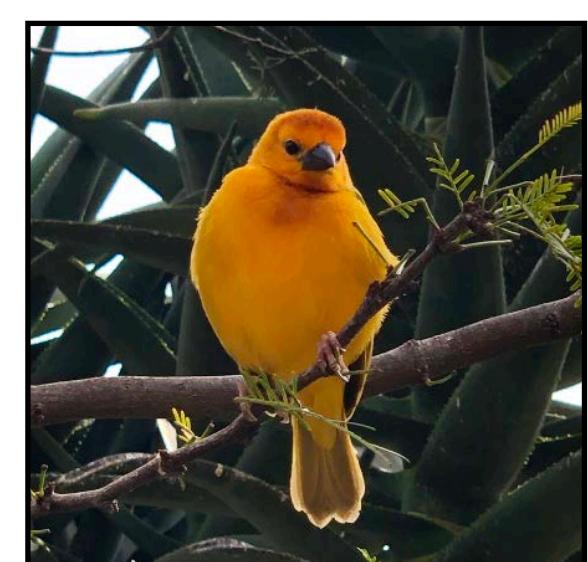
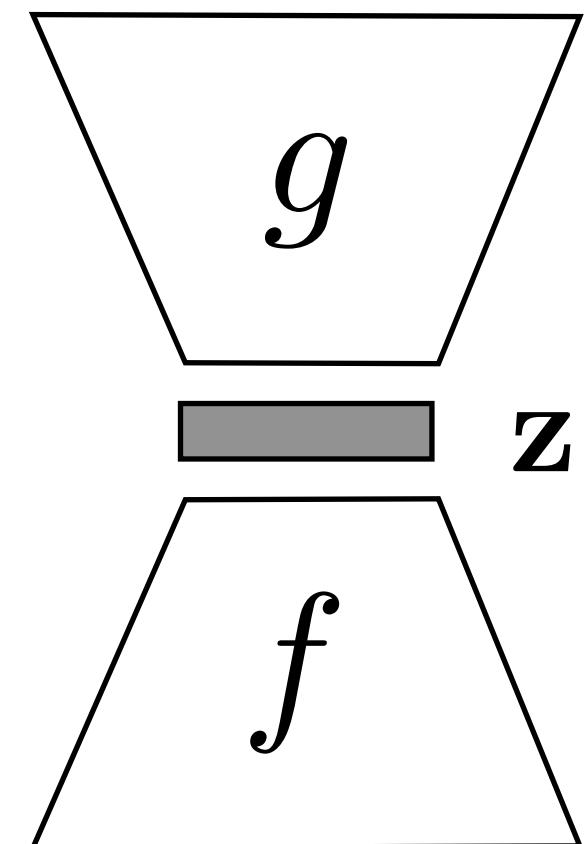
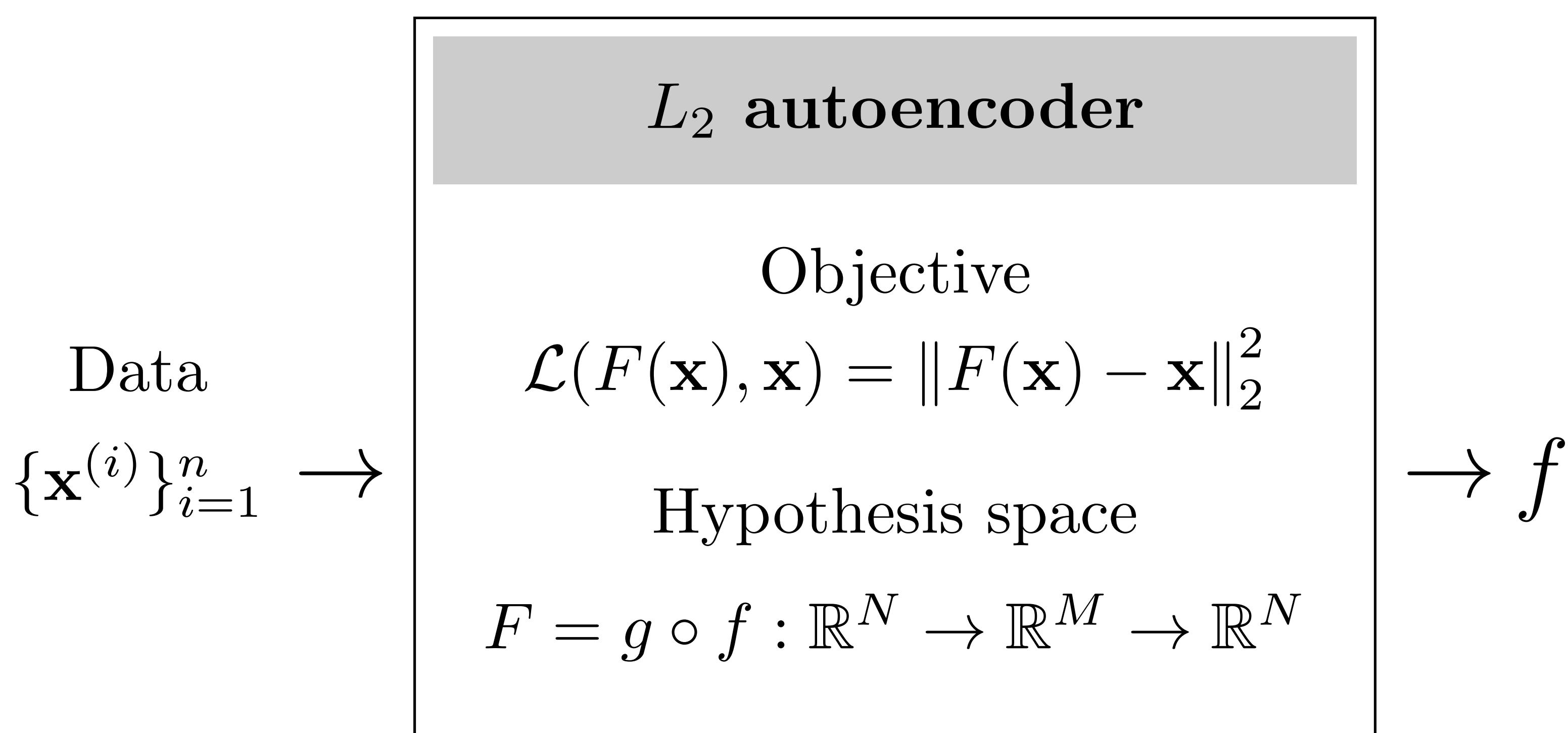
# Autoencoder



$$f^*, g^* = \arg \min_{f, g} \mathbb{E}_{\mathbf{x}} \|\mathbf{x} - g(f(\mathbf{x}))\|_2^2$$



$\hat{\mathbf{x}}$



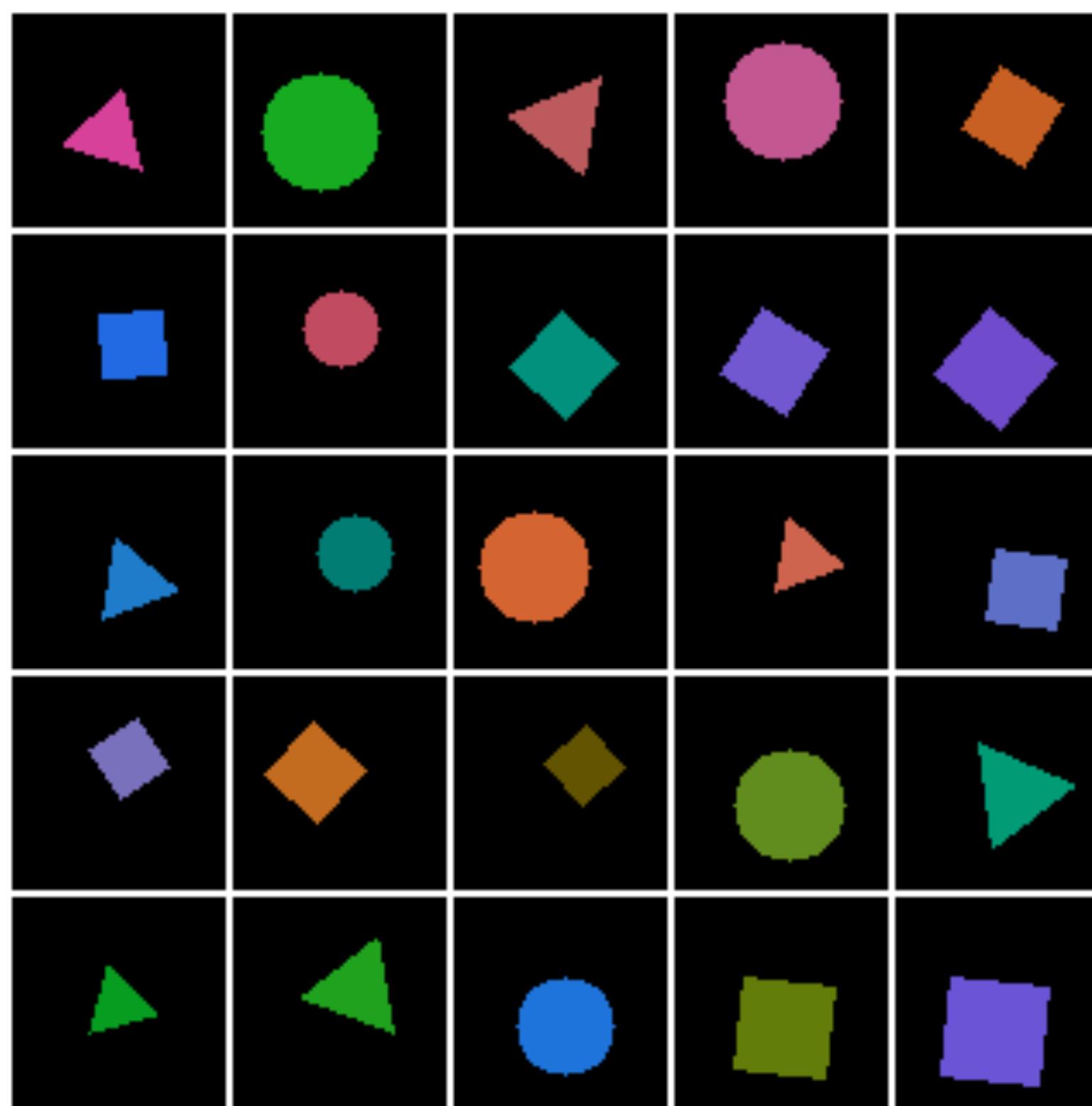
What if  $f$  and  $g$  are both linear?

Then the embedding spans the same  $M$ -dimensional subspace as PCA

$\mathbf{x}$

# Quick experiment

Data



$L_2$  autoencoder

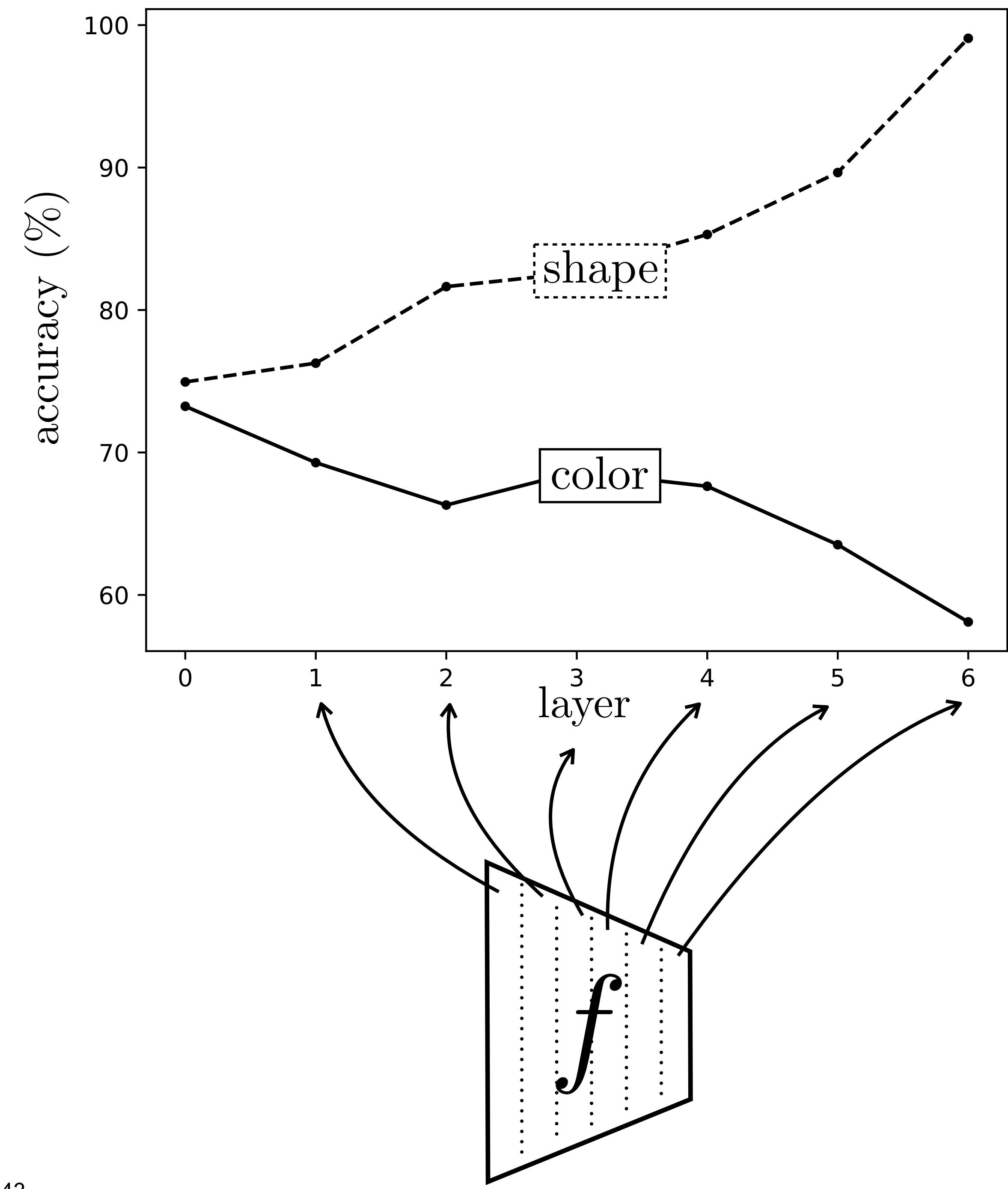
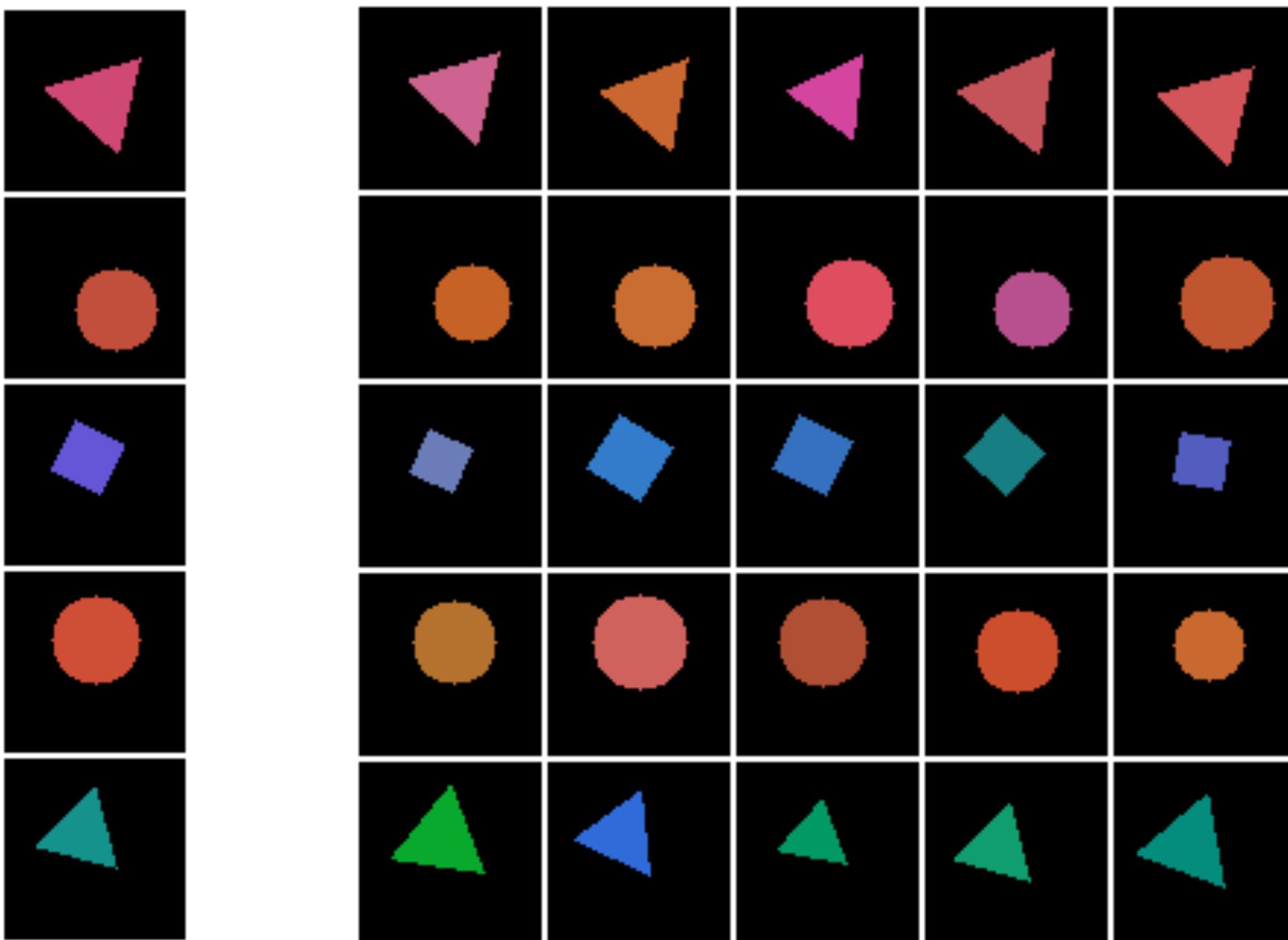
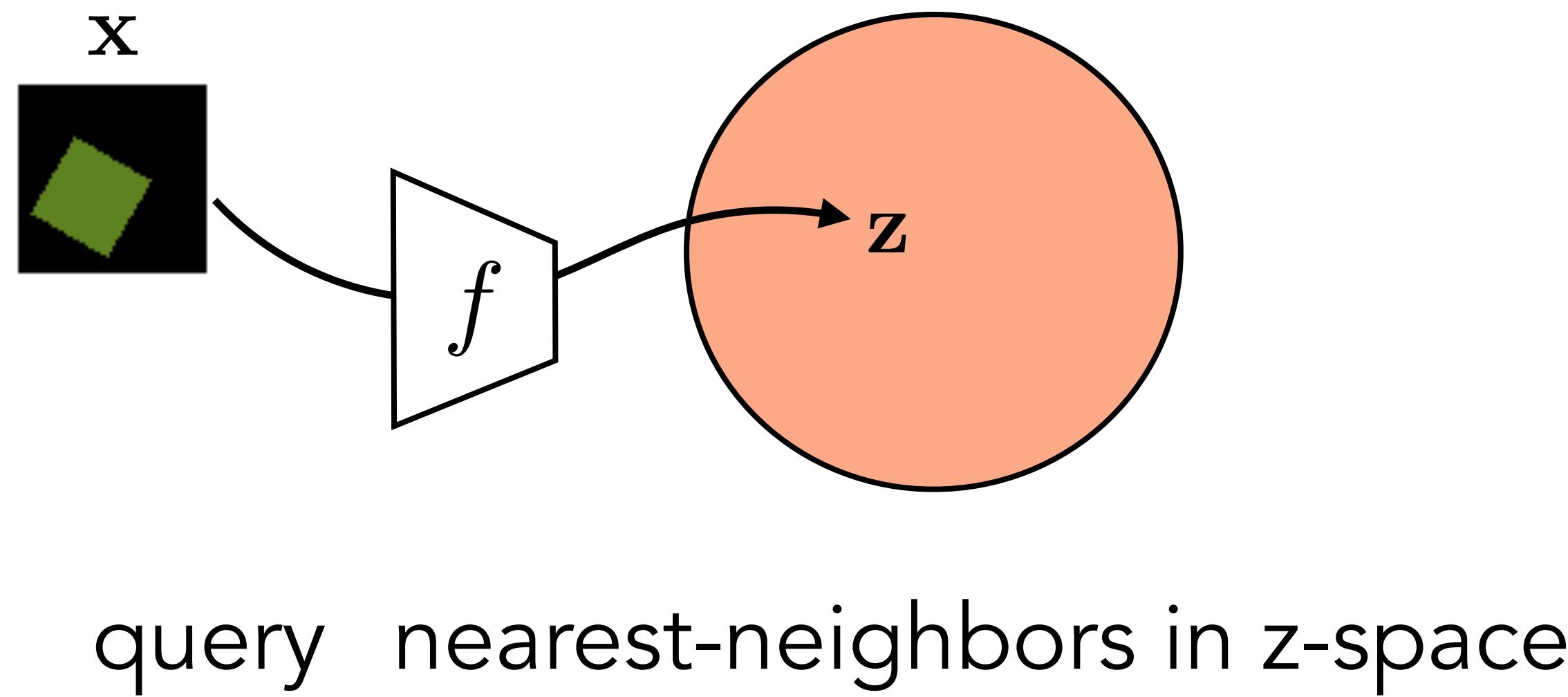
Objective

$$\mathcal{L}(F(\mathbf{x}), \mathbf{x}) = \|F(\mathbf{x}) - \mathbf{x}\|_2^2$$

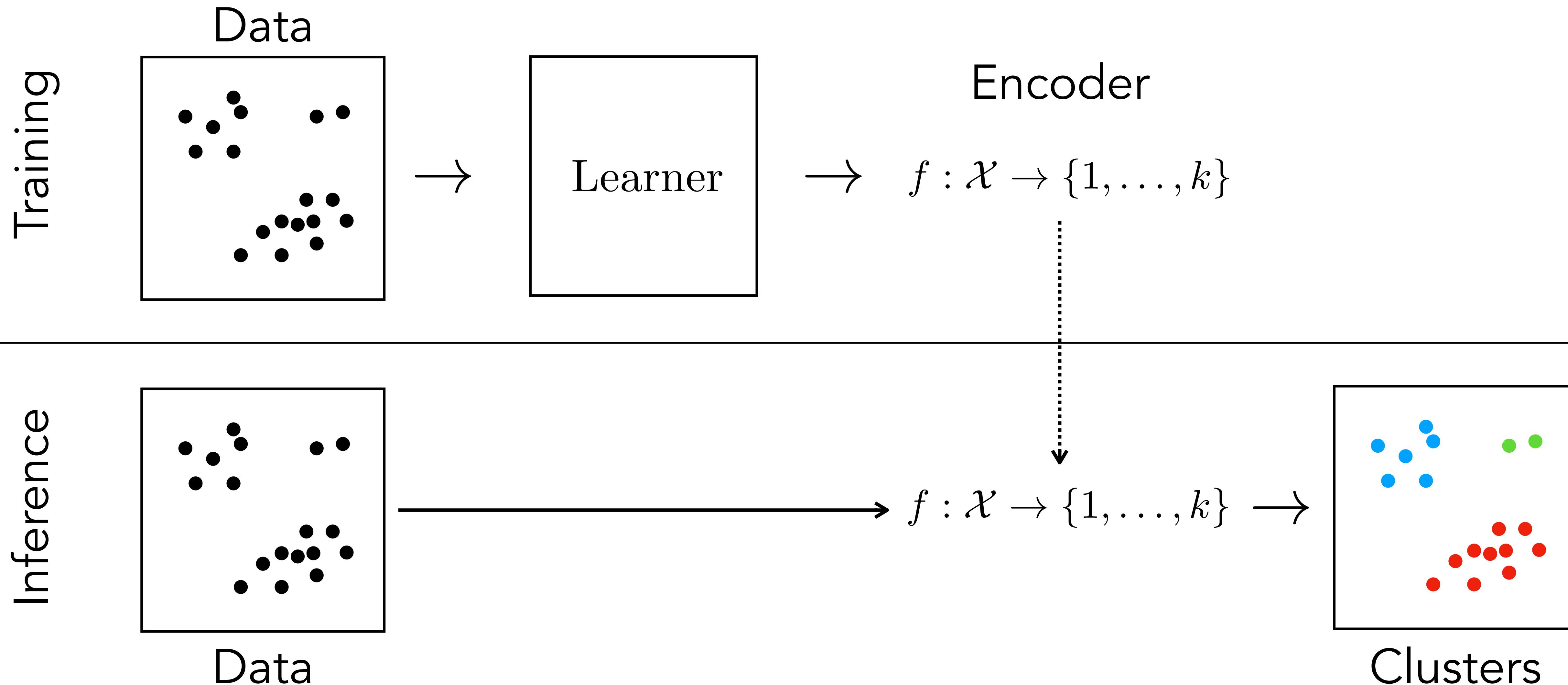
Hypothesis space

$$F = g \circ f : \mathbb{R}^N \rightarrow \mathbb{R}^M \rightarrow \mathbb{R}^N$$

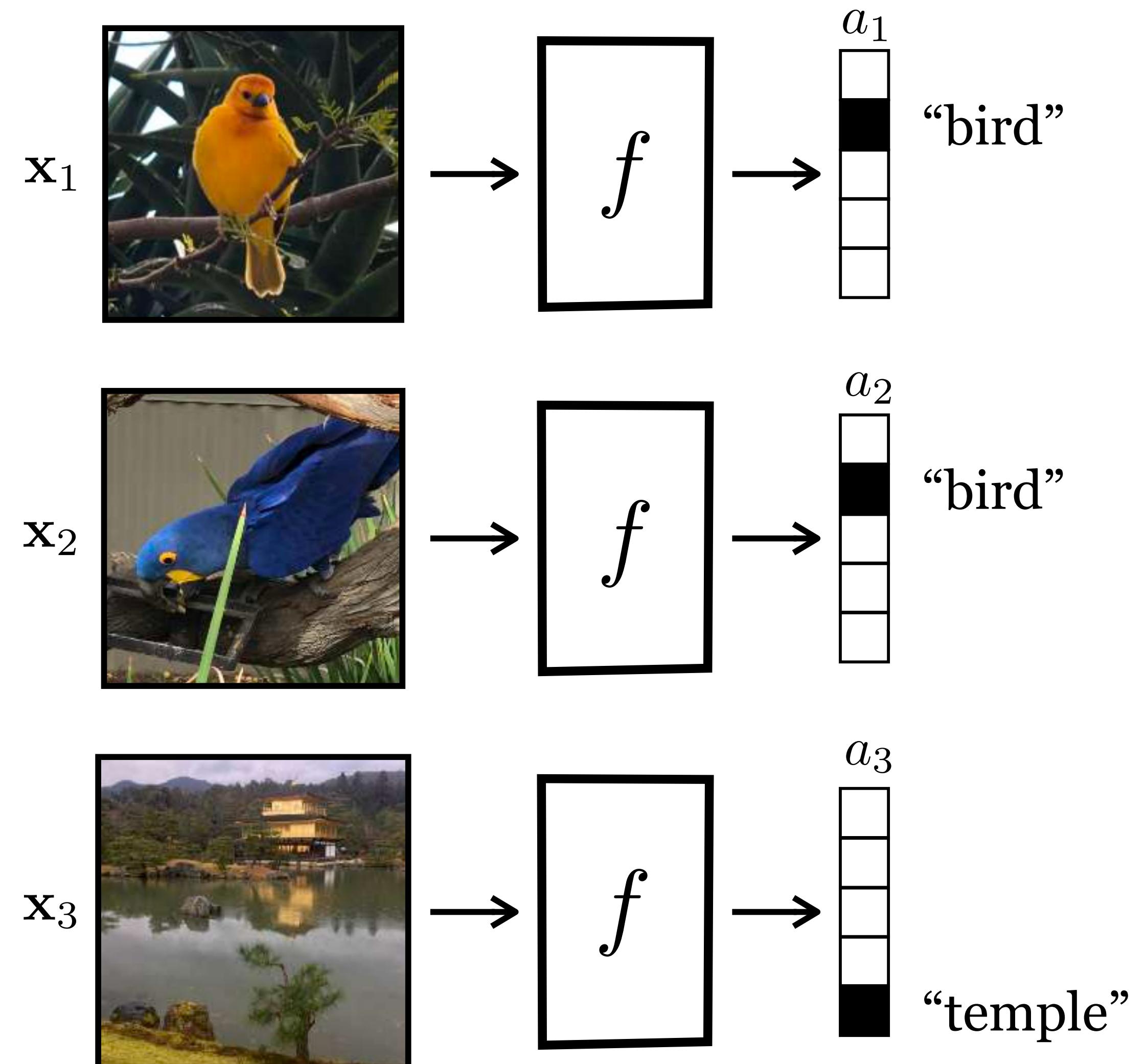
→  $f$



# Clustering



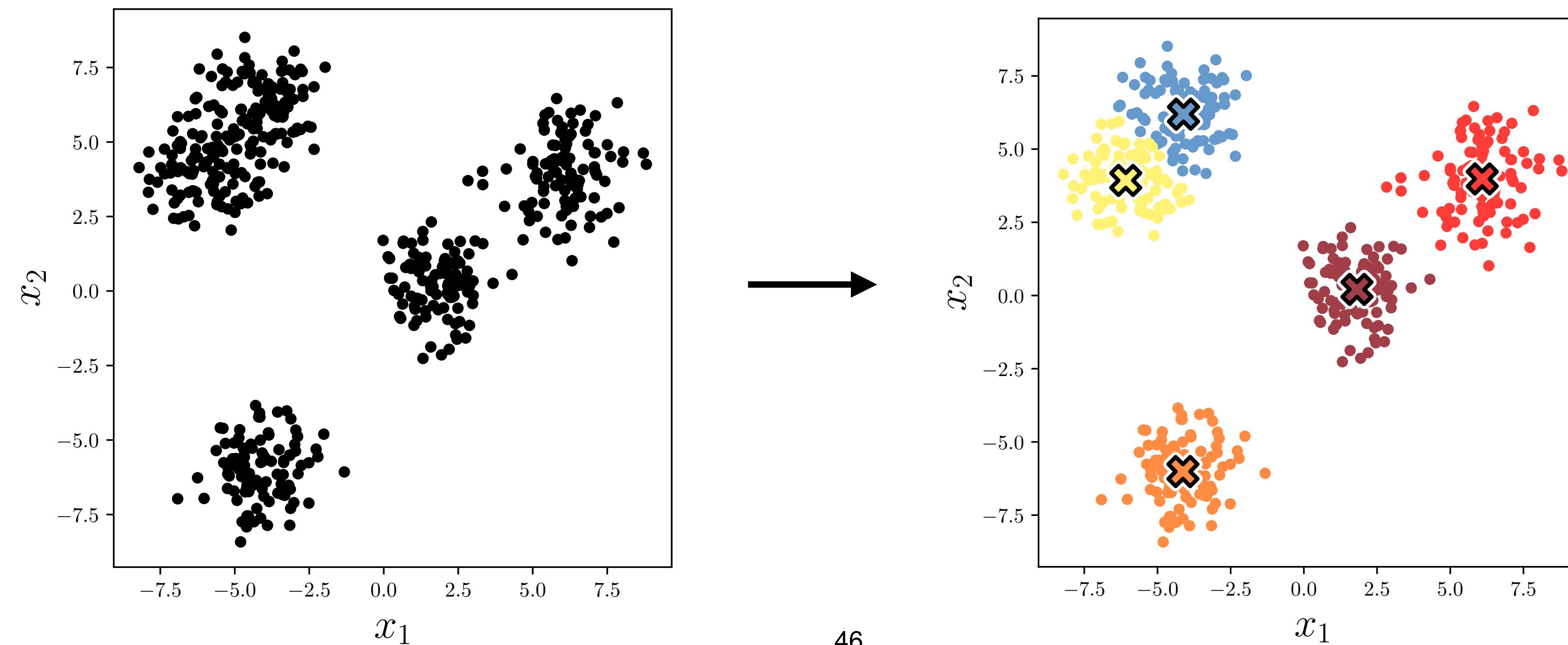
# Clustering — a rep learning perspective



- What's the best representation that humans have come up with so far?
- Language!
- Words are the atoms of language
- Clustering is the problem of making up new words for things

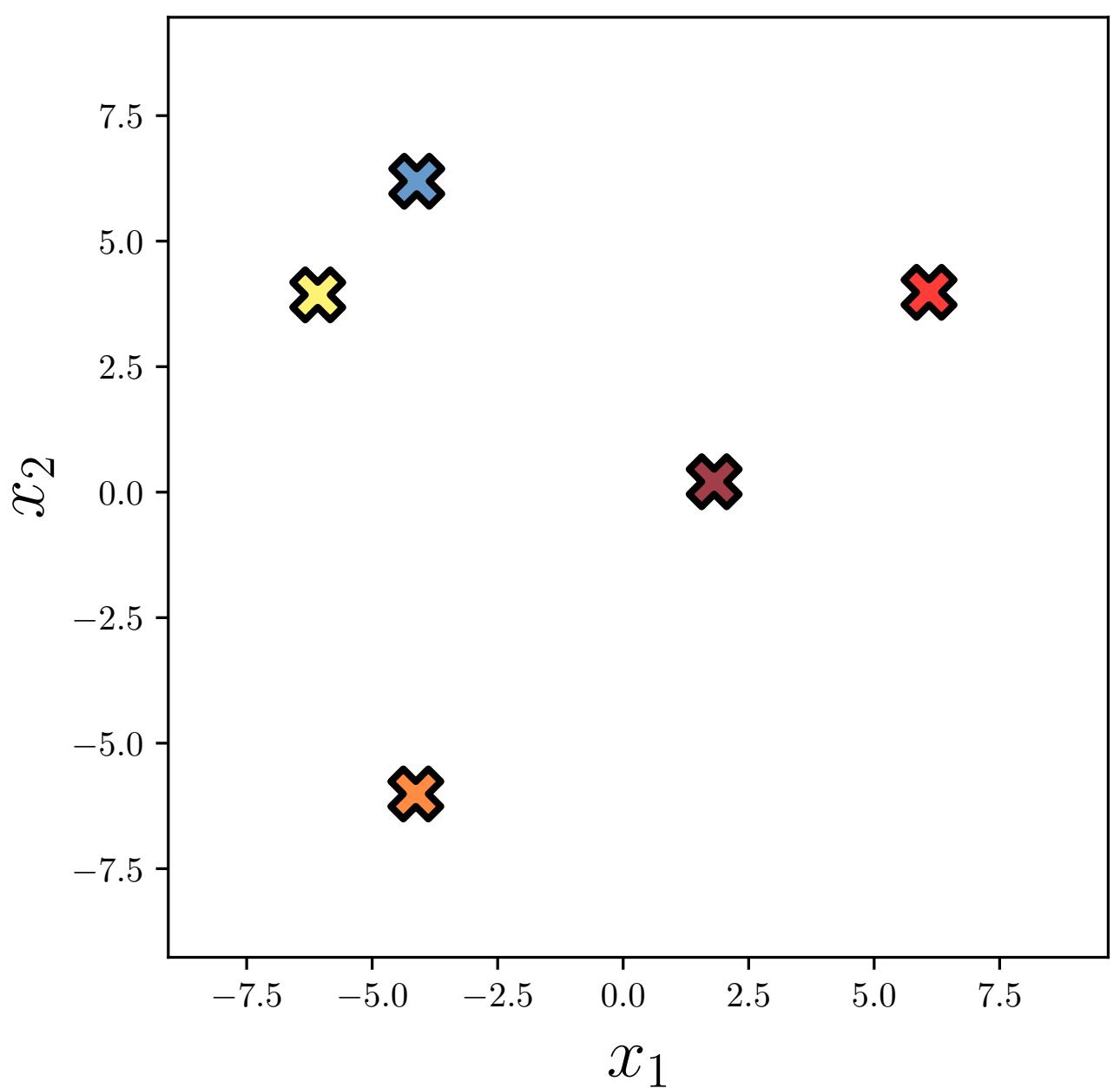
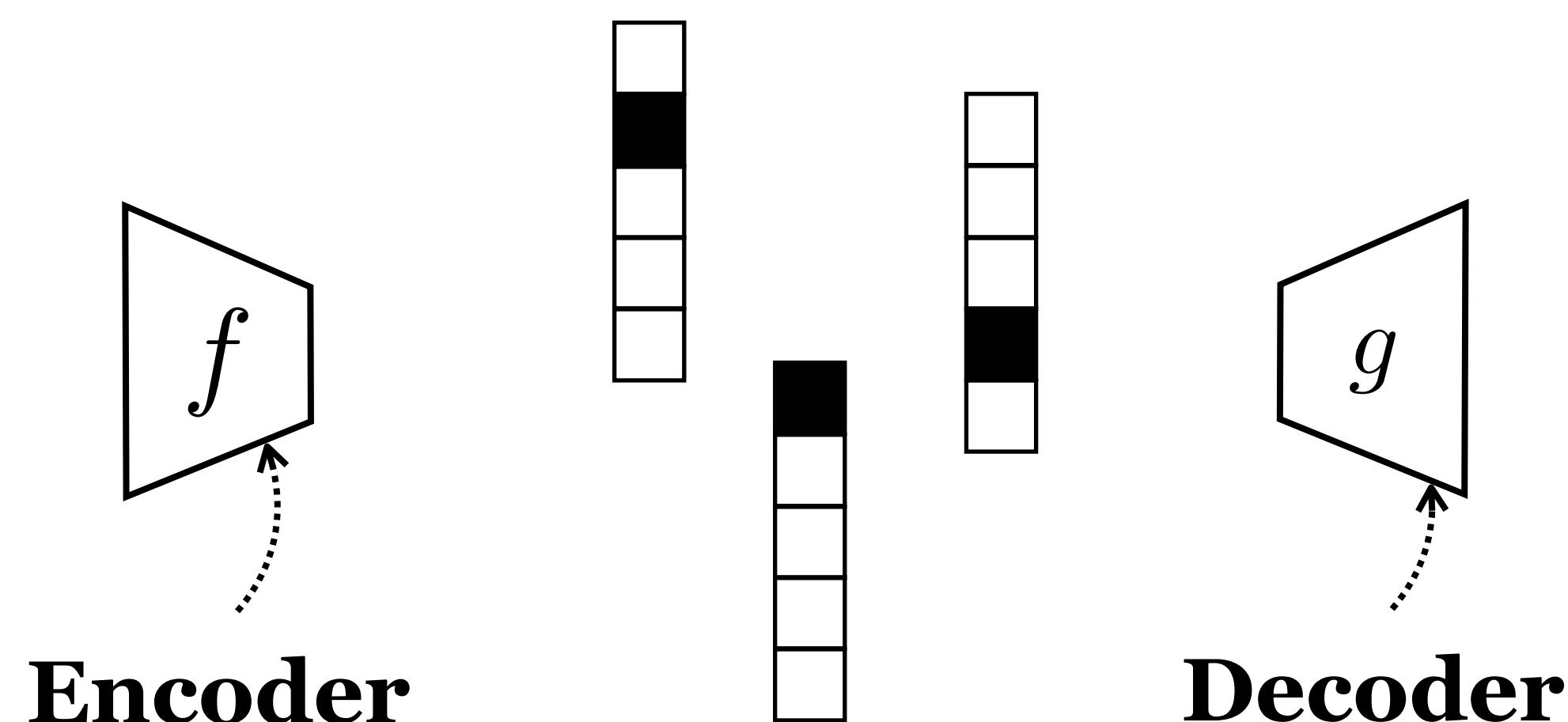
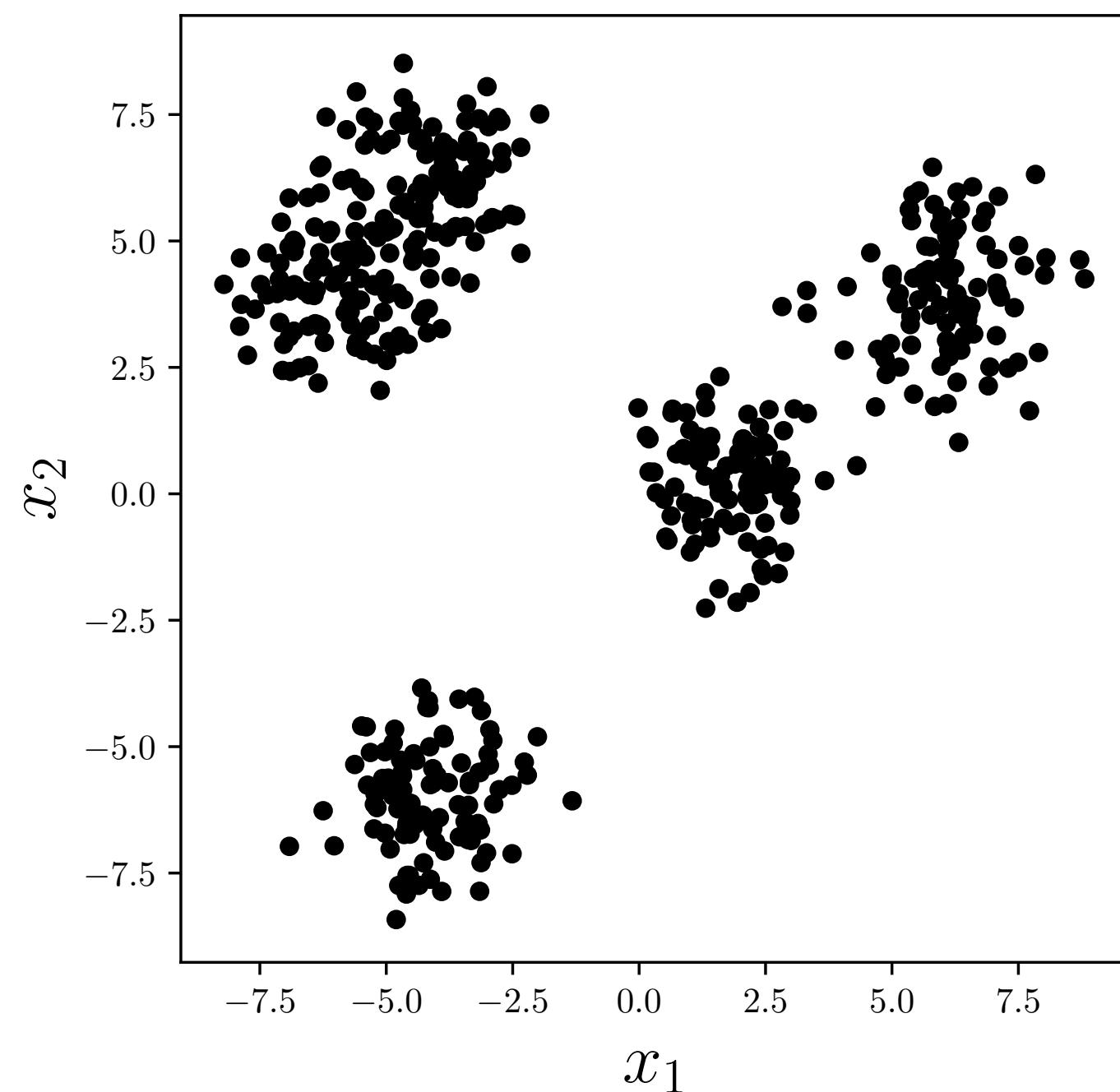
# k-means

- Map datapoints to integers (i.e. cluster)
- In such a way that each datapoint is as close as possible to the mean of the cluster it is assigned to



# k-means

- Map datapoints to integers (i.e. cluster)
- In such a way that each datapoint is as close as possible to its cluster's code mean



# k-means

## k-means ( $L_2$ )

Data

$$\{\mathbf{x}^{(i)}\}_{i=1}^n \rightarrow$$

Objective

$$\mathcal{L}(F(\mathbf{x}), \mathbf{x}) = \|F(\mathbf{x}) - \mathbf{x}\|_2^2$$

Hypothesis space

$$F = g \circ f : \{\mathbf{x}\}_{i=1}^N \rightarrow \{1, \dots, k\} \rightarrow \mathbb{R}^M$$

Optimizer

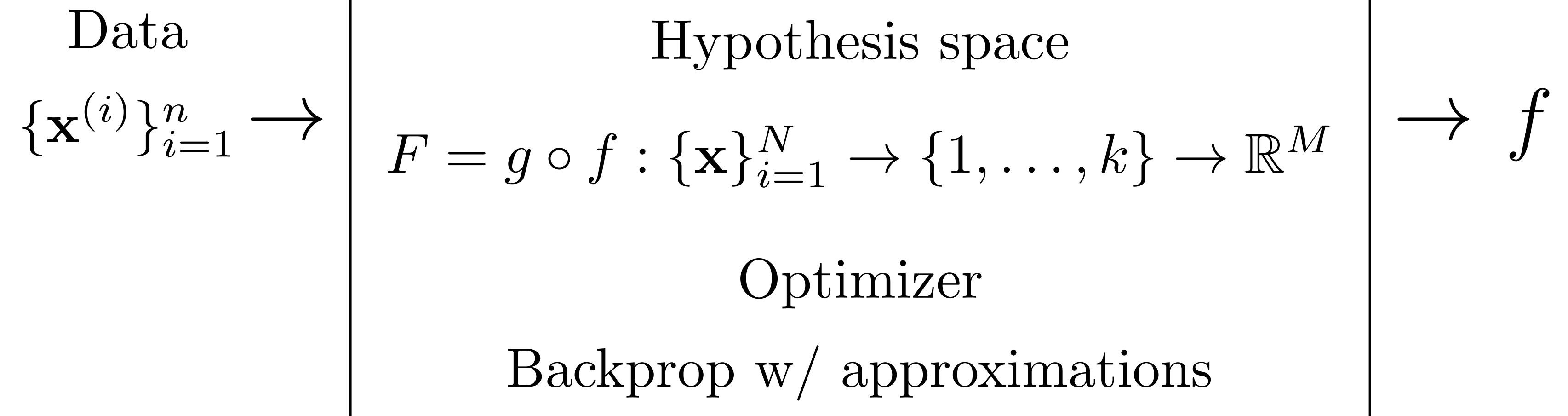
Block coordinate descent

$$\rightarrow f$$

f and g are both lookup tables

# VQ nets

## VQ Autoencoder ( $L_2$ )

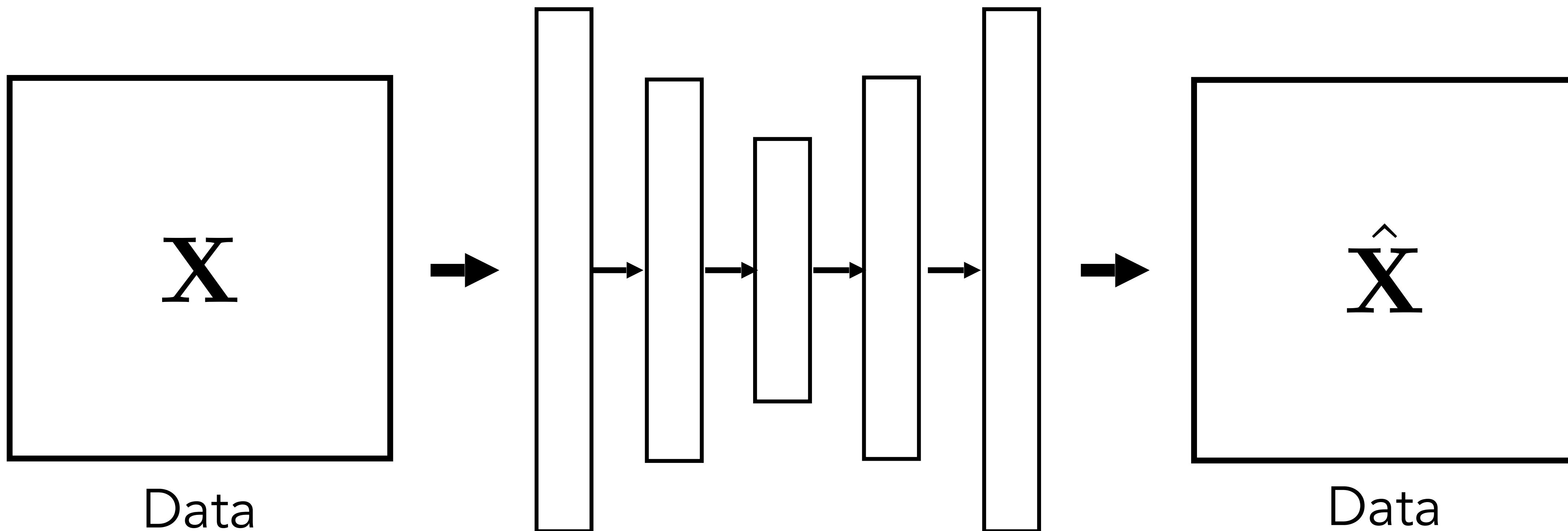


What if  $f$  and  $g$  are both deep nets?

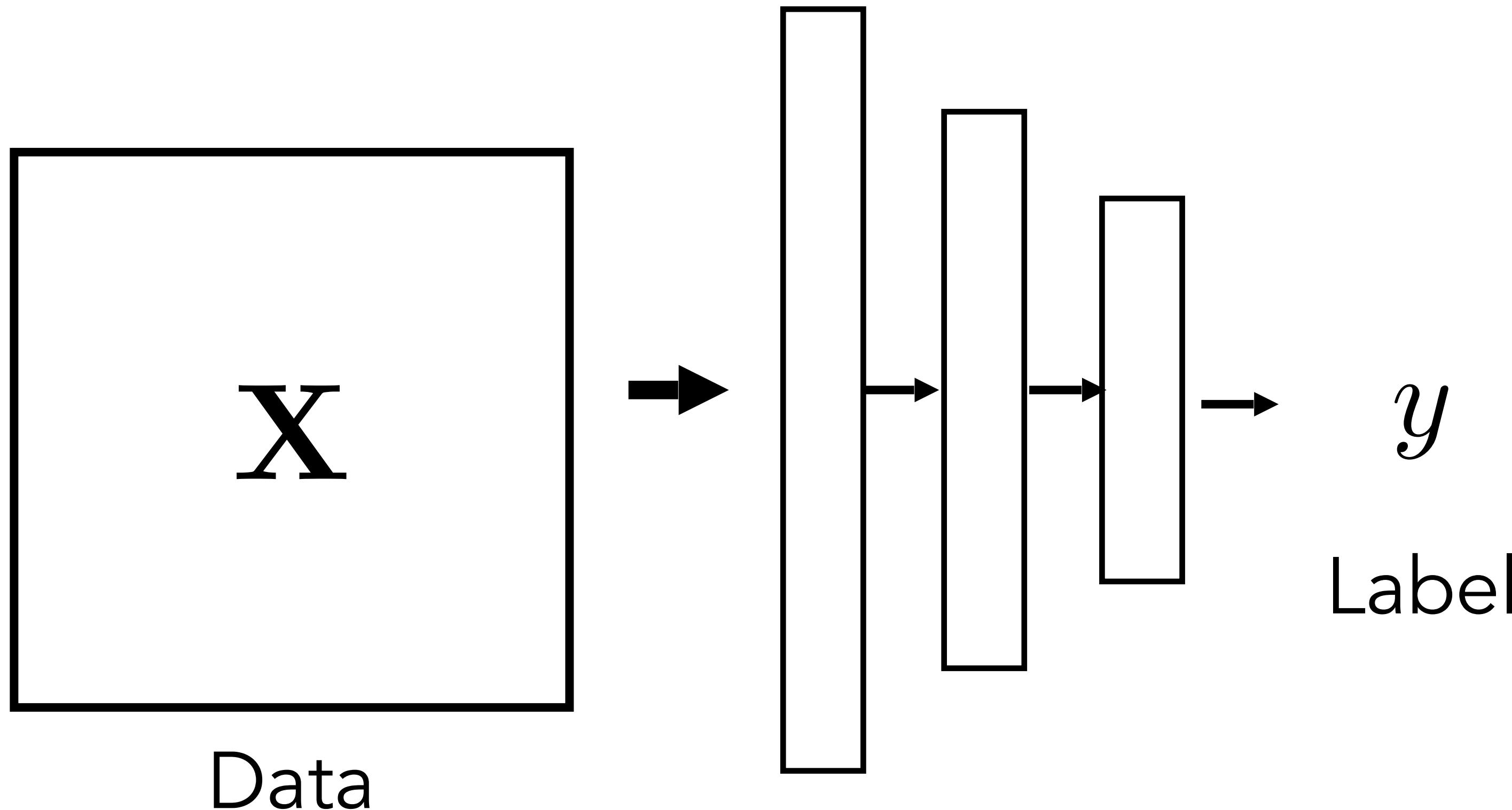
Then we call this a **“Vector Quantized” Autoencoder**  
(e.g., VQVAE, VQGAN)

[see e.g., Oord, Vinyals, Kavukcuoglu, 2017]

# Data compression

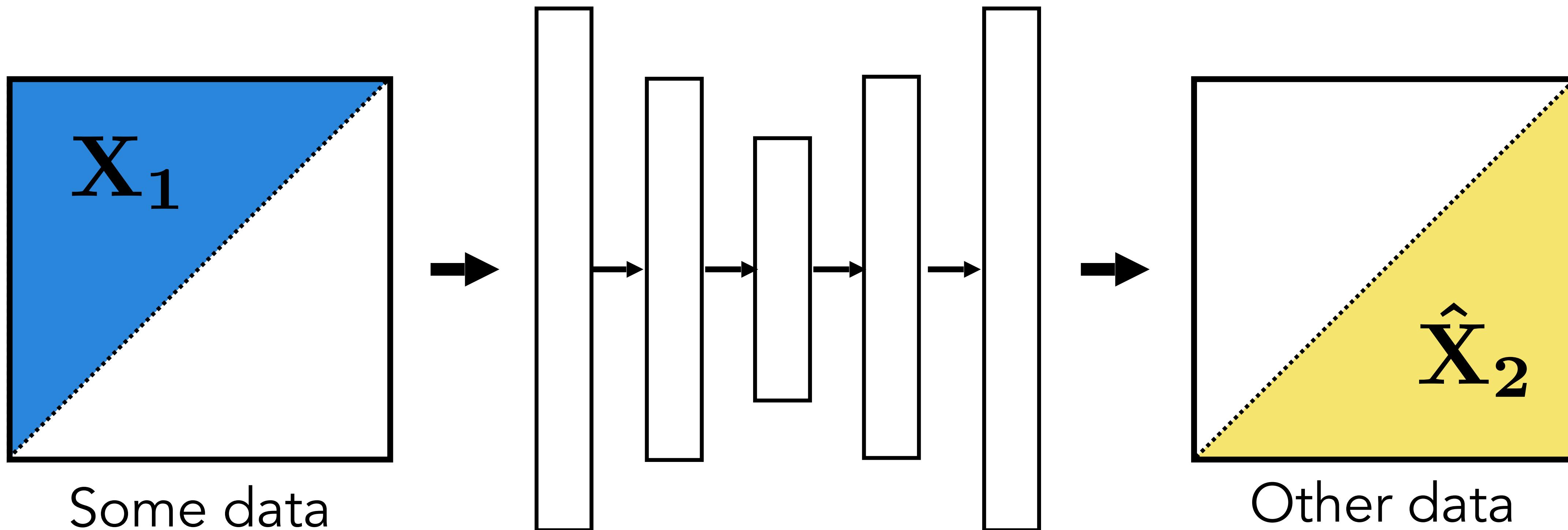


# Label prediction



# Data prediction

aka “self-supervised learning”



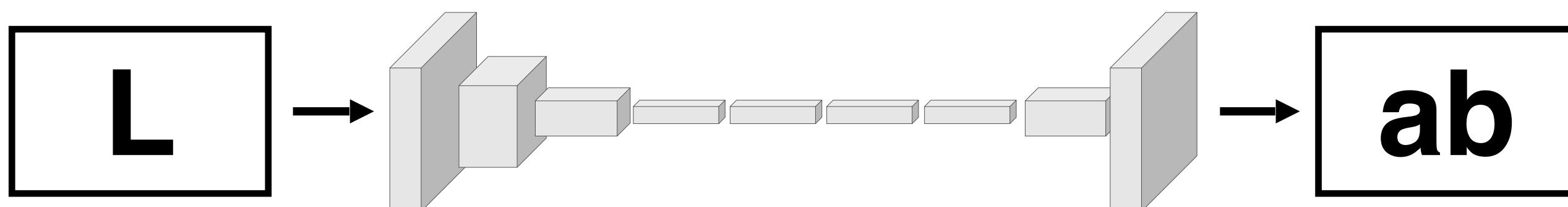


$$\mathcal{F}$$



Grayscale image: L channel

$$\mathbf{X} \in \mathbb{R}^{H \times W \times 1}$$



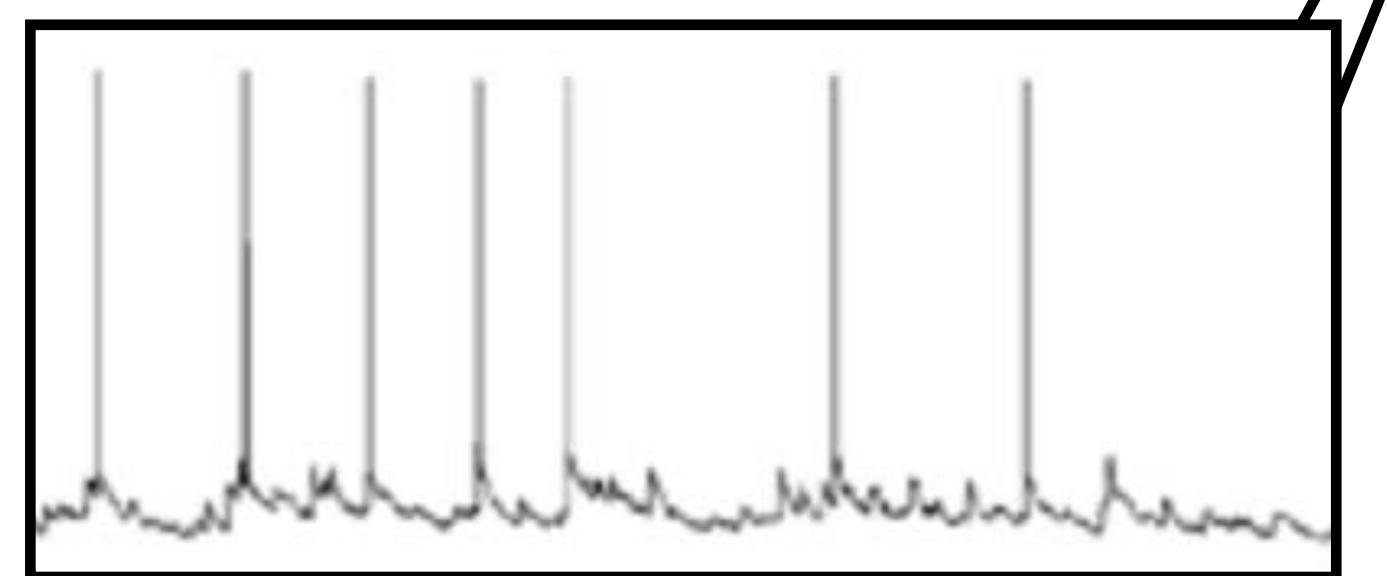
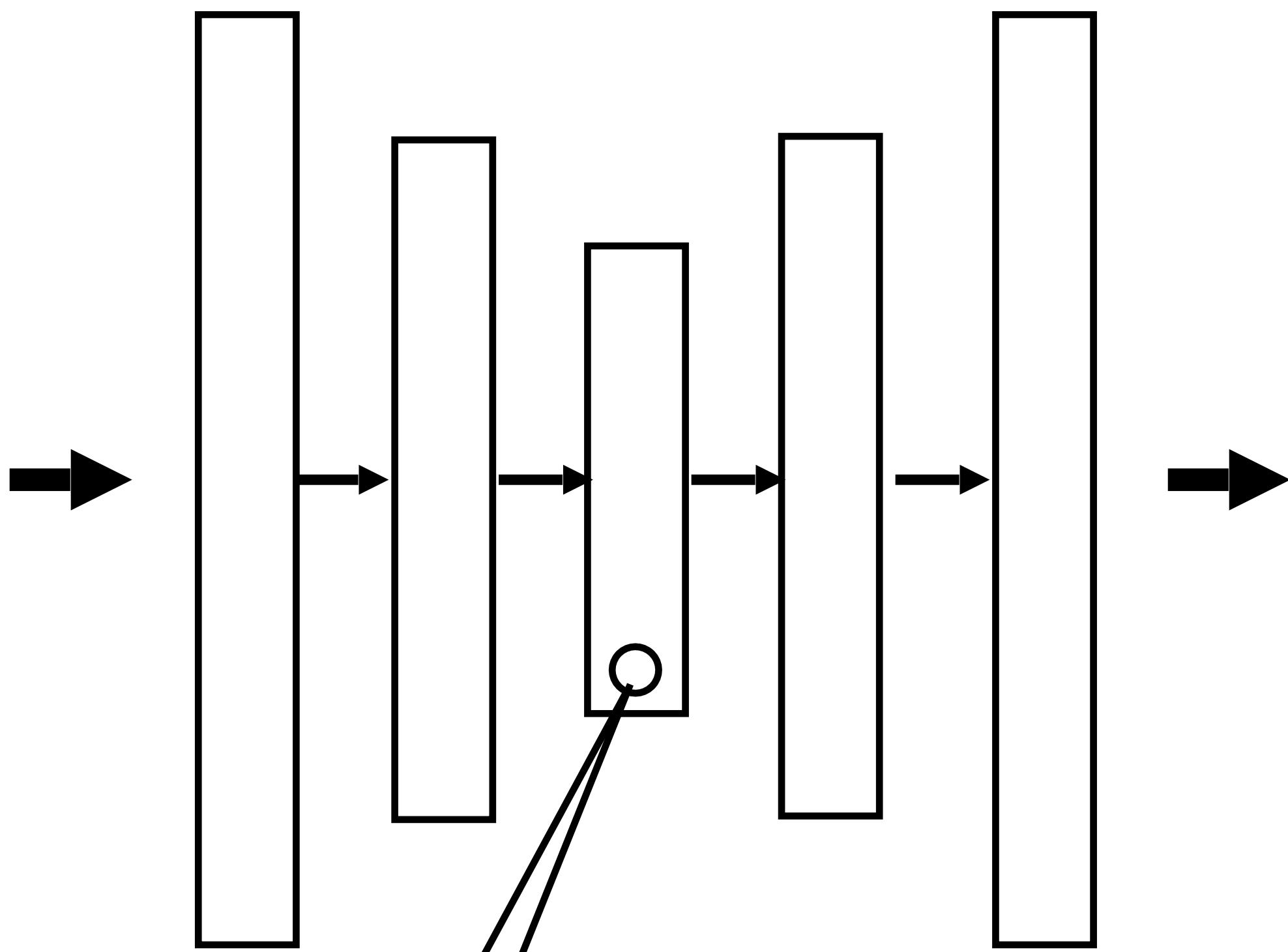
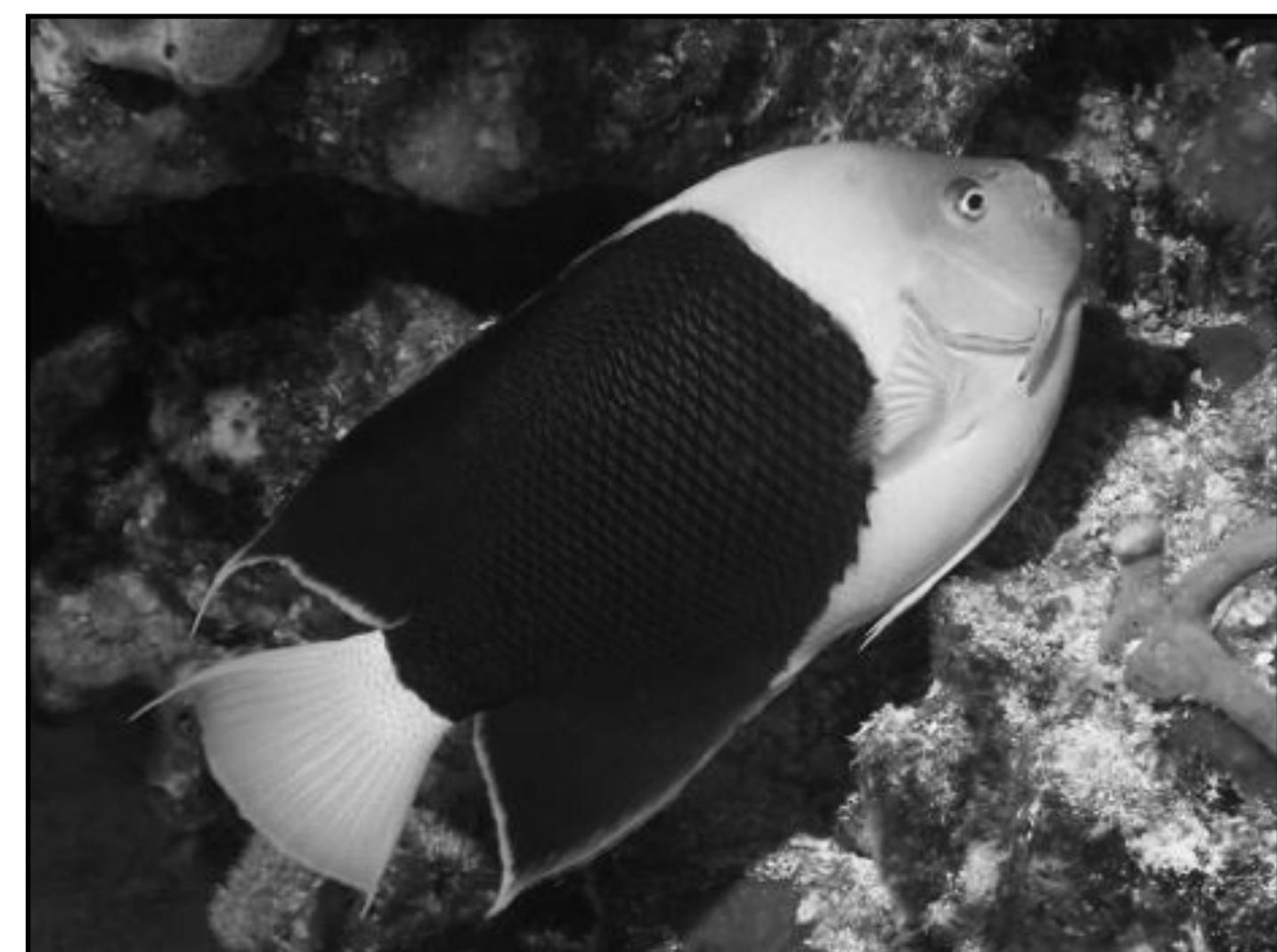
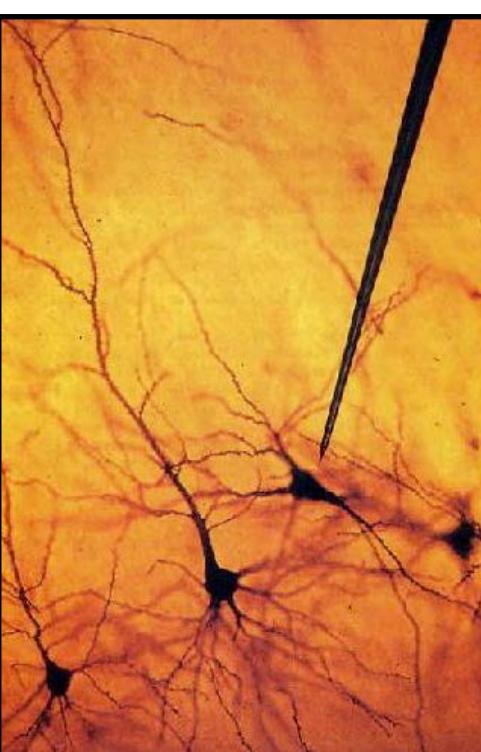
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Color information: ab channels

$$\hat{\mathbf{Y}} \in \mathbb{R}^{H \times W \times 2}$$

[Zhang, Isola, Efros, ECCV 2016]

# Deep Net “Electrophysiology”



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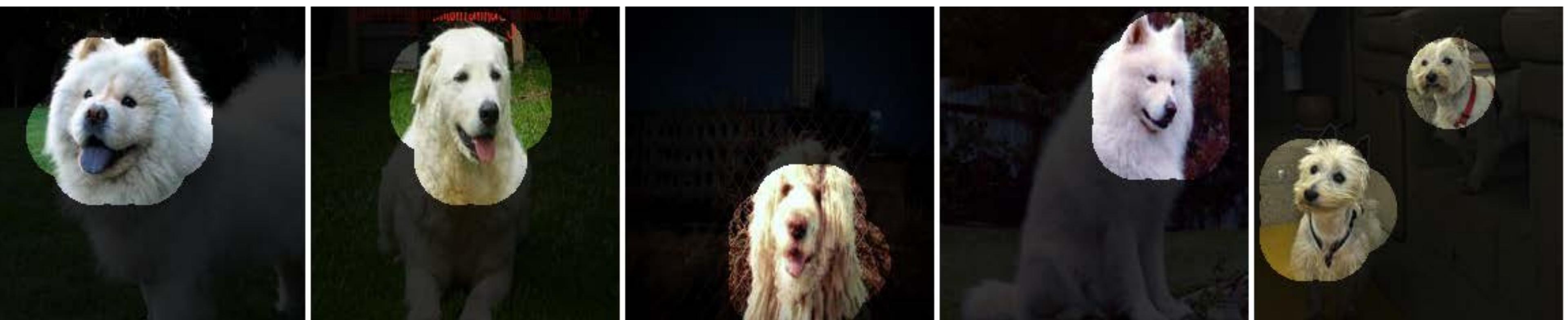
[Zhou, Khosla, Lapedriza, Oliva, Torralba., ICLR 2015]  
[Zeiler & Fergus, ECCV 2014]

# Stimuli that drive selected neurons (conv5 layer)

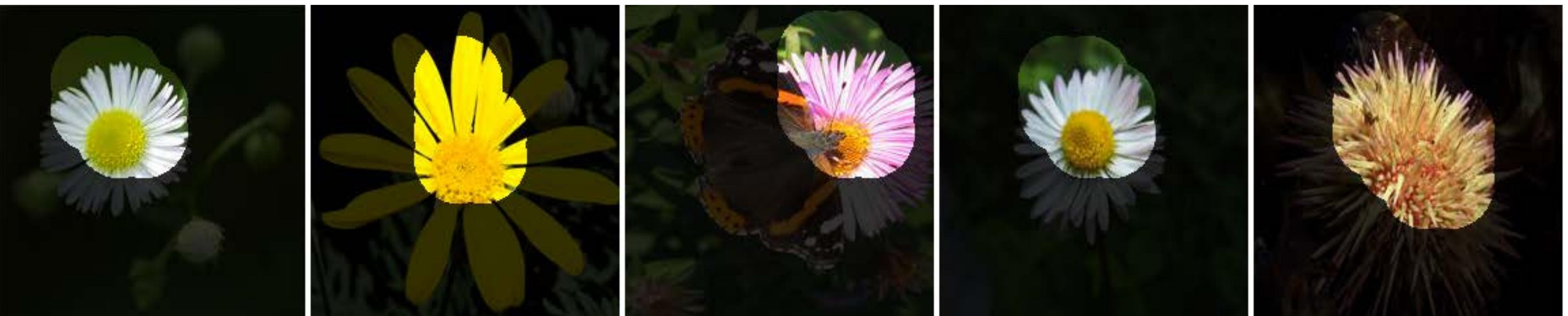
faces



dog faces



flowers



# Self-supervised learning

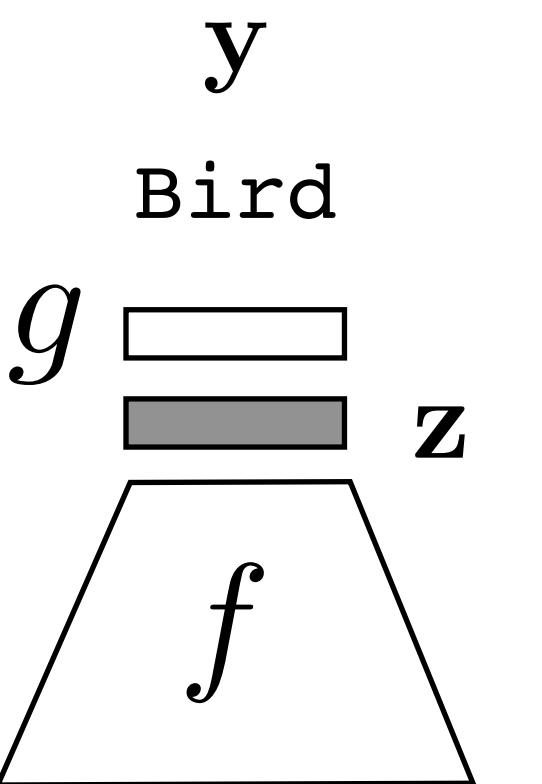
Common trick:

- Convert “unsupervised” problem into “supervised” empirical risk minimization
- Do so by cooking up “labels” (prediction targets) from the raw data itself — called **pretext task**

# Pretext task:

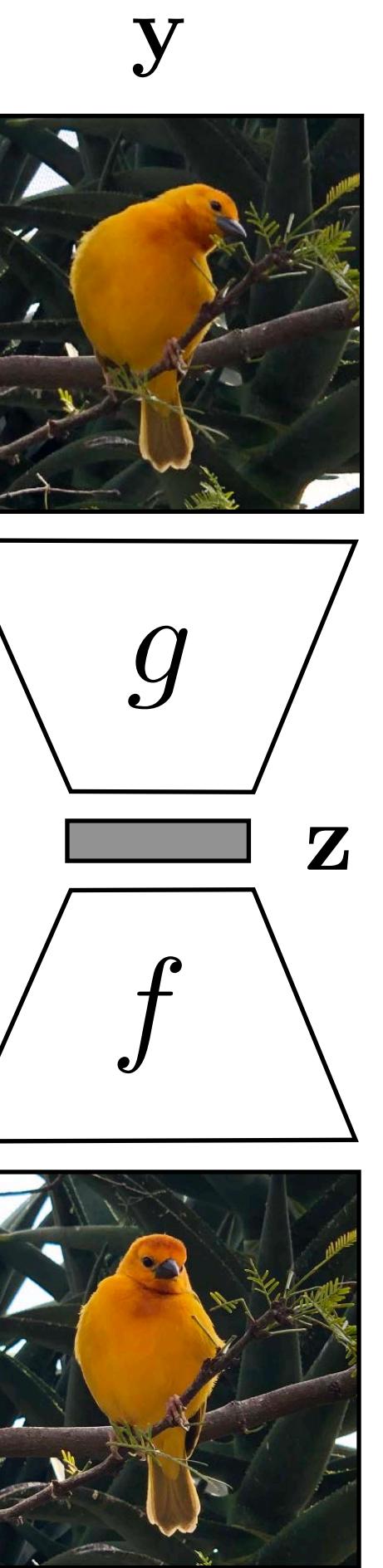
## Model schematic:

Class prediction



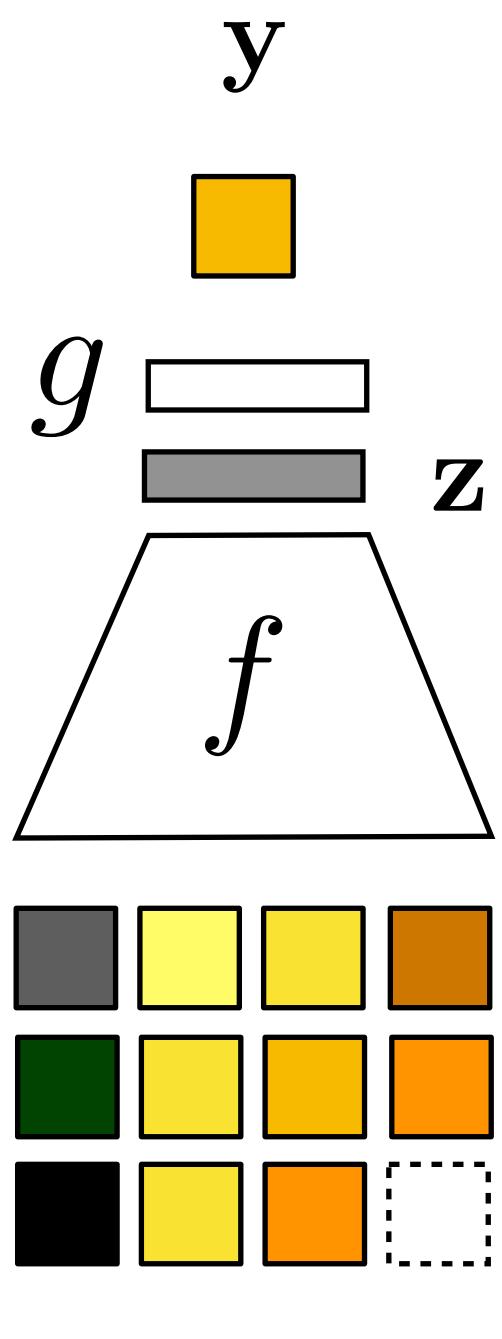
$x$

Future frame prediction



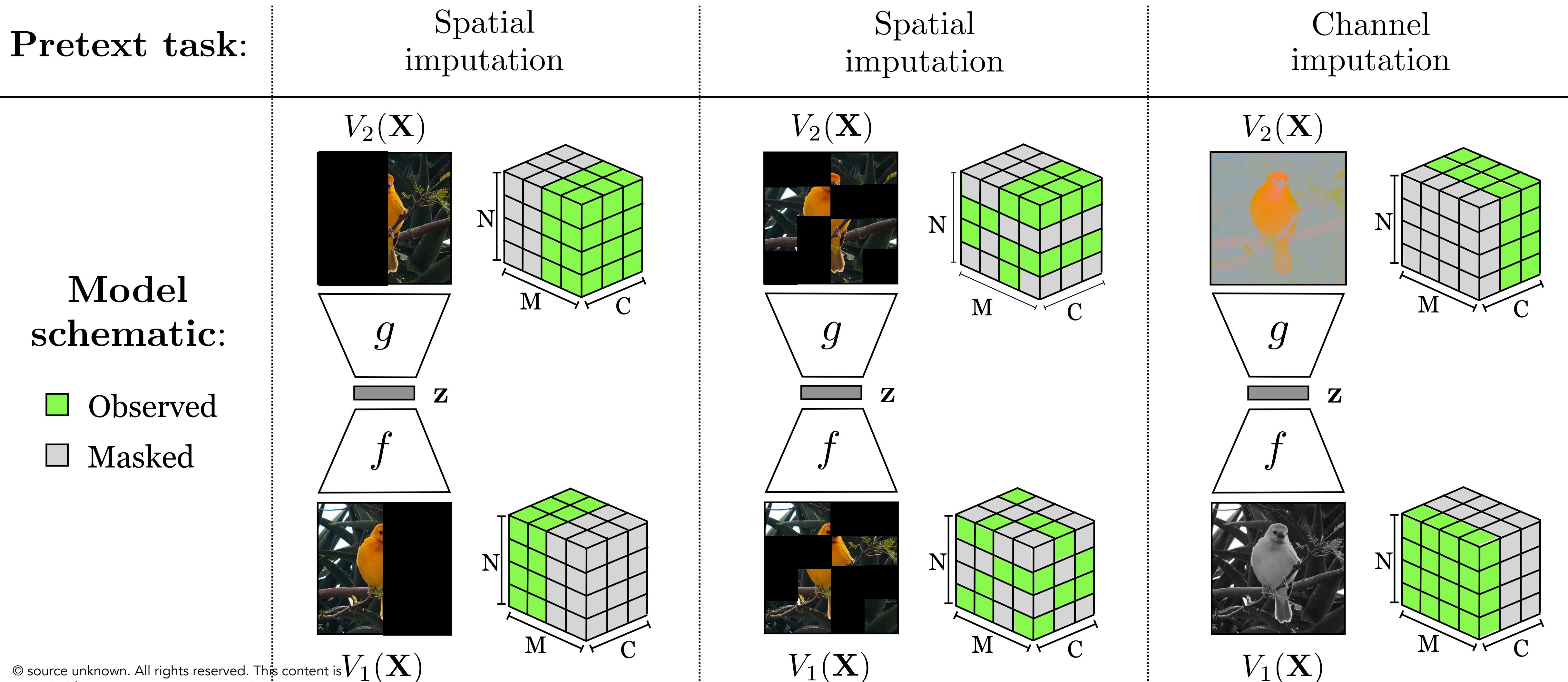
$x$

Next pixel prediction



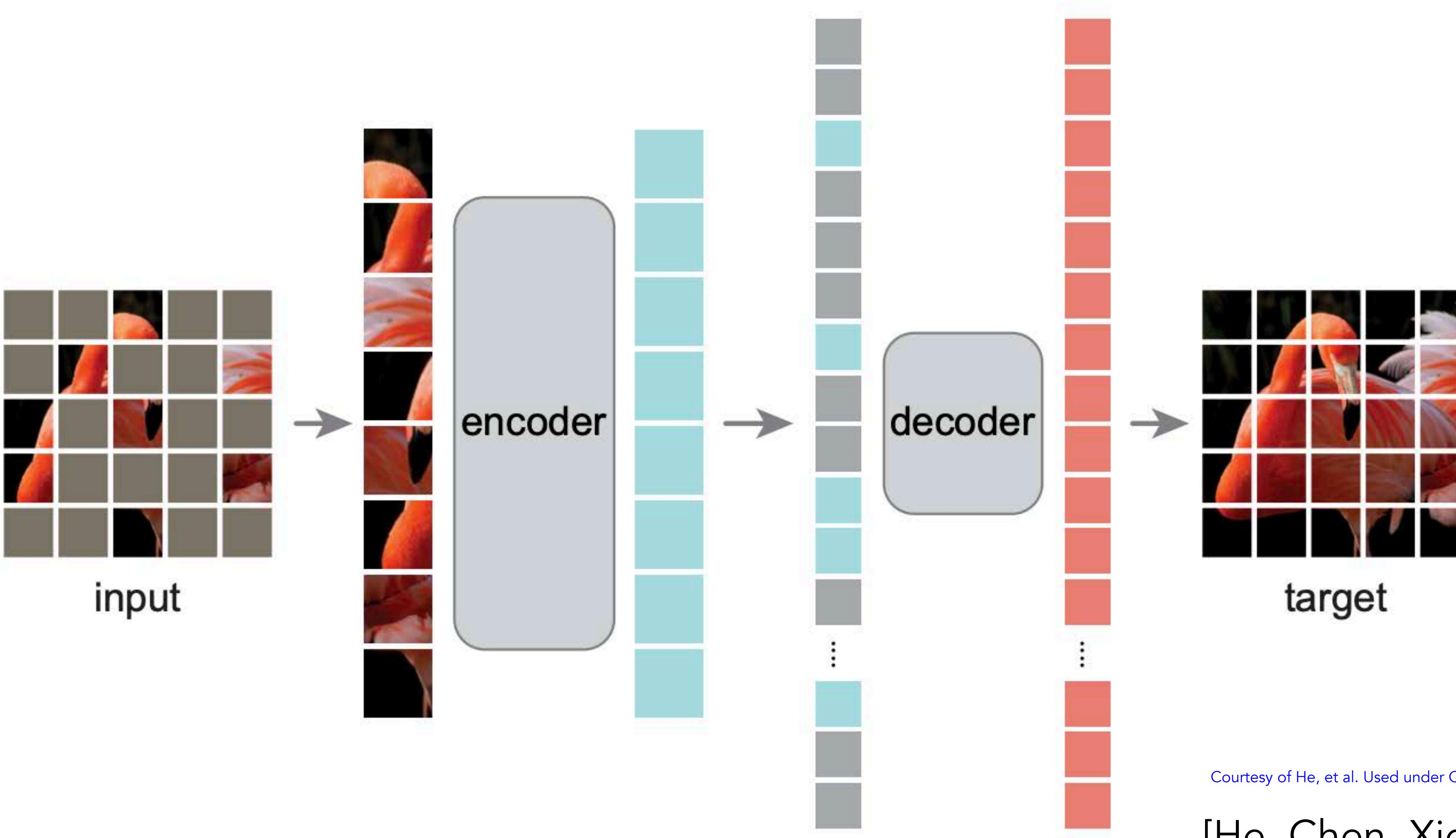
$x$

# Imputation: one pretext task to rule them all?



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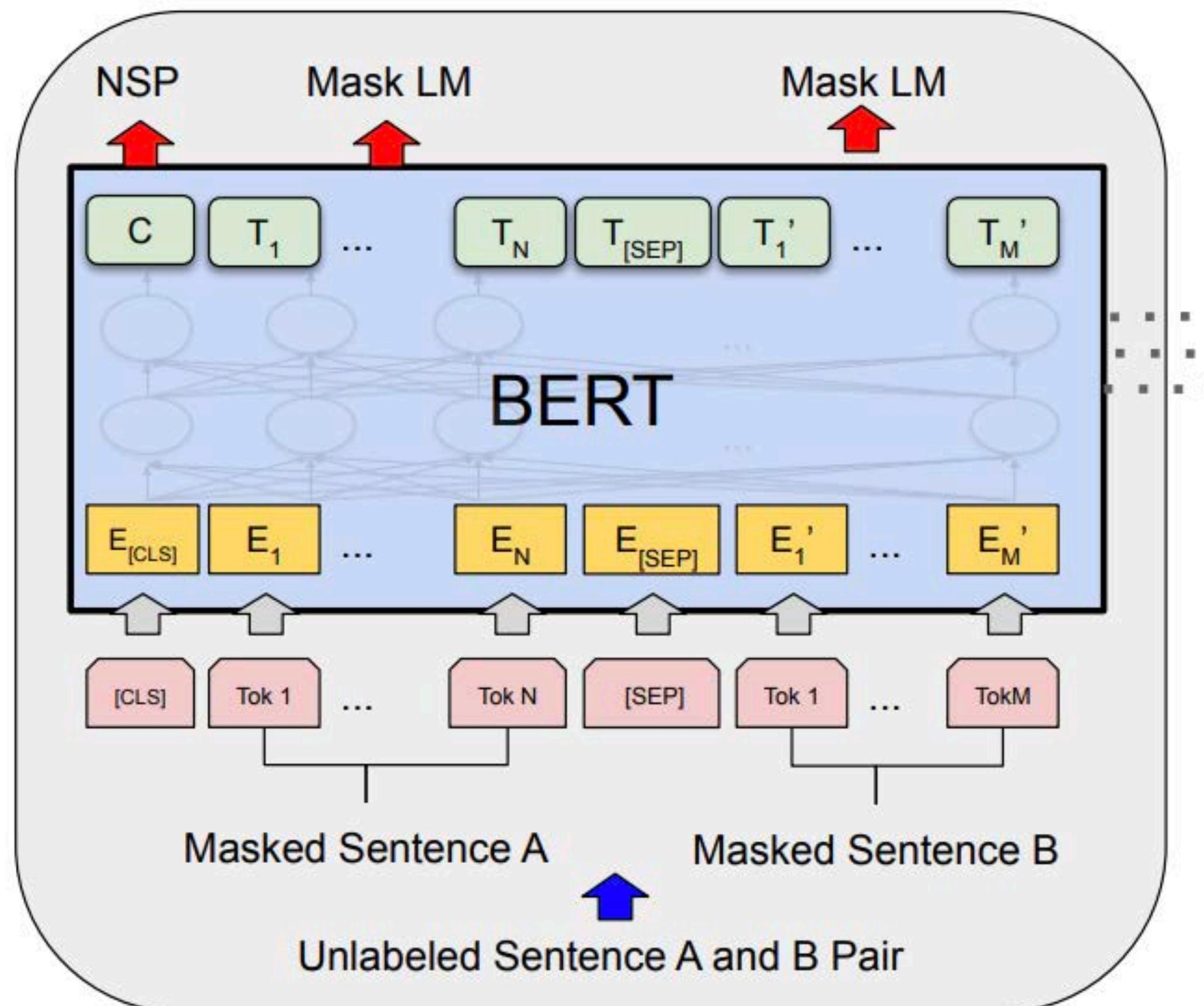
# Masked Autoencoder (MAE)



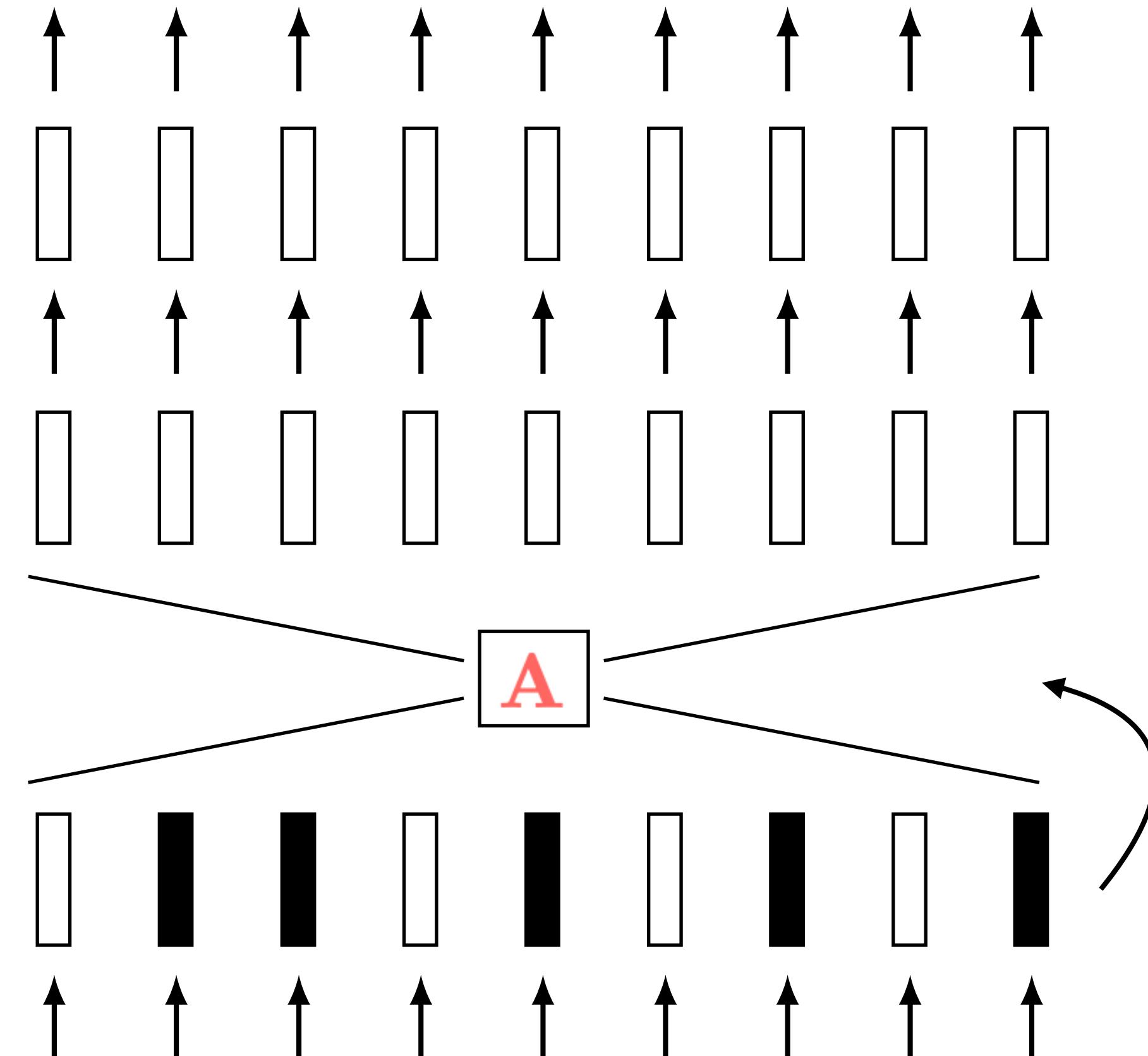
Courtesy of He, et al. Used under CC BY.

[He, Chen, Xie, et al. 2021]

# Bidirectional Transformers (BERT)



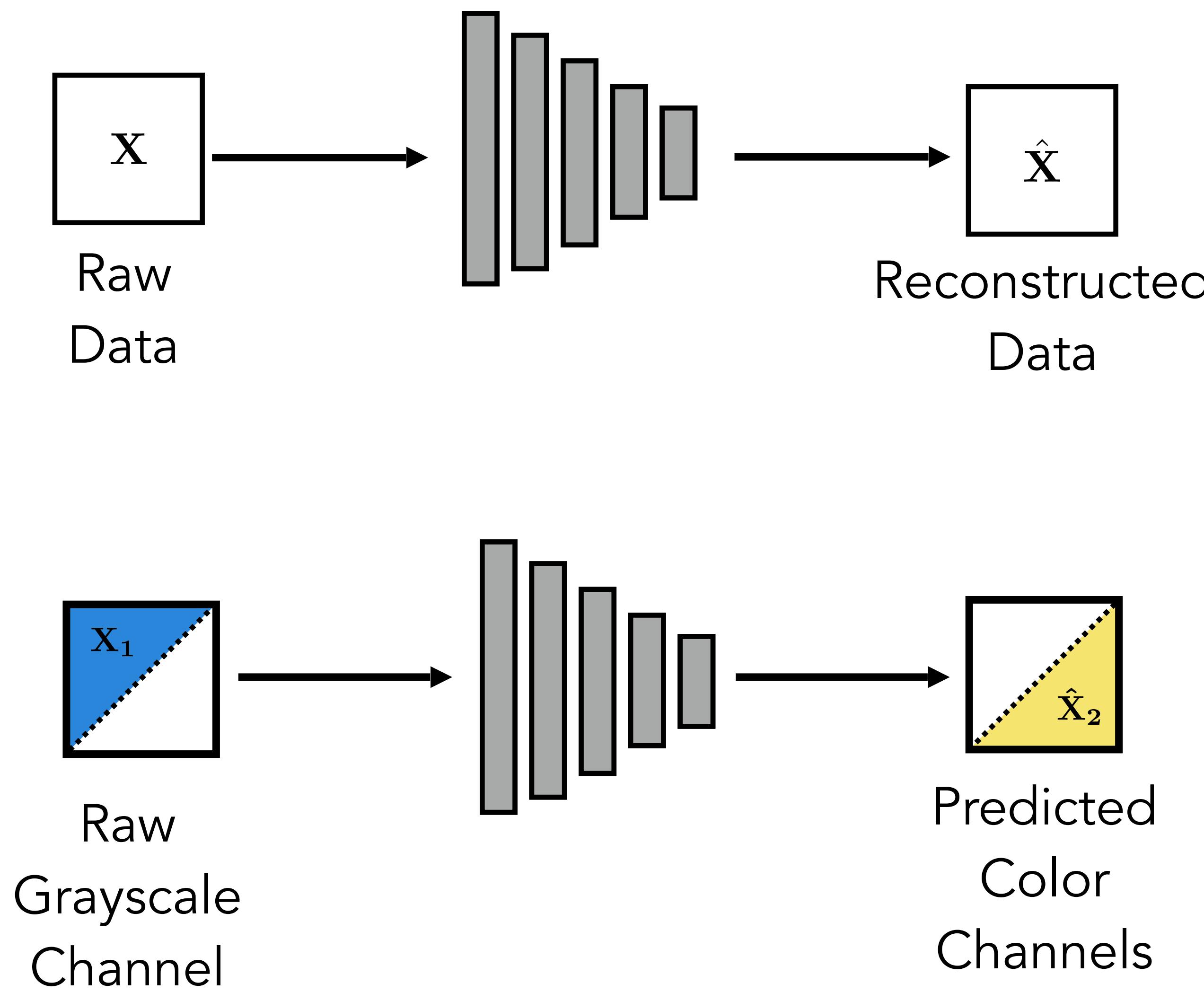
Colorless green ideas sleep furiously



Colorless green ideas sleep furiously

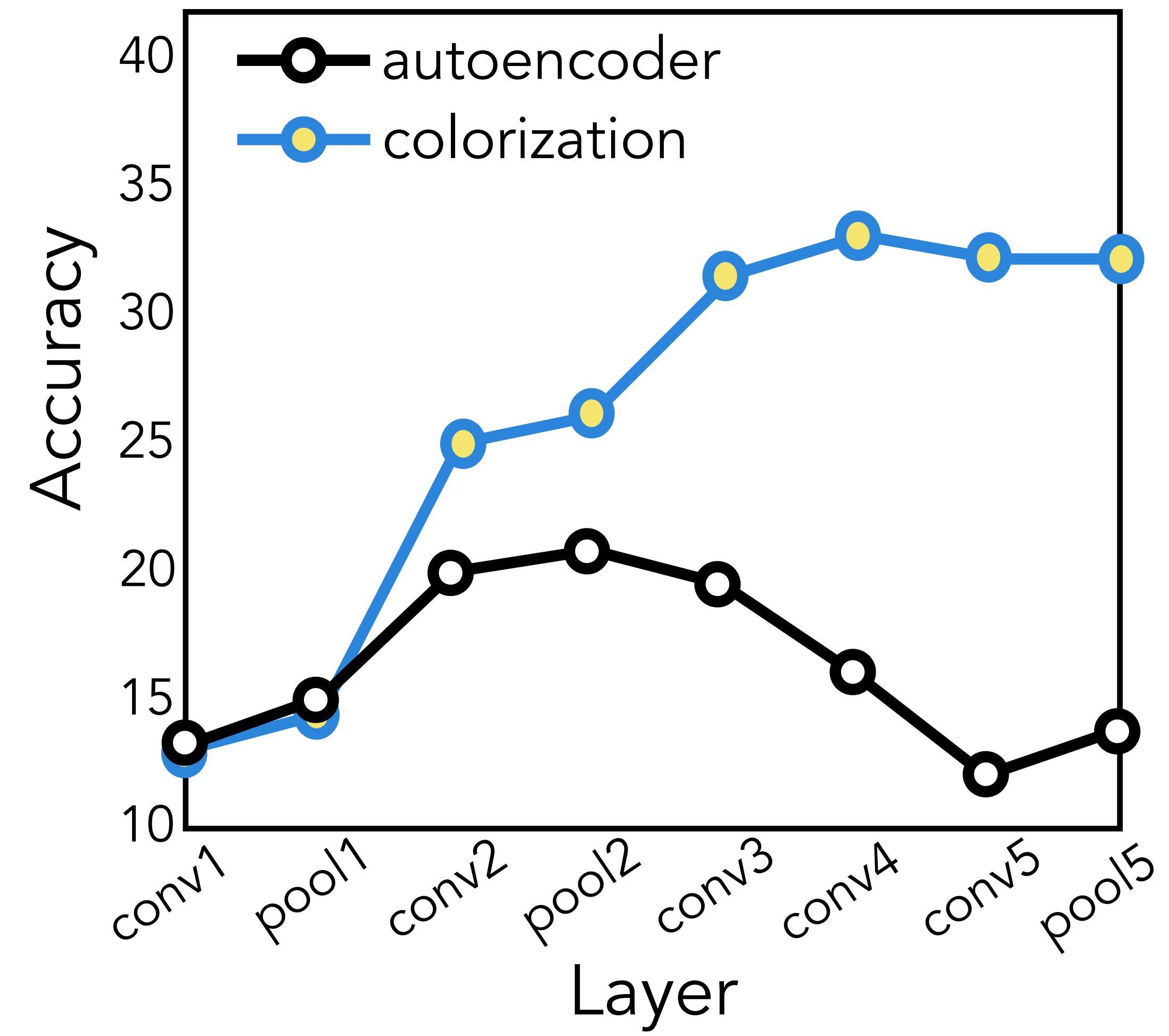
[He, Chen, Xie, et al. 2021]

# Masked prediction often works better than autoencoding



## Classification performance

ImageNet Task [Russakovsky et al. 2015]



[Zhang, Isola, Efros, ECCV 2016]

# Masked prediction often works better than autoencoding

## Why?

- Hypothesis 1: It's hard to control compression via a dimensional bottleneck. Requires fiddling with the architecture. Low-dimensional embeddings have bad properties in terms of optimization, etc.
- Hypothesis 2: Autoencoders have shortcuts where they can copy part of the input and get a decent loss. They fall into these traps (local minima) even if global minimizer is in fact good.
- Hypothesis 3: Masked prediction is closer to the downstream problems we care about, which are mainly about prediction.
- Still an open question!

Ongoing science!

# How Much Information is the Machine Given during Learning?

- ▶ “Pure” Reinforcement Learning (**cherry**)
- ▶ The machine predicts a scalar reward given once in a while.

## ▶ A few bits for some samples

## ▶ Supervised Learning (**icing**)

- ▶ The machine predicts a category or a few numbers for each input
- ▶ Predicting human-supplied data
- ▶ **10→10,000 bits per sample**

## ▶ Self-Supervised Learning (**cake génoise**)

- ▶ The machine predicts any part of its input for any observed part.
- ▶ Predicts future frames in videos
- ▶ **Millions of bits per sample**



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[Slide Credit: Yann LeCun]

# Summary

1. Deep nets learn *representations*, just like our brains do
2. This is useful because representations transfer — they act as prior knowledge that enables quick learning on new tasks
3. Representations can also be learned without labels, which is great since labels are expensive and limiting
4. Without labels there are many ways to learn representations. We saw:
  1. representations as compressed codes
  2. representations as predictions of missing data

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