

# BIG OH and THETA

(download slides and .py files to follow along)

6.100L Lecture 22

Ana Bell

# TIMING

# TIMING A PROGRAM

- Use time module
- Importing means bringing collection of functions into your own file

```
import time
```

```
def convert_to_km(m):  
    return m * 1.609
```

*More accurate  
timer,  
meaningful  
when used to  
get a time diff*

- **Start** clock



```
t0 = time.perf_counter()
```

- **Call** function

```
convert_to_km(100000)
```

- **Stop** clock



```
dt = time.perf_counter() - t0
```

```
print("t =", dt, "s,")
```

# EXAMPLE: `convert_to_km`, `compound`

```
def convert_to_km(m):  
    return m * 1.609
```

```
def compound(invest, interest, n_months):  
    total=0  
    for i in range(n_months):  
        total = total * interest + invest  
    return total
```

- How long does it take to compute these functions?
- Does the time depend on the input parameters?
- Are the times noticeably different for these two functions?

# CREATING AN INPUT LIST

Create a set of input sizes,  
each of which is 10 times  
larger than the previous one  
[1, 10, 100, 1000, ...]

```
L_N = [1]
for i in range(7):
    L_N.append(L_N[-1]*10)
```

```
for N in L_N:
```

```
    t = time.perf_counter()
    km = convert_to_km(N)
    dt = time.perf_counter()-t
```

```
    print(f"convert_to_km({N}) took {dt} seconds ({1/dt}/sec)")
```

Measure time to compute (aka  
run function) for each input

Report time and how many  
times the fcn can run per sec

RUN IT!

convert\_to\_km OBSERVATIONS

*Scientific notation, i.e.  
 $1.44e-06 = 1.44 \times 10^{-6}$*

convert\_to\_km(1) took 4.30e-06 sec (232,558.14/sec)  
convert\_to\_km(10) took 7.00e-07 sec (1,428,571.43/sec)  
convert\_to\_km(100) took 4.00e-07 sec (2,499,999.99/sec)  
convert\_to\_km(1000) took 3.00e-07 sec (3,333,333.33/sec)  
convert\_to\_km(10000) took 3.00e-07 sec (3,333,333.33/sec)  
convert\_to\_km(100000) took 4.00e-07 sec (2,499,999.99/sec)  
convert\_to\_km(1000000) took 4.00e-07 sec (2,499,999.99/sec)  
convert\_to\_km(10000000) took 3.00e-07 sec (3,333,333.33/sec)  
convert\_to\_km(100000000) took 3.00e-07 sec (3,333,333.33/sec)

**Observation:** average time seems independent of size of argument

# MEASURE TIME:

compound with a variable number of months

```
def compound(invest, interest, n_months):  
    total=0  
    for i in range(n_months):  
        total = total * interest + invest  
    return total
```

compound(1) took 2.26e-06 seconds (441,696.12/sec)  
compound(10) took 2.31e-06 seconds (433,839.48/sec)  
compound(100) took 6.59e-06 seconds (151,676.02/sec)  
compound(1000) took 5.02e-05 seconds (19,938.59/sec)  
compound(10000) took 5.10e-04 seconds (1,961.80/sec)  
compound(100000) took 5.14e-03 seconds (194.46/sec)  
compound(1000000) took 4.79e-02 seconds (20.86/sec)  
compound(10000000) took 4.46e-01 seconds (2.24/sec)

**Observation 1:** Time grows with the input only when `n_months` changes

**Observation 2:** average time seems to increase by 10 as size of argument increases by 10

**Observation 3:** relationship between size and time only predictable for large sizes

# MEASURE TIME: sum over L

```
def sum_of(L):  
    total = 0.0  
    for elt in L:  
        total = total + elt  
    return total
```

```
L_N = [1]  
for i in range(7):  
    L_N.append(L_N[-1]*10)
```

```
for N in L_N:  
    L = list(range(N))  
    t = time.perf_counter()  
    s = sum_of(L)  
    dt = time.perf_counter()-t  
    print(f"sum_of({N}) took {dt} seconds ({1/dt}/sec)")
```

*[0,1,2,...9] then  
[0,1,2,...99] etc*

**Observation 1:** Size of the input is now the length of the list, not how big the element numbers are.

**Observation 2:** average time seems to increase by 10 as size of argument increases by 10

**Observation 3:** relationship between size and time only predictable for large sizes

**Observation 4:** Time seems comparable to computation of compound



# MEASURE TIME: find element in a list

```
# search each element one-by-one
```

```
def is_in(L, x):  
    for elt in L:  
        if elt==x:  
            return True  
    return False
```

```
# search by bisecting the list (list should be sorted!)
```

```
def binary_search(L, x):  
    lo = 0  
    hi = len(L)  
    while hi-lo > 1:  
        mid = (hi+lo) // 2  
        if L[mid] <= x:  
            lo = mid  
        else:  
            hi = mid  
    return L[lo] == x
```

*Integer division,  
round down*

*Measure "average" time.  
Search for the first, middle,  
and last element of sorted list,  
and average these 3 times.*

```
# search using built-in operator
```

```
x in L
```

# MEASURE TIME: find element in a list

```
is_in(10000000) took 1.62e-01 seconds (6.16/sec)
    9.57 times more than for 10 times fewer elements
binary(10000000) took 9.37e-06 seconds (106,761.64/sec)
    1.40 times more than for 10 times fewer elements
builtin(10000000) took 5.64e-02 seconds (17.72/sec)
    9.63 times more than for 10 times fewer elements
```

```
is_in(100000000) took 1.64e+00 seconds (0.61/sec)
    10.12 times more than for 10 times fewer elements
binary(100000000) took 1.18e-05 seconds (84,507.09/sec)
    1.26 times more than for 10 times fewer elements
builtin(100000000) took 5.70e-01 seconds (1.75/sec)
    10.11 times more than for 10 times fewer elements
```

**Observation 1:** searching one-by-one grows by factor of 10, when L increases by 10

# MEASURE TIME: find element in a list

```
is_in(10000000) took 1.62e-01 seconds (6.16/sec)
    9.57 times more than for 10 times fewer elements
binary(10000000) took 9.37e-06 seconds (106,761.64/sec)
    1.40 times more than for 10 times fewer elements
builtin(10000000) took 5.64e-02 seconds (17.72/sec)
    9.63 times more than for 10 times fewer elements
```

```
is_in(100000000) took 1.64e+00 seconds (0.61/sec)
    10.12 times more than for 10 times fewer elements
binary(100000000) took 1.18e-05 seconds (84,507.09/sec)
    1.26 times more than for 10 times fewer elements
builtin(100000000) took 5.70e-01 seconds (1.75/sec)
    10.11 times more than for 10 times fewer elements
```

**Observation 1:** searching one-by-one grows by factor of 10, when L increases by 10

**Observation 2:** built-in function grows by factor of 10, when L increases by 10

# MEASURE TIME: find element in a list

```
is_in(10000000) took 1.62e-01 seconds (6.16/sec)
    9.57 times more than for 10 times fewer elements
binary(10000000) took 9.37e-06 seconds (106,761.64/sec)
    1.40 times more than for 10 times fewer elements
builtin(10000000) took 5.64e-02 seconds (17.72/sec)
    9.63 times more than for 10 times fewer elements

is_in(100000000) took 1.64e+00 seconds (0.61/sec)
    10.12 times more than for 10 times fewer elements
binary(100000000) took 1.18e-05 seconds (84,507.09/sec)
    1.26 times more than for 10 times fewer elements
builtin(100000000) took 5.70e-01 seconds (1.75/sec)
    10.11 times more than for 10 times fewer elements
```

**Observation 1:** searching one-by-one grows by factor of 10, when L increases by 10

**Observation 2:** built-in function grows by factor of 10, when L increases by 10

**Observation 3:** binary search time seems *almost* independent of size

# MEASURE TIME: find element in a list

```
is_in(10000000) took 1.62e-01 seconds (6.16/sec)
    9.57 times more than for 10 times fewer elements
binary(10000000) took 9.37e-06 seconds (106,761.64/sec)
    1.40 times more than for 10 times fewer elements
builtin(10000000) took 5.64e-02 seconds (17.72/sec)
    9.63 times more than for 10 times fewer elements
```

```
is_in(100000000) took 1.64e+00 seconds (0.61/sec)
    10.12 times more than for 10 times fewer elements
binary(100000000) took 1.18e-05 seconds (84,507.09/sec)
    1.26 times more than for 10 times fewer elements
builtin(100000000) took 5.70e-01 seconds (1.75/sec)
    10.11 times more than for 10 times fewer elements
```

**Observation 1:** searching one-by-one grows by factor of 10, when L increases by 10

**Observation 2:** built-in function grows by factor of 10, when L increases by 10

**Observation 3:** binary search time seems *almost* independent of size

**Observation 4:** binary search much faster than `is_in`, especially on larger problems

# MEASURE TIME: find element in a list

```
is_in(10000000) took 1.62e-01 seconds (6.16/sec)
    9.57 times more than for 10 times fewer elements
binary(10000000) took 9.37e-06 seconds (106,761.64/sec)
    1.40 times more than for 10 times fewer elements
builtin(10000000) took 5.64e-02 seconds (17.72/sec)
    9.63 times more than for 10 times fewer elements

is_in(100000000) took 1.64e+00 seconds (0.61/sec)
    10.12 times more than for 10 times fewer elements
binary(100000000) took 1.18e-05 seconds (84,507.09/sec)
    1.26 times more than for 10 times fewer elements
builtin(100000000) took 5.70e-01 seconds (1.75/sec)
    10.11 times more than for 10 times fewer elements
```

**Observation 1:** searching one-by-one grows by factor of 10, when L increases by 10

**Observation 2:** built-in function grows by factor of 10, when L increases by 10

**Observation 3:** binary search time seems *almost* independent of size

**Observation 4:** binary search much faster than `is_in`, especially on larger problems

**Observation 5:** `is_in` is slightly slower than using Python's "in" capability

# MEASURE TIME: find element in a list

```
def is_in(L, x):  
    for elt in L:  
        if elt==x:  
            return True  
    return False
```

```
def binary_search(L, x):  
    lo = 0  
    hi = len(L)  
    while hi-lo > 1:  
        mid = (hi+lo) // 2  
        if L[mid] <= x:  
            lo = mid  
        else:  
            hi = mid  
    return L[lo] == x
```

So we have seen  
computations where  
time seems very  
different

- Constant time
- Linear in size of argument
- Something less than linear?

# MEASURE TIME: diameter function

```
L=[ (cos(0), sin(0)),  
    (cos(1), sin(1)),  
    (cos(2), sin(2)), ... ] #example numbers
```

```
def diameter(L):  
    farthest_dist = 0  
    for i in range(len(L)):  
        for j in range(i+1, len(L)):  
            p1 = L[i]  
            p2 = L[j]  
            dist = math.sqrt((p1[0]-p2[0])**2+(p1[1]-p2[1])**2)  
            if dist > farthest_dist:  
                farthest_dist = dist  
    return farthest_dist
```

*1st iter: len(L) - 1 passes  
2nd iter: len(L) - 2 passes ...  
On average, len(L) / 2 passes*

```
L = [(cos(0),sin(0)), (cos(1),sin(1)), (cos(2),sin(2)), (cos(3),sin(3))]
```



# MEASURE TIME: diameter function

```
def diameter(L):
    farthest_dist = 0
    for i in range(len(L)):
        for j in range(i+1, len(L)):
            p1 = L[i]
            p2 = L[j]
            dist = math.sqrt((p1[0]-p2[0])**2+(p1[1]-p2[1])**2)
            if dist > farthest_dist:
                farthest_dist = dist
    return farthest_dist
```

1st iter:  $\text{len}(L) - 1$  passes  
2nd iter:  $\text{len}(L) - 2$  passes ...  
On average,  $\text{len}(L) / 2$  passes

$L = [(\cos(0), \sin(0)), (\cos(1), \sin(1)), (\cos(2), \sin(2)), (\cos(3), \sin(3))]$

# MEASURE TIME: diameter function

```
def diameter(L):
    farthest_dist = 0
    for i in range(len(L)):
        for j in range(i+1, len(L)):
            p1 = L[i]
            p2 = L[j]
            dist = math.sqrt((p1[0]-p2[0])**2+(p1[1]-p2[1])**2)
            if dist > farthest_dist:
                farthest_dist = dist
    return farthest_dist
```

1st iter: len(L) - 1 passes  
2nd iter: len(L) - 2 passes ...  
On average, len(L) / 2 passes

$L = [(\cos(0), \sin(0)), (\cos(1), \sin(1)), (\cos(2), \sin(2)), (\cos(3), \sin(3))]$

# MEASURE TIME: diameter function

```
def diameter(L):
    farthest_dist = 0
    for i in range(len(L)):
        for j in range(i+1, len(L)):
            p1 = L[i]
            p2 = L[j]
            dist = math.sqrt((p1[0]-p2[0])**2+(p1[1]-p2[1])**2)
            if dist > farthest_dist:
                farthest_dist = dist
    return farthest_dist
```

1st iter:  $\text{len}(L) - 1$  passes  
2nd iter:  $\text{len}(L) - 2$  passes ...  
On average,  $\text{len}(L) / 2$  passes

$L = [(\cos(0), \sin(0)), (\cos(1), \sin(1)), (\cos(2), \sin(2)), (\cos(3), \sin(3))]$

# MEASURE TIME: diameter function

```
def diameter(L):
    farthest_dist = 0
    for i in range(len(L)):
        for j in range(i+1, len(L)):
            p1 = L[i]
            p2 = L[j]
            dist = math.sqrt((p1[0]-p2[0])**2+(p1[1]-p2[1])**2)
            if dist > farthest_dist:
                farthest_dist = dist
    return farthest_dist
```

1st iter:  $\text{len}(L) - 1$  passes  
2nd iter:  $\text{len}(L) - 2$  passes ...  
On average,  $\text{len}(L) / 2$  passes

$L = [(\cos(0), \sin(0)), (\cos(1), \sin(1)), (\cos(2), \sin(2)), (\cos(3), \sin(3))]$

# MEASURE TIME: diameter function

```
def diameter(L):
    farthest_dist = 0
    for i in range(len(L)):
        for j in range(i+1, len(L)):
            p1 = L[i]
            p2 = L[j]
            dist = math.sqrt((p1[0]-p2[0])**2+(p1[1]-p2[1])**2)
            if dist > farthest_dist:
                farthest_dist = dist
    return farthest_dist
```

1st iter:  $\text{len}(L) - 1$  passes  
2nd iter:  $\text{len}(L) - 2$  passes ...  
On average,  $\text{len}(L) / 2$  passes

$L = [(\cos(0), \sin(0)), (\cos(1), \sin(1)), (\cos(2), \sin(2)), (\cos(3), \sin(3))]$

# MEASURE TIME: diameter function

```
def diameter(L):  
    farthest_dist = 0  
    for i in range(len(L)):  
        for j in range(i+1, len(L)):  
            p1 = L[i]  
            p2 = L[j]  
            dist = math.sqrt((p1[0]-p2[0])**2+(p1[1]-p2[1])**2)  
            if dist > farthest_dist:  
                farthest_dist = dist  
    return farthest_dist
```

1st iter: len(L) - 1 passes  
2nd iter: len(L) - 2 passes ...  
On average, len(L) / 2 passes

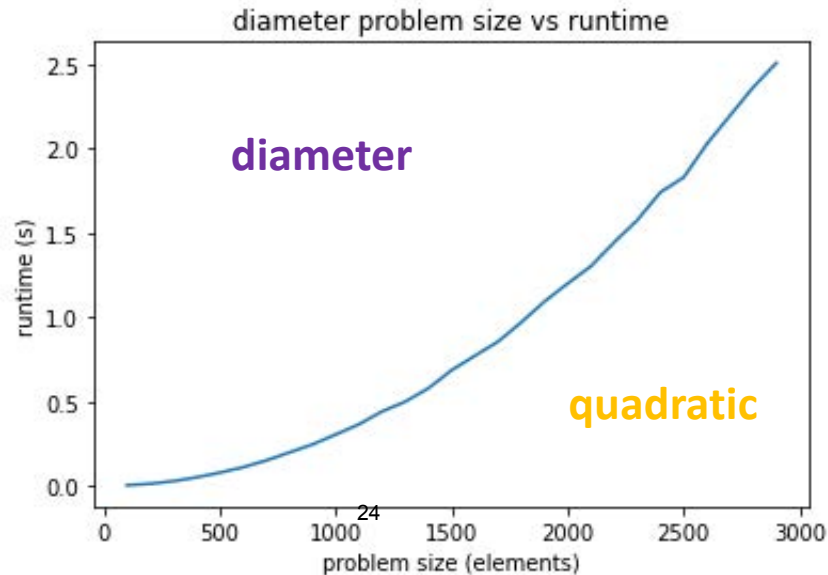
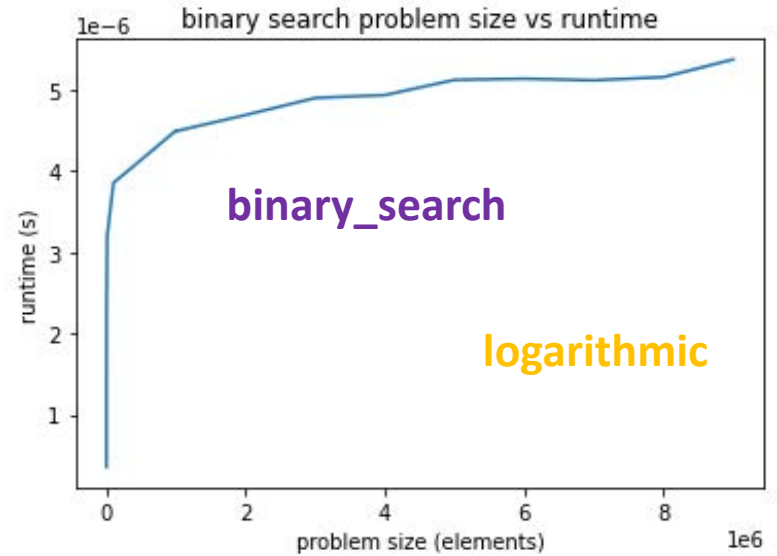
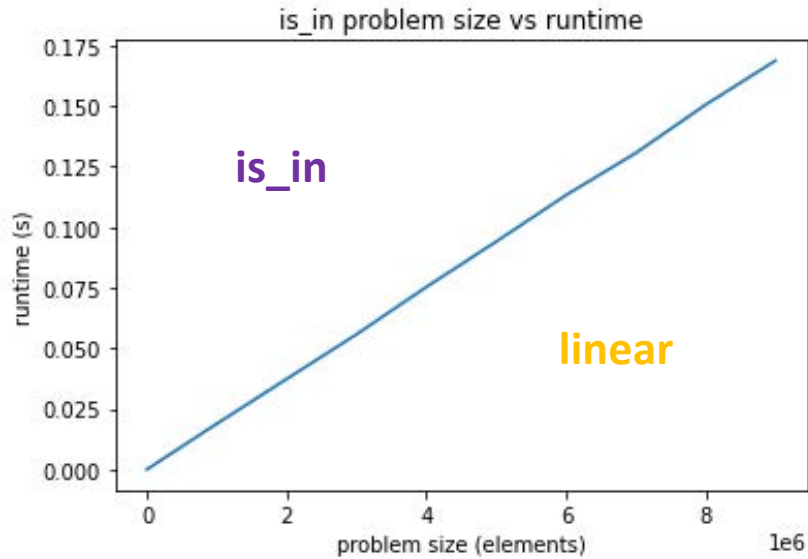
$L = [(\cos(0), \sin(0)), (\cos(1), \sin(1)), (\cos(2), \sin(2)), (\cos(3), \sin(3))]$

# MEASURE TIME: diameter function

```
def diameter(L):
    farthest_dist = 0
    for i in range(len(L)):
        for j in range(i+1, len(L)):
            p1 = L[i]
            p2 = L[j]
            dist = math.sqrt((p1[0]-p2[0])**2+(p1[1]-p2[1])**2)
            if dist > farthest_dist:
                farthest_dist = dist
    return farthest_dist
```

- Gets much slower as size of input grows
- *Quadratic: for list of size  $\text{len}(L)$ , does  $\text{len}(L)/2$  operations per element on average*
- *$\text{len}(L) \times \text{len}(L)/2$  operations — worse than linear growth*

# PLOT OF INPUT SIZE vs. TIME TO RUN





# TWO DIFFERENT MACHINES

---

My old laptop

```
convert( 1 ) took 0.0919969081879 seconds
convert( 10 ) took 0.0812351703644 seconds
convert( 100 ) took 0.0810060501099 seconds
convert( 1000 ) took 0.0786969661713 seconds
convert( 10000 ) took 0.0776309967041 seconds
convert( 100000 ) took 0.0800149440765 seconds
convert( 1000000 ) took 0.0772659778595 seconds
convert( 10000000 ) took 0.0839469432831 seconds
convert( 100000000 ) took 0.0802690982819 seconds
convert( 1000000000 ) took 0.0796220302582 seconds
compound( 1 ) took 0.0781879425049 seconds
compound( 10 ) took 0.0791871547699 seconds
compound( 100 ) took 0.0802779197693 seconds
compound( 1000 ) took 0.0811159610748 seconds
compound( 10000 ) took 0.079794883728 seconds
compound( 100000 ) took 0.0803499221802 seconds
compound( 1000000 ) took 0.180749893188 seconds
compound( 10000000 ) took 0.713826179504 seconds
compound( 100000000 ) took 6.48052787781 seconds
compound( 1000000000 ) took 63.5682651997 seconds
```

My old desktop

```
convert( 1 ) took 0.0651700496674 seconds
convert( 10 ) took 0.0838208198547 seconds
convert( 100 ) took 0.0830719470978 seconds
convert( 1000 ) took 0.0816540718079 seconds
convert( 10000 ) took 0.0824558734894 seconds
convert( 100000 ) took 0.0837979316711 seconds
convert( 1000000 ) took 0.0837349891663 seconds
convert( 10000000 ) took 0.0843281745911 seconds
convert( 100000000 ) took 0.0838270187378 seconds
convert( 1000000000 ) took 0.0844709873199 seconds
compound( 1 ) took 0.083487033844 seconds
compound( 10 ) took 0.0834701061249 seconds
compound( 100 ) took 0.083163022995 seconds
compound( 1000 ) took 0.0843181610107 seconds
compound( 10000 ) took 0.0845410823822 seconds
compound( 100000 ) took 0.099858045578 seconds
compound( 1000000 ) took 0.183917045593 seconds
compound( 10000000 ) took 1.38667988777 seconds
compound( 100000000 ) took 12.7653880119 seconds
compound( 1000000000 ) took 126.978576899 seconds
```

~2x slower for large problems

**Observation 1:** even for the same code, the actual machine may affect speed.

**Observation 2:** Looking only at the relative increase in run time from a prev run, if input is n times as big, the run time is approx. n times as long.

# DON'T GET ME WRONG!

- Timing is a **critical tool to assess the performance** of programs
  - At the end of the day, it is irreplaceable for real-world assessment
- But we will see a complementary tool (**asymptotic complexity**) that has other advantages
  - A priori evaluation (before writing or running code)
  - **Assesses algorithm** independent of machine and implementation (what is intrinsic efficiency of algorithm?)
  - Provides direct insight into the **design** of efficient algorithms

# COUNTING

# COUNT OPERATIONS

- Assume these steps take **constant time**:
  - Mathematical operations
  - Comparisons
  - Assignments
  - Accessing objects in memory
- Count number of these operations executed as function of size of input

`convert_to_km` → 2 ops

```
def convert_to_km(m):
```

```
    return m * 1.609
```

2 ops

`sum_of` →  $1 + \text{len}(L) * 3 + 1 = 3 * \text{len}(L) + 2$  ops

```
def sum_of(L):
```

```
    total = 0
```

```
    for i in L:
```

```
        total += i
```

```
    return total
```

loop  
len(L) times

1 op

1 op

2 ops

1 op

# COUNT OPERATIONS: is\_in

```
def is_in_counter(L, x):  
  
    for elt in L:  
  
        if elt==x:  
            return True  
    return False
```

# COUNT OPERATIONS: is\_in

```
def is_in_counter(L, x):  
    global count  
    count += 1  
    for elt in L:  
        count += 2  
        if elt==x:  
            return True  
    return False
```

Return value

Set elt as val from L,  
Check elt==x

Global lets us reference and  
change an external variable inside  
a function – OK for debugging /  
timing but not good practice in  
real programs

# COUNT OPERATIONS: binary search

```
def binary_search_counter(L, x):  
    global count  
    lo = 0  
    hi = len(L)  
    count += 3  
    while hi-lo > 1:  
        count += 2  
        mid = (hi+lo) // 2  
        count += 3  
        if L[mid] <= x:  
            lo = mid  
        else:  
            hi = mid  
        count += 3  
    count += 3  
    return L[lo] == x
```

*Set lo, hi, len*

*While test and the subtraction*

*Addition, //, and assign mid*

*Access mid, if test and assign mid*

*Access lo, == test, return*

# COUNT OPERATIONS

is\_in testing

for 1 element, is\_in used 9 operations

for 10 element, is\_in used 37 operations

for 100 element, is\_in used 307 operations

for 1000 element, is\_in used 3007 operations

for 10000 element, is\_in used 30007 operations

for 100000 element, is\_in used 300007 operations

for 1000000 element, is\_in used 3000007 operations

**Observation 1:** number of operations for is\_in increases by 10 as size increases by 10

binary\_search testing

for 1 element, binary search used 15 operations

for 10 element, binary search used 85 operations

for 100 element, binary search used 148 operations

for 1000 element, binary search used 211 operations

for 10000 element, binary search used 295 operations

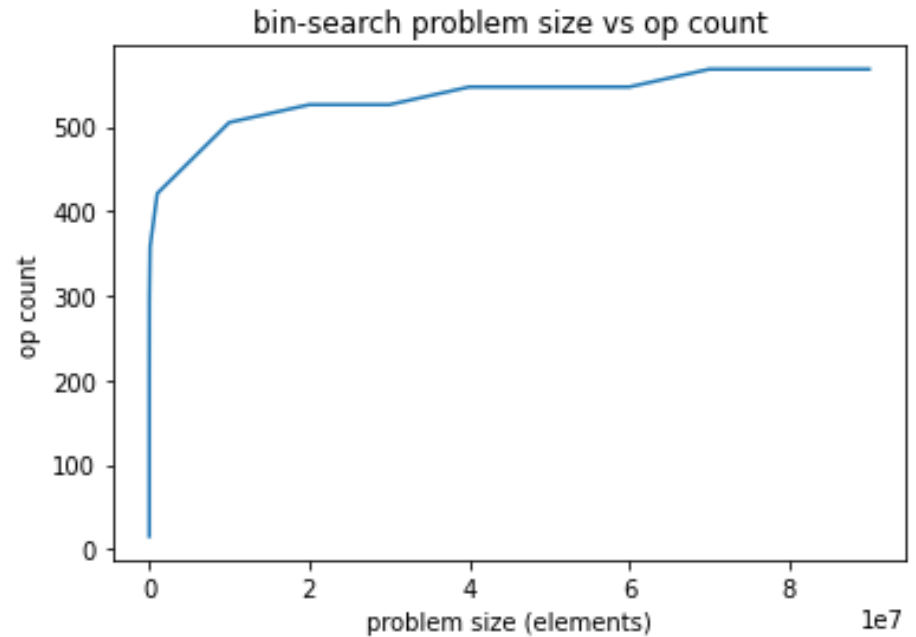
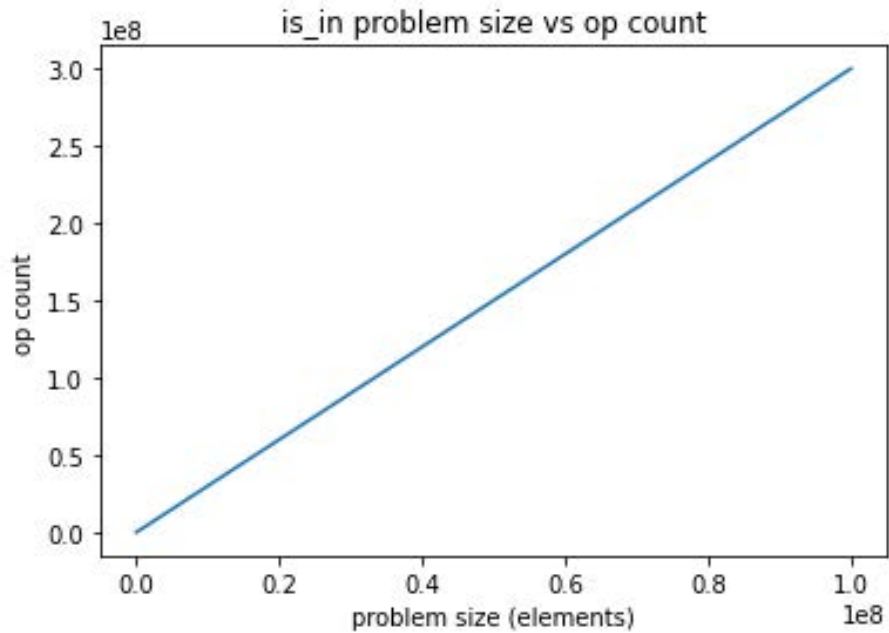
for 100000 element, binary search used 358 operations

for 1000000 element, binary search used 421 operations

**Observation 2:** *but* number of operations for binary search grows *much more slowly*. Unclear at what rate.



# PLOT OF INPUT SIZE vs. OPERATION COUNT



# PROBLEMS WITH TIMING AND COUNTING

- **Timing** the exact running time of the program
  - Depends on **machine**
  - Depends on **implementation**
  - **Small inputs** don't show growth
- **Counting** the exact number of steps
  - Gets us a **formula!**
  - **Machine independent**, which is good
  - Depends on **implementation**
  - **Multiplicative/additive constants** are irrelevant for large inputs
- Want to:
  - evaluate **algorithm**
  - evaluate **scalability**
  - evaluate **in terms of input size**

# EFFICIENCY IN TERMS OF INPUT: BIG-PICTURE

RECALL `mysum` (one loop) and `square` (nested loops)

- `mysum` ( $x$ )
  - What happened to the **program efficiency as  $x$  increased?**
  - 10 times bigger  $x$  meant the program
    - Took approx. 10 times as long to run
    - Did approx. 10 times as many ops
  - Express it in an “order of” way vs. the input variable: **efficiency = Order of  $x$**
- `square` ( $x$ )
  - What happened to the **program efficiency as  $x$  increased?**
  - 2 times bigger  $x$  meant the program
    - Took approx. 4 times as long to run
    - Did approx. 4 times as many ops
  - 10 times bigger  $x$  meant the program
    - Took approx. 100 times as long to run
    - Did approx. 100 times as many ops
  - Express it in an “order of” way vs. the input variable: **efficiency = Order of  $x^2$**

# ORDER of GROWTH

# ORDERS OF GROWTH

- It's a notation
- Evaluates programs when **input is very big**
- Expresses the **growth of program's run time**
- Puts an **upper bound** on growth
- Do not need to be precise: **“order of” not “exact”** growth
  
- Focus on the **largest factors** in run time (which section of the program will take the longest to run?)

# A BETTER WAY

## A GENERALIZED WAY WITH APPROXIMATIONS

- Use the idea of counting operations in an algorithm, but **not worry about small variations in implementation**
  - When  $x$  is big,  $3x+4$  and  $3x$  and  $x$  are pretty much the same!
  - Don't care about exact value: ops =  $1+x(2+1)$
  - Express it in an **"order of" way vs. the input**: ops = Order of  $x$
- Focus on how algorithm performs when **size of problem gets arbitrarily large**
- **Relate time** needed to complete a computation **against the size of the input** to the problem
- Need to decide what to measure. What is the input?

# WHICH INPUT TO USE TO MEASURE EFFICIENCY

- Want to express **efficiency in terms of input**, so need to **decide what is your input**
- Could be an **integer**  
`-- convert_to_km(x)`
- Could be **length of list**  
`-- list_sum(L)`
- **You decide** when multiple parameters to a function  
`-- is_in(L, e)`
  - Might be different depending on which input you consider

# DIFFERENT INPUTS CHANGE HOW THE PROGRAM RUNS

- A function that searches for an element in a list

```
def is_in(L, e):  
    for i in L:  
        if i == e:  
            return True  
    return False
```

- Does the program take longer to run **as e increases**?
  - No

*is\_in([1,2,3], 0) vs.  
is\_in([1,2,3], 1000)*



# DIFFERENT INPUTS CHANGE HOW THE PROGRAM RUNS

- A function that searches for an element in a list

```
def is_in(L, e):  
    for i in L:  
        if i == e:  
            return True  
    return False
```

*is\_in([1,2,3], 0) vs.  
is\_in([1000,2000,3000], 0)*

- Does the program take longer to run as  $L$  increases?
  - What if  $L$  has a fixed length and **its elements are big numbers**?
    - No
  - What if  $L$  has **different lengths**?
    - Yes!

*is\_in([1,2,3], 0) vs.  
is\_in([1,2,3,4,5,6,7,8,9,10], 0)*

# DIFFERENT INPUTS CHANGE HOW THE PROGRAM RUNS

- A function that searches for an element in a list

```
def is_in(L, e):  
    for i in L:  
        if i == e:  
            return True  
    return False
```

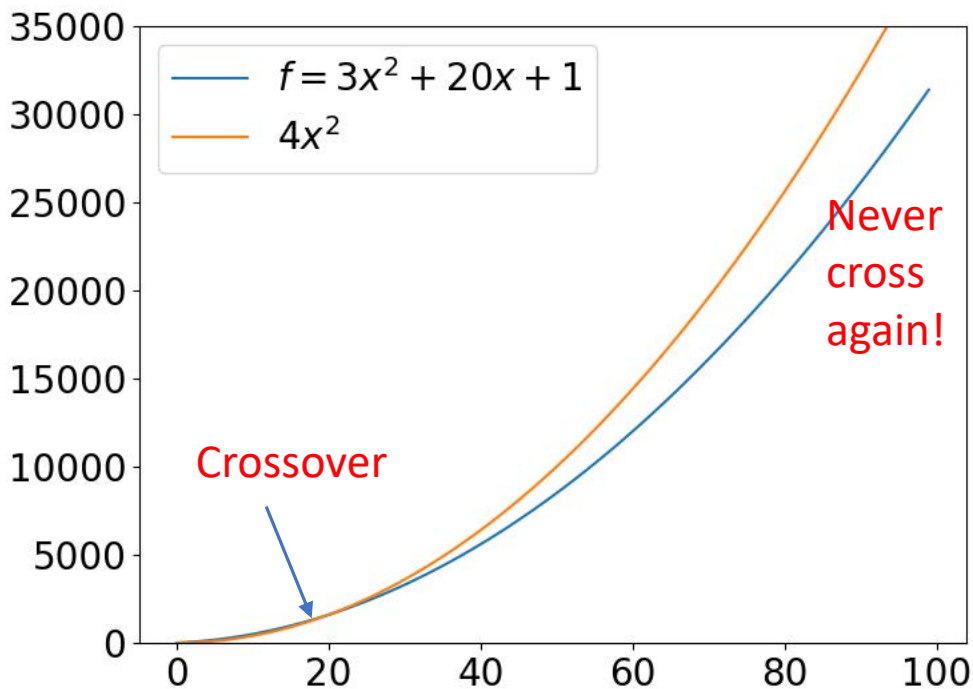
- When **e** is **first element** in the list  
→ BEST CASE
- When **look through about half** of the elements in list  
→ AVERAGE CASE
- When **e** is **not in list**  
→ WORST CASE
  - Want to measure this behavior in a general way

# ASYMPTOTIC GROWTH

- Goal: describe how time grows as size of input grows
  - Formula relating input to number of operations
- Given an expression for the number of operations needed to compute an algorithm, want to know **asymptotic behavior as size of problem gets large**
  - Want to put a **bound** on growth
  - Do not need to be precise: **“order of” not “exact”** growth
- Will focus on term that grows most rapidly
  - Ignore additive and multiplicative constants, since want to know how rapidly time required increases as we increase size of input
- This is called ***order of growth***
  - Use mathematical notions of **“big O”** and **“big  $\Theta$ ”**  
**Big Oh** and **Big Theta**

# BIG O Definition

$$3x^2 + 20x + 1 = O(x^2)$$



$$4x^2 > 3x^2 + 20x + 1 \forall x > 20.04$$

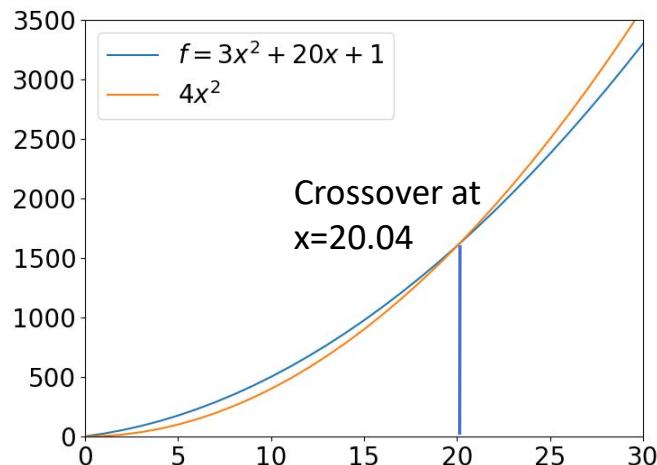
- Suppose some code runs in  $f(x) = 3x^2 + 20x + 1$  steps
  - Think of this as the formula from counting the number of ops.
- Big OH is a way to upper bound the growth of *any* function
- $f(x) = O(g(x))$  means that  $g(x)$  times *some constant eventually* always exceeds  $f(x)$ 
  - *Eventually* means above some **threshold value of  $x$**

# BIG O FORMALLY

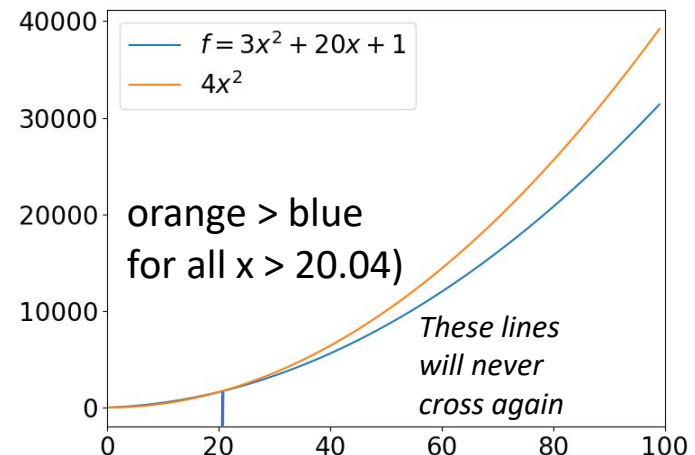
- A big Oh bound is an **upper bound** on the growth of some function
- $f(x) = O(g(x))$  means there exist constants  $c_0, x_0$  for which  $c_0 g(x) \geq f(x)$  for all  $x > x_0$

Example:  $f(x) = 3x^2 + 20x + 1$

$f(x) = O(x^2)$ , because  $4x^2 > 3x^2 + 20x + 1 \forall x \geq 21$   
( $c_0 = 4, x_0 = 20.04$ )



$0 \leq x \leq 30$



$0 \leq x \leq 100$

# BIG $\Theta$ Definition

$$3x^2 - 20x - 1 = \theta(x^2)$$

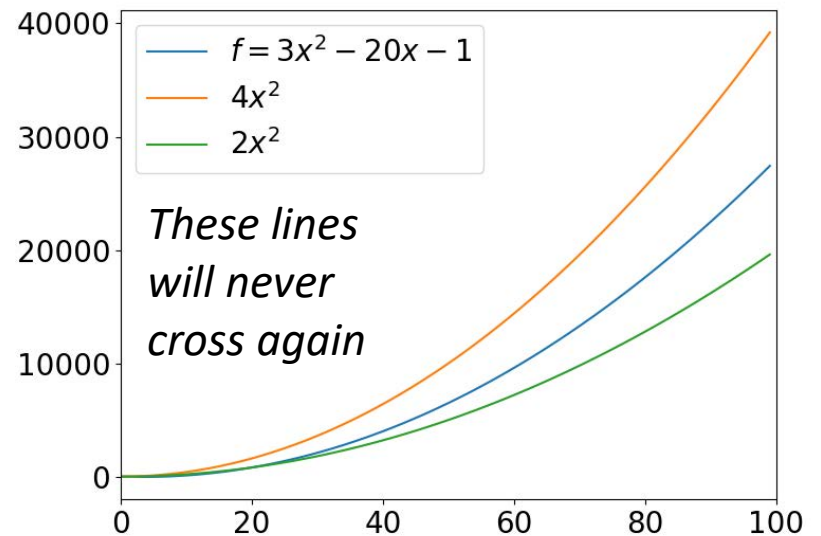
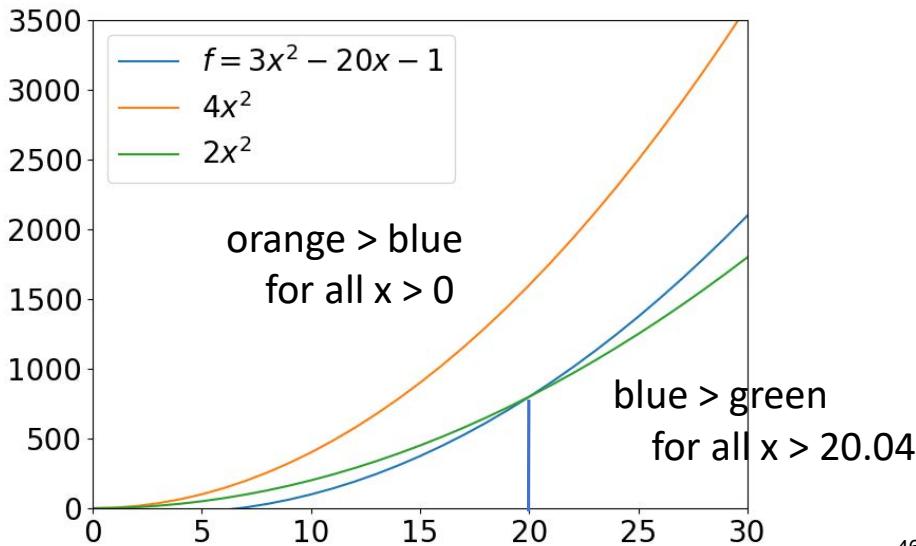
- A **big  $\Theta$**  bound is a **lower and upper bound** on the growth of some function  
 Suppose  $f(x) = 3x^2 - 20x - 1$

$f(x) = \Theta(g(x))$  means:

there exist constants  $c_0, x_0$  for which  $c_0 g(x) \geq f(x)$  for all  $x > x_0$   
 and constants  $c_1, x_1$  for which  $c_1 g(x) \leq f(x)$  for all  $x > x_1$

- Example,  $f(x) = \Theta(x^2)$  because  $4x^2 > 3x^2 - 20x - 1 \forall x \geq 0$  ( $c_0 = 4, x_0 = 0$ )  
 and  $2x^2 < 3x^2 - 20x - 1 \forall x \geq 21$  ( $c_1 = 2, x_1 = 20.04$ )

Big O definition



## $\Theta$ vs $O$

- In practice,  $\Theta$  bounds are preferred, because they are “tight”  
For example:  $f(x) = 3x^2 - 20x - 1$
- $f(x) = O(x^2) = O(x^3) = O(2^x)$  and anything higher order  
because they all upper bound it
- $f(x) = \Theta(x^2)$   
 $\neq O(x^3) \neq O(2^x)$  and anything higher order because they  
upper bound but not lower bound it

# SIMPLIFICATION EXAMPLES

- Drop constants and multiplicative factors
- Focus on **dominant term**

$$\Theta(n^2) : n^2 + 2n + 2$$

$$\Theta(x^2) : 3x^2 + 100000x + 3^{1000}$$

$$\Theta(a) : \log(a) + a + 4$$



# BIG IDEA

Express Theta in terms of the input.

Don't just use  $n$  all the time!

# YOU TRY IT!

$$\Theta(x) : 1000 * \log(x) + x$$

$$\Theta(n^3) : n^2 \log(n) + n^3$$

$$\Theta(y) : \log(y) + 0.0000001y$$

$$\Theta(2^b) : 2^b + 1000a^2 + 100 * b^2 + 0.0001a^3$$

$$\Theta(a^3)$$

$$\Theta(2^b + a^3)$$

All could be ok, depends on the input we care about

# USING $\Theta$ TO EVALUATE YOUR ALGORITHM

```
def fact_iter(n):  
    """assumes n an int >= 0"""  
    answer = 1  
    while n > 1:  
        answer *= n  
        n -= 1  
    return answer
```

5 steps inside loop  
1. compare,  
2. multiply,  
3. assign,  
4. subtract,  
5. assign

- Number of steps:  $5n + 2$
- Worst case asymptotic complexity:  $\Theta(n)$ 
  - Ignore additive constants
    - 2 doesn't matter when n is big
  - Ignore multiplicative constants
    - 5 doesn't matter if just want to know how increasing n changes time needed

# COMBINING COMPLEXITY CLASSES

## LOOPS IN SERIES

- Analyze statements inside functions to get order of growth
- Apply some rules, focus on dominant term

- **Law of Addition** for  $\Theta()$ :

- Used with **sequential** statements

- $\Theta(f(n)) + \Theta(g(n)) = \Theta(f(n) + g(n))$

- For example,

```
for i in range(n):            $\Theta(n)$ 
    print('a')
for j in range(n*n):         $\Theta(n^2)$ 
    print('b')
```

is  $\Theta(n) + \Theta(n * n) = \Theta(n + n^2) = \Theta(n^2)$  because of dominant  $n^2$  term

# COMBINING COMPLEXITY CLASSES

## NESTED LOOPS

- Analyze statements inside functions to get order of growth
- Apply some rules, focus on dominant term
- **Law of Multiplication for  $\Theta()$ :**
  - Used with **nested** statements/loops
  - $\Theta(f(n)) * \Theta(g(n)) = \Theta(f(n) * g(n))$
- For example,

```
for i in range(n):  $\Theta(n)$ 
    for j in range(n//2):  $\Theta(n)$  for each outer loop iteration
        print('a')
```
- $\Theta(n) \times \Theta(n) = \Theta(n \times n) = \Theta(n^2)$ 
  - Outer loop runs n times and the inner loop runs n times for every outer loop iteration.

# ANALYZE COMPLEXITY

- What is the Theta complexity of this program?

*Always note the input parameter!*

```
def f(x):  
    answer = 1  
    for i in range(x):  
        for j in range(i, x):  
            answer += 2  
    return answer
```

Outer loop is  $\Theta(x)$   
Inner loop is  $\Theta(x)$   
Everything else is  $\Theta(1)$

- $\Theta(1) + \Theta(x) * \Theta(x) * \Theta(1) + \Theta(1)$
- Overall complexity is  $\Theta(x^2)$  by rules of addition and multiplication

# YOU TRY IT!

- What is the Theta complexity of this program? Careful to describe in terms of input (hint: what matters with a list, size of elems of length?)

```
def f(L):  
    Lnew = []  
    for i in L:  
        Lnew.append(i**2)  
    return Lnew
```

## ANSWER:

Loop:  $\Theta(\text{len}(L))$

f is  $\Theta(\text{len}(L))$

# YOU TRY IT!

- What is the Theta complexity of this program?

```
def f(L, L1, L2):  
    """ L, L1, L2 are the same length """  
    inL1 = False  
    for i in range(len(L)):  
        if L[i] == L1[i]:  
            inL1 = True  
    inL2 = False  
    for i in range(len(L)):  
        if L[i] == L2[i]:  
            inL2 = True  
    return inL1 and inL2
```

## ANSWER:

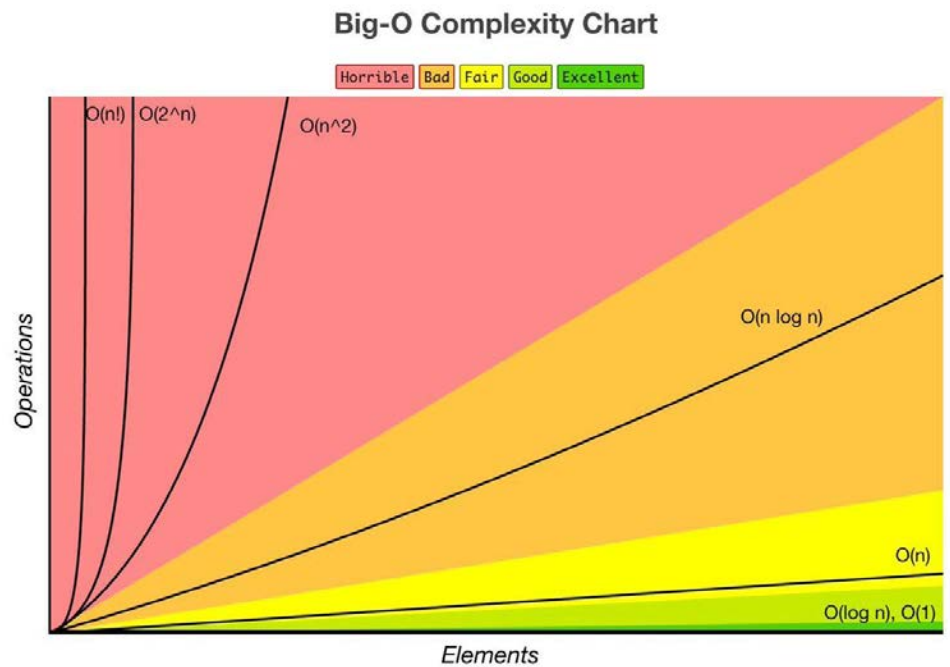
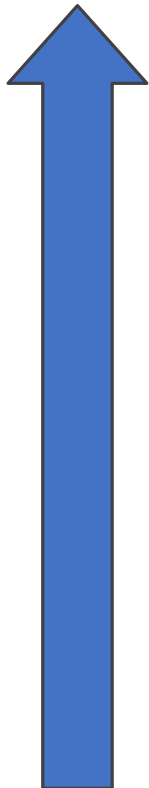
Loop:  $\Theta(\text{len}(L)) + \Theta(\text{len}(L))$

f is  $\Theta(\text{len}(L))$  or  $\Theta(\text{len}(L1))$  or  $\Theta(\text{len}(L2))$



# COMPLEXITY CLASSES

We want to design algorithms that are as close to top of this hierarchy as possible



- $\Theta(1)$  denotes **constant** running time
- $\Theta(\log n)$  denotes **logarithmic** running time
- $\Theta(n)$  denotes **linear** running time
- $\Theta(n \log n)$  denotes **log-linear** running time
- $\Theta(n^c)$  denotes **polynomial** running time  
( $c$  is a constant)
- $\Theta(c^n)$  denotes **exponential** running time  
( $c$  is a constant raised to a power based on input size)

# COMPLEXITY GROWTH

CLASS	N = 10	N = 100	N = 1000	N = 100000
Constant	1	1	1	1
Logarithmic	1	2	3	6
Linear	10	100	1000	1000000
Log-linear	10	200	3000	6000000
Polynomial	100	10000	1000000	1000000000000
Exponential	1024	12676506 00228229 40149670 3205376	1071508607186267320948425 0490600018105614048117055 3360744375038837035105112 4936122493198378815695858 1275946729175531468251871 4528569231404359845775746 9857480393456777482423098 5421074605062371141877954 1821530464749835819412673 9876755916554394607706291 4571196477686542167660429 8316526243868372056680693 76	Good Luck!!

# SUMMARY

- Timing is machine/implementation/algorithm dependent
- Counting ops is implementation/algorithm dependent
- Order of growth is algorithm dependent
  
- Compare **efficiency of algorithms**
  - Notation that describes growth
  - **Lower order of growth** is better
  - Independent of machine or specific implementation
  
- Using Theta
  - Describe asymptotic order of growth
  - **Asymptotic notation**
  - **Upper bound** and a **lower bound**

MITOpenCourseWare  
<https://ocw.mit.edu>

6.100L Introduction to Computer Science and Programming Using Python  
Fall 2022

For information about citing these materials or our Terms of Use, visit: <https://ocw.mit.edu/terms>.