### COMPLEXITY CLASSES EXAMPLES

#### (download slides and .py files to follow along)

6.100L Lecture 23

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#### THETA

- Theta Θ is how we denote the asymptotic complexity
- We look at the input term that dominates the function
  - Drop other pieces that don't have the fastest growth
  - Drop additive constants
  - Drop multiplicative constants
- End up with only a few classes of algorithms
- We will look at code that lands in each of these classes today

## WHERE DOES THE FUNCTION COME FROM?

- Given code, start with the input parameters. What are they?
- Come up with the equation relating input to number of ops.
  - f = 1 + len(L1)\*5 + 1 + len(L2)\*5 + 2 = 5\*len(L1) + 5\*len(L2) + 3
  - If lengths are the same, f = 10\*len(L) + 3
- $\Theta(f) = \Theta(10^* \text{len}(L) + 3) = \Theta(\text{len}(L))$



## WHERE DOES THE FUNCTION COME FROM?

 A quicker way: no need to come up with the exact formula. Look for loops and anything that repeats wrt the input parameters. Everything else is constant.

```
Only care about code that

repeats wrt these variables

def f(L, L1, L2):

inL1 = False

for i in range(len(L1)):

if L[i] == L1[i]:

inL1 = True

inL2 = False

for i in range(len(L2)):

if L[i] == L2[i]:

inL2 = True

return inL1 and inL2
```

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**Big-O Complexity Chart** 

#### COMPLEXITY CLASSES n is the input

We want to design algorithms that are as close to top of this hierarchy as possible



Elements

- Θ(1) denotes constant running time
- Θ(log n) denotes logarithmic running time
- Θ(n) denotes linear running time
- Θ(n log n) denotes log-linear running time
- O(n<sup>c</sup>) denotes polynomial running time (c is a constant)
- Θ(c<sup>n</sup>) denotes exponential running time
   (c is a constant raised to a power based on input size)

### CONSTANT COMPLEXITY

#### CONSTANT COMPLEXITY

- Complexity independent of inputs
- Very few interesting algorithms in this class, but can often have pieces that fit this class
- Can have loops or recursive calls, but number of iterations or calls independent of size of input
- Some built-in operations to a language are constant
  - Python indexing into a list L[i]
  - Python list append L.append ()
  - Python dictionary lookup d[key]

#### CONSTANT COMPLEXITY: EXAMPLE 1

def add(x, y):
 return x+y

Complexity in terms of either x or y: Θ(1)

#### CONSTANT COMPLEXITY: EXAMPLE 2

def convert\_to\_km(m):
 return m\*1.609

Complexity in terms of m: O(1)

#### CONSTANT COMPLEXITY: EXAMPLE 3

Complexity in terms of x (the input parameter): O(1)

### LINEAR COMPLEXITY

#### LINEAR COMPLEXITY

- Simple iterative loop algorithms
  - Loops must be a function of input
- Linear search a list to see if an element is present
- Recursive functions with one recursive call and constant overhead for call
- Some built-in operations are linear
  - ∎e in L
  - Subset of list: e.g. L[:len(L)//2]
  - L1 == L2
  - del(L[5])

## COMPLEXITY EXAMPLE 0 (with a twist)

```
Multiply x by y
def mul(x, y):
   tot = 0
   for i in range(y):
      tot += x
   return tot
```



- Complexity in terms of y: O(y)
- Complexity in terms of x: O(1)

# BIG IDEA

# Be careful about what the inputs are.

#### LINEAR COMPLEXITY: EXAMPLE 1

 Add characters of a string, assumed to be composed of decimal digits

```
def add_digits(s):
   val = 0
   for c in s:
      val += int(c)
   return val
```

Loop goes through len(s) times: **O(len(s))** Everything else is constant. **O(1)** 

- O(len(s))
- O(n) where n is len(s)

#### LINEAR COMPLEXITY: EXAMPLE 2

Loop to find the factorial of a number >=2

```
def fact_iter(n):
    prod = 1
    for i in range(2, n+1):
        prod *= i
    return prod
```



- Number of times around loop is n-1
- Number of operations inside loop is a constant
  - Independent of n
- Overall just O(n)

## FUNNY THING ABOUT FACTORIAL AND PYTHON

iter fact(40) took 3.10e-06 sec (322,580.65/sec)
iter fact(80) took 6.00e-06 sec (166,666.67/sec)
iter fact(160) took 1.34e-05 sec (74,626.87/sec)
iter fact(320) took 3.39e-05 sec (29,498.53/sec)
iter fact(640) took <u>1.18e-04</u> sec (8,488.96/sec)
iter fact(1280) took 4.31e-04 sec (2,322.88/sec)
iter fact(2560) took 1.33e-03 sec (752.73/sec)
iter fact(5120) took
iter fact(10240) took 1.90e-02 sec (52.50/sec)
iter fact(20480) took 7.66e-02 sec (13.06/sec)
iter fact(40960) took 3.35e-01 sec (2.99/sec)
iter fact(81920) took 1.60e+00 sec (0.62/sec)

- Eventually grows faster than linear
- Because Python increases the size of integers, which yields more costly operations
- For this class: ignore such effects

#### LINEAR COMPLEXITY: EXAMPLE 3

```
def fact_recur(n):
    """ assume n >= 0 """
    if n <= 1:
        return 1
    else:
        return n*fact_recur(n - 1)</pre>
```

```
Think about the function call
stack: O(n)
Everything else is constant.
O(1)
```

- Computes factorial recursively
- If you time it, notice that it runs a bit slower than iterative version due to function calls
- O(n) because the number of function calls is linear in n
- Iterative and recursive factorial implementations are the same order of growth

#### LINEAR COMPLEXITY: EXAMPLE 4

def compound(invest, interest, n\_months):



- Θ(1)\*Θ(n\_months) = Θ(n\_months)
   Θ(n) where n=n\_months
  - If I was being thorough, then need to account for assignment and return statements:
  - $\Theta(1) + 4^*\Theta(n) + \Theta(1) = \Theta(1 + 4^*n + 1) = \Theta(n)$  where n=n\_months

#### COMPLEXITY OF ITERATIVE FIBONACCI



#### Θ(1)+ Θ(1)+ Θ(n)\*Θ (1)+ Θ(1) → Θ(n)

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### POLYNOMIAL COMPLEXITY

#### POLYNOMIAL COMPLEXITY (OFTEN QUADRATIC)

- Most common polynomial algorithms are quadratic, i.e., complexity grows with square of size of input
- Commonly occurs when we have nested loops or recursive function calls

#### QUADRATIC COMPLEXITY: EXAMPLE 1



- Computes n<sup>2</sup> very inefficiently
- Look at the loops. Are they in terms of the input?
  - Nested loops
  - Look at the ranges
  - Each iterating n times
- Θ(n) \* Θ(n) \* Θ(1) = Θ(n<sup>2</sup>)

#### QUADRATIC COMPLEXITY: EXAMPLE 2

- Decide if L1 is a subset of L2: are all elements of L1 in L2? Yes: No: L1 = [3, 5, 2]L1 = [3, 5, 2]
  - L2 = [2, 3, 5, 9]L2 = [2, 5, 9]

```
def is subset(L1, L2):
    for el in L1:
        matched = False
        for e2 in L2:
            if e1 == e2:
                matched = True
                break
        if not matched:
            return False
    return True
                            24
```

#### QUADRATIC COMPLEXITY: EXAMPLE 2

```
def is subset(L1, L2):
```

for el in Ll:

matched = False

for e2 in L2:

if e1 == e2:

matched = True

break

if not matched:

return False

return True

Outer loop executed len(L1) times

Each iteration will execute inner loop up to len(L2) times

#### Θ(len(L1)\*len(L2))

If L1 and L2 same length and none of elements of L1 in L2

#### Θ(len(L1)<sup>2</sup>)

#### QUADRATIC COMPLEXITY: EXAMPLE 3

 Find intersection of two lists, return a list with each element appearing only once Example:



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#### QUADRATIC COMPLEXITY: EXAMPLE 3

```
def intersect(L1, L2):
    tmp = []
    for e1 in L1:
        for e2 in L2:
            if e1 == e2:
               tmp.append(e1)
    unique = []
    for e in tmp:
            if not(e in unique):
               unique.append(e)
    return unique
```

#### First nested loop takes O(len(L1)\*len(L2)) steps.

Second loop takes at most O(len(L1)\*len(L2)) steps. Typically not this bad.

 E.g: [7,7,7] and [7,7,7] makes tmp=[7,7,7,7,7,7,7,7,7]

#### Overall O(len(L1)\*len(L2))

#### DIAMETER COMPLEXITY



Outer loop does len(L) passes:

O(len(L))

len(L) \* len(L)/2 iterations =  $len(L)^2 / 2$ 

#### Θ(len(L)<sup>2</sup>)

### YOU TRY IT!

```
def all_digits(nums):
    """ nums is a list of numbers """
    digits = [0,1,2,3,4,5,6,7,8,9]
    for i in nums:
        isin = False
        for j in digits:
            if i == j:
                isin = True
                break
        if not isin:
            return False
    return True
```

#### **ANSWER:**

What's the input? Outer for loop is Θ(nums). Inner for loop is Θ(1). Overall: Θ(len(nums))

### YOU TRY IT!

Asymptotic complexity of f? And if L1,L2,L3 are same length? def f(L1, L2, L3): for e1 in L1: for e2 in L2: if e1 in L3 and e2 in L3 : return True

return False

#### **ANSWER:**

 $\Theta(len(L1))^* \Theta(len(L2))^* \Theta(len(L3)+len(L3))$ 

```
Overall: \Theta(\text{len}(L1)*\text{len}(L2)*\text{len}(L3))
Overall if lists equal length: \Theta(\text{len}(L1)**3)
```

### EXPONENTIAL COMPLEXITY

#### EXPONENTIAL COMPLEXITY

- Recursive functions where have more than one recursive call for each size of problem
  - Fibonacci
- Many important problems are inherently exponential
  - Unfortunate, as cost can be high
  - Will lead us to consider approximate solutions more quickly



 $2^{30} \approx 1$  million  $2^{100} > \#$  cycles than all the computers in the world working for all of recorded history could complete

#### COMPLEXITY OF RECURSIVE FIBONACCI

```
def fib_recur(n):
    """ assumes n an int >= 0 """
    if n == 0:
        return 0
    elif n == 1:
        return 1
    else:
        return fib recur(n-1) + fib_recur(n-2)
```



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#### COMPLEXITY OF RECURSIVE FIBONACCI



- Can do a bit better than 2<sup>n</sup> since tree thins out to the right
- But complexity is still order exponential

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#### **EXPONENTIAL COMPLEXITY: GENERATE SUBSETS**

- Input is [1, 2, 3]
- Output is all combinations of elements of all lengths [[],[1],[2],[3],[1,2],[1,3],[2,3],[1,2,3]]





def	gen_subsets(L):
	<u>if</u> len(L) == 0:
	return [[]]
	extra = L[-1:]
	<pre>smaller = gen_subsets(L[:-1])</pre>
	new = []
	<pre>for small in smaller:</pre>
	<pre>new.append(small+extra)</pre>
	return smaller+new

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def gen\_subsets(L): if len(L) == 0: return [[]] extra = L[-1:] smaller = gen\_subsets(L[:-1]) new = [] for small in smaller: new.append(small+extra) return smaller+new

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#### EXPONENTIAL COMPLEXITY GENERATE SUBSETS

```
def gen_subsets(L):
    if len(L) == 0:
        return [[]]
    extra = L[-1:]
    smaller = gen_subsets(L[:-1])
    new = []
    for small in smaller:
        new.append(small+extra)
    return smaller+new
```

- Assuming append is constant time
- Time to make sublists includes time to solve smaller problem, and time needed to make a copy of all elements in smaller problem

#### EXPONENTIAL COMPLEXITY GENERATE SUBSETS

```
def gen_subsets(L):
    if len(L) == 0:
        return [[]]
    extra = L[-1:]
    smaller = gen_subsets(L[:-1])
    new = []
    for small in smaller:
        new.append(small+extra)
    return smaller+new
```

Think about size of smaller

- For a set of size k there are 2<sup>k</sup> cases, doubling the size every call
- So to solve need 2<sup>n-1</sup> + 2<sup>n-2</sup> + ...
   +2<sup>0</sup> steps = Θ(2<sup>n</sup>)
- Time to make a copy of smaller
  - Concatenation isn't constant
  - Θ(n)
- Overall complexity is
   O(n\*2<sup>n</sup>) where n=len(L)

### LOGARITHMIC COMPLEXITY



- Adds digits of a number together
  - n = 83, but the loop only iterates 2 times. Relationship?
  - n = 4271, but the loop only iterates 4 times! Relationship??



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- Adds digits of a number together
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- Adds digits of a number together
  - n = 83, but the loop only iterates 2 times. Relationship?
  - n = 4271, but the loop only iterates 4 times! Relationship??









- Adds digits of a number together
- Tricky part: iterate over **length of string**, not magnitude of n
  - Think of it like dividing n by 10 each iteration
  - n/10<sup>len(s)</sup> = 1 (i.e. divide by 10 until there is 1 element left to add)
  - len(s) = log(n)
- O(log n) base doesn't matter

#### LOGARITHMIC COMPLEXITY

- Complexity grows as log of size of one of its inputs
- Example algorithm: **binary search** of a list
- Example we'll see in a few slides: one bisection search implementation

### LIST AND DICTIONARIES

Must be careful when using built-in functions!

#### Lists – n is len(L)

- index  $\Theta(1)$
- store Θ(1)
- length  $\Theta(1)$
- append Θ(1)
- == Θ(n)
- remove Θ(n)
- copy Θ(n)
- reverse
   Θ(n)
- iteration Θ(n)
- in list Θ(n)

#### Dictionaries – n is len(d)

- index Θ(1)
- store Θ(1)
- length Θ(1)
- delete Θ(1)
- .keys Θ(n)
- .values Θ(n)
- iteration Θ(n)

### SEARCHING ALGORITHMS

### SEARCHING ALGORITHMS

- Linear search
  - Brute force search
  - List does not have to be sorted
- Bisection search
  - List **MUST be sorted** to give correct answer
  - Will see two different implementations of the algorithm

#### LINEAR SEARCH ON **UNSORTED** LIST

- Must look through all elements to decide it's not there
- O(len(L)) for the loop \* O(1) to test if e == L[i]
- Overall complexity is O(n) where n is len(L)
- Θ(len(L))

#### LINEAR SEARCH ON **UNSORTED** LIST

def linear\_search(L, e):



- Must look through all elements to decide it's not there
- O(len(L)) for the loop \* O(1) to test if e == L[i]
- Overall complexity is O(n) where n is len(L)
- O(len(L))

#### LINEAR SEARCH ON **SORTED** LIST



- Must only look until reach a number greater than e
- O(len(L)) for the loop \* O(1) to test if i == e or i > e
- Overall complexity is O(len(L))
   O(n) where n is len(L)

### BISECTION SEARCH FOR AN ELEMENT IN A **SORTED** LIST

- 1) Pick an index,  $\pm$ , that divides list in half
- 2) Ask if L[i] == e
- 3) If not, ask if L[i] is larger or smaller than e
- 4) Depending on answer, search left or right half of  ${\rm L}$  for  ${\rm e}$
- A new version of divide-and-conquer: recursion!
- Break into smaller versions of problem (smaller list), plus simple operations
- Answer to smaller version is answer to original version

#### BISECTION SEARCH COMPLEXITY ANALYSIS



 Finish looking through list when

 $1 = n/2^{i}$ 

- So... relationship between original length of list and how many times we divide the list: i = log n
- Complexity is
   O(log n) where n
   is len(L)

# BIG IDEA

### Two different implementations have two different Θ values.

#### BISECTION SEARCH IMPLEMENTATION 1



# COMPLEXITY OF bisect\_search1 (where n is len(L))

#### • O(log n) bisection search calls

- Each recursive call cuts range to search in half
- Worst case to reach range of size 1 from n is when n/2<sup>k</sup> = 1 or when k = log n
- We do this to get an expression relating k to n
- O(n) for each bisection search call to copy list
  - Cost to set up recursive call at each level of recursion
- Θ(log n) \* Θ(n) = Θ(n log n) where n = len(L)
   ^ this is the answer in this class
- If careful, notice list is also halved on each recursive call
  - Infinite series (don't worry about this in this class)
  - Θ(n) is a tighter bound because copying list dominates log n

#### BISECTION SEARCH ALTERNATE IMPLEMENTATION



- Reduce size of problem by factor of 2 each step
- Keep track of low and high indices to search list
- Avoid copying list
- Complexity of recursion is
   O(log n) where n is len(L)



## COMPLEXITY OF bisect\_search2 and helper (where n is len(L))

• O(log n) bisection search calls

- Each recursive call cuts range to search in half
- Worst case to reach range of size 1 from n is when n/2<sup>k</sup> = 1 or when k = log n
- We do this to get an expression relating k to n
- Pass list and indices as parameters
  - List never copied, just re-passed
  - O(1) on each recursive call
- Θ (log n) \* Θ(1) = Θ(log n) where n is len(L)

### WHEN TO SORT FIRST AND THEN SEARCH?

### SEARCHING A SORTED LIST -- n is len(L)

- Using linear search, search for an element is Θ(n)
- Using binary search, can search for an element in O(log n)
  - Assumes the list is sorted!
- When does it make sense to sort first then search?



When is sorting is less than Θ(n)??!!?
 → Never true because you'd at least have to look at each element!

#### AMORTIZED COST -- n is len(L)

Why bother sorting first?

Only once!

Sort a list once then do many searches

Do K searches

AMORTIZE cost of the sort over many searches



implies that for large K, SORT time becomes irrelevant

#### COMPLEXITY CLASSES SUMMARY

- Compare efficiency of algorithms
- Lower order of growth
- Using O for an upper and lower ("tight") bound
- Given a function f:
  - Only look at items in terms of the input
  - Look at loops
    - Are they in terms of the input to f?
    - Are there nested loops?
  - Look at recursive calls
    - How deep does the function call stack go?
  - Look at built-in functions
    - Any of them depend on the input?



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